



**DRAFT AGENDA**

**MONDAY, JUNE 28, 2021**

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**

**\*\* Please note Miami-Dade Meeting Location and 10:00 AM Start Time \*\***

**Miami-Dade County Commission Chambers  
111 NW 1<sup>st</sup> Street, 2<sup>nd</sup> Floor  
Miami, Florida 33128**

**VIRTUAL / PHYSICAL MEETING**

**SFRPC is inviting you to a scheduled Zoom meeting.**

Join Zoom Meeting

<https://zoom.us/j/94511060175>

Meeting ID: 945 1106 0175

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**I. Pledge of Allegiance and Roll Call**

**II. Approval Council Agenda**

**Welcome**

- The Honorable Daniella Levine Cava, Mayor
- The Honorable Jose “Pepe” Diaz, Chair, Board of County Commissioners (Invited)

**Chairman’s Award**

- SFRPC Recognition of Jared Moskowitz  
Director, Florida Division of Emergency Management  
January 2019 - June 2021

**III. Presentations**

**Audit Presentation FY 19-20 (Agenda Item IV.E)**

- Tanya I. Davis, CPA, Partner – S. Davis & Associates



South Florida Regional Planning Council  
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**Update on the Status of the Region’s Ocean Outfalls & Next Steps; Infrastructure Funding Update (Time Certain: TBD)**

- Roy Coley, MBA, Director, Miami-Dade Water and Sewer Department
- Kevin Carter, MS, Assistant to the Director, Broward County Water and Wastewater Services
- Vivek Galav, P.E., Director, City of Hollywood Public Utilities

**IV. Action Items**

- A. Minutes of Previous Meeting  
May 24, 2021 Minutes
- B. Financial Report
- C. Consent: Comprehensive Plan Amendment Reviews  
Proposed
  - Miami-Dade County 21-01ESR
  - Monroe County 21-04ACSC
  - City of Coral Springs 21-01ER
  - City of Hialeah 21-01ESR
  - City of Marathon 21-02ACSC  
Adopted
  - Broward County 21-02ESR
  - Monroe County 21-01ACSC
  - City of Coral Springs 20-01ESR
  - City of Marathon 21-01ACSC

**Public Hearing**

- D. Regional Issues: Comprehensive Plan Amendment Review – None
- E. Audit Presentation FY 19-20
- F. Amendment of Audit Committee / Draft RFP
- G. 21<sup>st</sup> Century School Bond Advisory Committee (SBAC)

**Public Comments**

**V. Discussion Items**

- A. Executive Director’s Report
- B. Legal Counsel Report
- C. Councilmembers Report
- D. Ex-Officio Report

**VI. Program Reports and Activities**

- A. SFRPC Revolving Loan Funds Status Report
- B. SFRPC CARES Act RLF Status Report

- C. Development of Regional Impact Status Report - None
- D. Council Member Information Request / Hallandale Beach Water Supply
- E. Council Highlights

**VII. Announcements and Attachments**

- A. Attendance Form
- B. Correspondence and Articles
- C. Upcoming Meetings
  - 1) Monday, July 26, 2021, 10:30 a.m. (SFRPC, Hollywood)
  - 2) August – No Meeting (Summer Recess)
  - 3) Monday, September 27, 2021, 10:30 a.m. (SFRPC, Hollywood)
  - 4) Friday, October 15, 2021, TBD, Joint Meeting with the TCRPC, South Florida Region
  - 5) Monday, October 25, 2021, (SFRPC, Hollywood)
  - 6) Monday, November 22, 2021 (TBD – Monroe County tentative)

**VIII. Adjournment**

Pursuant to Chapter 286.0105, Florida Statutes, if a person decides to appeal any decision made by the Council with respect to any matter considered at such meeting or hearing, he may need to ensure that a verbatim record of the proceedings is made which record includes the testimony and evidence upon which the appeal is based.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the Agency at least 5 days before the hearing by contacting the South Florida Regional Planning Council at one of the following: (1) One Oakwood Boulevard, Suite 250, Hollywood, Florida 33020; (2) Phone 954-924-3653; (3) Fax 954-924-3654; or (4) [sfadmin@sfrpc.com](mailto:sfadmin@sfrpc.com). If you are hearing or speech impaired, please contact the Agency using the Florida Relay Service, 1 (800) 955-8771 (TTY/VCO), 1 (800) 955-8770 (Voice), 1 (800) 955-8773 (Spanish).

Agenda packets for upcoming Council meetings will be available at the Council's website, <https://sfregionalcouncil.org/meeting-materials/> ten days prior to the meeting.

If you would like to be added to the e-mail list to receive the link to the agenda, please e-mail the Council at [sfadmin@sfrpc.com](mailto:sfadmin@sfrpc.com).



## ***Honored Guests***

### ***Welcome to Miami-Dade County***

**The Honorable Daniella Levine Cava  
Miami-Dade County Mayor**

**The Honorable José “Pepe” Díaz (invited)  
Chair, Miami-Dade County Commission**



Daniella Levine Cava was elected Miami-Dade County’s first-ever woman Mayor in November 2020. She enters the Mayor's office following a nearly 40-year career as a relentless advocate for South Florida families in public service and elected leadership.

As Miami-Dade County's Mayor, she oversees a metropolitan government with more than 28,000 employees serving nearly 3 million residents, managing an annual budget of approximately \$9 billion.

A social worker, lawyer, and community activist, she was first elected in 2014, and re-elected in August 2018, to serve as the Miami-Dade County Commissioner representing District 8.

As Commissioner, she invested in Miami-Dade small businesses and expanded economic opportunity, protected the environment and our water, increased the County police force for underserved areas, advocated for an expanded, reliable public transportation system, worked to create affordable housing and revitalize neighborhoods, and helped make local government more accountable and transparent.

Since the coronavirus pandemic began, she has advocated for a more effective public health response and worked to provide millions of federal CARES Act dollars in relief to struggling families and small businesses. As the new Mayor, she will begin working immediately with city leaders, the business community, and medical experts to create a unified pandemic response strategy that protects Miami-Dade's lives and livelihoods.

Mayor Levine Cava came to elected office after having served as an advocate for South Florida families for over 30 years. She served special needs children and immigrants at Legal Services of Greater Miami, and then represented children in the child welfare system as Associate and Legal Director for the Guardian Ad Litem Program.

In the aftermath of Hurricane Andrew, she was recruited to create a new intake system for child abuse cases with the Department for Children and Families. Her efforts were concentrated on the neighborhoods of District 8 where she helped restore the lives and homes of those devastated by the storm.

In 1996, Mayor Levine Cava founded Catalyst Miami to help low- and middle-income families through service, education, and advocacy. Catalyst helps approximately 5,000 people each year to become more self-sufficient and civically engaged.

She is the Immediate Past Chair of the South Florida Regional Planning Council (SFRPC) and served as an SFRPC Council Member from 2015 – 2020. She has served on the Florida Bar Committee on Legal Needs of Children; the boards of League of Women Voters, Orange Bowl Foundation, North Dade Medical Foundation, South Florida Health Information Initiative, and several national boards. She's won numerous awards from various organizations including: the Commission on Ethics, Red Cross, American Society for Public Administration, ACLU, National Council of Jewish Women, AAUW, among others.

Born in New York and raised partly in Latin America, Mayor Levine Cava received her bachelor's degree in psychology with honors from Yale University and graduate degrees in law and social work from Columbia University.

She came to South Florida in 1980 to join her husband, Dr. Robert Cava, a Miami native, who returned home to join his father in medical practice. Daniella and Robert raised two children, Eliza and Edward Cava, in Miami-Dade, supported by strong networks of friends, families, and co-workers.



## Chairman José "Pepe" Díaz

Chairman Jose "Pepe" Diaz serves as the Chairman of the Miami-Dade Board of County Commissioners and the Commissioner for District 12, which includes the cities of [Doral](#), [Hialeah](#), [Hialeah Gardens](#), [Medley](#), [Sweetwater](#), [Virginia Gardens](#), and a large portion of unincorporated Miami-Dade County. He was first elected as commissioner in September 2002 and was re-elected to a fifth term in 2018. He also served as the Vice-Chairman of the Miami-Dade Board of County Commissioners from 2009-2010. On Nov. 19, 2020, he was unanimously elected by his colleagues to serve as Chairman of the Board of County Commissioners.

Chairman Diaz has served in various capacities on different committees of the County Commission and serves on the Crime Watch of Miami-Dade County Advisory Board, the Public Health Trust Nominating Council, the Public Health Trust/Miami-Dade County Annual Operating Agreement Committee, and the Jackson Health System's General Obligation Bond Citizen's Advisory Committee. Additionally, Chairman Diaz, who proudly served as a member of the U.S. Marine Corps, was appointed as Miami-Dade County's Military Liaison and also serves as the Chairman of Miami-Dade County's Military Affairs Board. Until recently he represented Miami-Dade County as a member of the South Florida Regional Planning Council.

On the international level, Chairman Diaz is an advocate for trade and forging partnerships with other nations, and he currently serves as Chairman of the International Trade Consortium Board. In March 2010, Chairman Diaz was appointed by U.S. Trade Representative Ron Kirk to serve on the Intergovernmental Policy Advisory Committee on Trade (IGPAC) and proudly served until April 2018. In this capacity, Chairman Diaz advised, consulted with, and made recommendations to the U.S. Trade Representative from the perspective of local governments. He also serves as Chairman of the International Trade Consortium (ITC), where he has been instrumental in making profitable connections for businesses on behalf of Miami-Dade County with the Caribbean and Latin America. He toured several Asian cities in 2005 (Tianjin, Hong Kong and Shanghai), met with government officials and business organizations to promote trade partnerships, and participated in a ceremony to mark a joint venture between Florida International University and Tianjin University of Commerce. He and the Asian delegation also secured a cooperative agreement for the County with Tianjin's Municipal People's Government.

In addition to his County duties, Chairman Diaz serves on the Citizens' Crime Watch of Miami-Dade County Advisory Board. He also served as the Chairman of the Board of Directors of the

Miami-Dade County Sports Commission, which is charged with attracting youth, adult amateur, and professional sporting events to the county and generating support for those events. Under his leadership, the Sports Commission created a local bid committee to support Miami as a future host city for the FIFA World Cup and also coordinated a massive block party on Brickell Avenue to support bringing the FIFA World Cup to the United States. Thanks to the Sports Commission, Miami-Dade County hosted its first WrestleMania in 2012, generating \$102.7 million in economic impact for Miami-Dade County.

Before joining the Commission, Chairman Diaz worked to resolve the ongoing flooding issues throughout Miami-Dade County as Mayor of Sweetwater. He spent countless hours lobbying in Tallahassee and Washington, D.C., and acquired the funds necessary for a Comprehensive Street Drainage Project. Chairman Diaz continues to work with local and state emergency management teams to prevent future flooding. Former Governor Jeb Bush appointed him to the South Florida Ecosystem Restoration Task Force, where he continues to advise on proposed regulations that could adversely affect West Miami-Dade's water levels.

Chairman Diaz is a strong advocate for the elderly and the military. In 2006, he spearheaded the "Seniors Never Alone" program, which ensures that enrolled seniors receive a call at least twice a week. The program is operated by the Switchboard of Miami, Inc., and each reassurance specialist engages seniors in conversation, providing emergency assistance if necessary. Chairman Diaz also served on the Greater Miami Chamber of Commerce's Military Affairs Committee. He strongly advocated for the USS Gridley, which became the first Navy warship to be commissioned in Miami on February 10, 2007. He also organized Miami-Dade's historic Golden Veterans Parade and 50th Anniversary Commemoration on Nov. 8, 2013 to give Vietnam veterans the long-overdue proper homecoming they deserved.

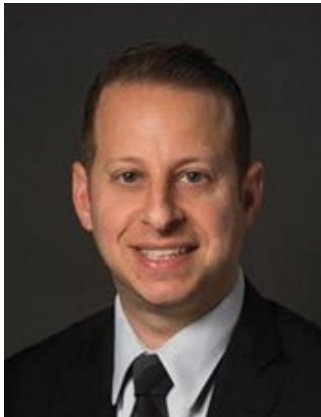
Chairman Diaz is married and has three daughters, Monique, Celine and Krystal; and two grandchildren, Marcus and Rey. He was born in Pinar del Rio, Cuba and has lived in Sweetwater, Fla., for more than 30 years. In 1979, he enlisted in the U.S. Marine Corps and was honorably discharged in December 1984. In 1986, he began his career in public service as Chairman of the City of Sweetwater Police Retirement Trust Fund Board. For the next seven years, he served on the City of Sweetwater Commission and was elected Mayor of Sweetwater in May 1999.



## *Honored Guest*

**Jared E. Moskowitz**

## **Recipient of the SFRPC Chairman's Award**



Mr. Jared Moskowitz is the Chief Executive Officer of MOD LLC based in Fort Lauderdale. He was born in Coral Springs, and attended George Washington University, receiving his Bachelor's degree in political science, and then Shepard Broad Law Center at Nova Southeastern University, receiving his Juris Doctor. He worked as an intern for Vice-President Al Gore, served as an assistant on Joe Lieberman's 2004 presidential campaign, and in 2008 served as one of Barack Obama's electors in Florida. While attending law school, Moskowitz was elected to the Parkland City Commission in 2006 and was re-elected in 2010, serving until he resigned to run for the legislature in 2012. Jared also served from 2012-2019 as State Representative for District 97, representing Coral Springs, Tamarac, Sunrise and Plantation. During his tenure in the Florida Legislature, Jared served on the House Select Committee on Hurricane Response and Preparedness, which was created in response to Hurricane Irma.

Jared Moskowitz was appointed as Director of Florida's Division of Emergency Management by Governor Ron DeSantis in January 2019. As former General Counsel and Vice President of Business Development for AshBritt Environmental, Jared brings more than a decade of experience in disaster recovery and response to the Division of Emergency Management. In that role, Jared supported response and recovery efforts in Florida after Hurricanes Irma, Matthew, Michael and Hermine. He also responded to the 2017 wildfires in California as well as to Superstorm Sandy, which struck the Northeastern United States in 2012.



In 2018, Jared became a tireless advocate for school safety following the tragic shooting at Marjory Stoneman Douglas High School. As the only Marjory Stoneman Douglas graduate serving in the Florida Legislature, Jared helped draft the bipartisan Marjory Stoneman Douglas High School Safety Act, which was the first comprehensive mental health, school safety, and gun control bill of its kind in over 20 years.

Jared and his wife, Leah, serve on the host committee for the 2nd Annual We Are Stoneman Douglas fundraising event for Parkland Cares, an organization providing immediate and long-term funding and awareness for mental health counseling for the Marjory Stoneman Douglas shooting survivors, their families and the community-at-large.



***Update on the Status of the Region's Ocean Outfalls & Next Steps  
Infrastructure Funding Update***

**Special Guests**

**Roy Coley, MBA  
Director, Miami-Dade Water and Sewer Department**

**Kevin Carter, MS  
Assistant to the Director  
Broward County Water and Wastewater Services**

**Vivek Galav, P.E.  
Director, City of Hollywood Public Utilities**

**Proposed**

***"One Region, One Water": A Regional Water Sector Workshop***

## **Roy Coley, MBA, Director Miami-Dade Water and Sewer Department (WASD)**



Roy Coley joined Miami-Dade County on May 17, 2021 as Director of the Miami-Dade Water and Sewer Department (WASD), the largest water and sewer utility in the Southeastern United States. Prior to joining WASD, Roy was the Director of Public Works for the City of Miami Beach, responsible for directing four divisions: Infrastructure, Sanitation, Engineering, and Greenspace.

Since joining the City of Miami Beach in 2015, first as a Public Works Department Infrastructure Division Director and then simultaneously as Assistant Public Works Director, Mr. Coley has been a transformational leader. He led the development and implementation of an employee incentivization plan that has resulted to date in employees obtaining 142 licenses and certifications in water, wastewater, and stormwater operations. He represented Public Works in a group from the region that traveled to the Centers for Disease Control and Prevention to develop mitigation efforts for mosquito transmitted disease. He has been featured in multiple public service announcement videos and news reports providing education to the public on mosquito control/prevention. He led the division's efforts in preparation and response to Hurricane Irma.

Immediately after he began working in Miami Beach, Roy was tasked with responding to historical King Tides. He procured new vacuum trucks to aid the immediate shocks of high waters and developed a strategy where temporary pumps were used to protect the health, safety, and welfare of the lowest lying areas while more permanent measures were being developed.

Roy provides innovative approaches to stormwater management, renewal and replacement of aging drinking water and wastewater infrastructure, streets, and street lighting. He believes Public Works is the backbone of any city and instills a "working for you" approach in all divisions when dealing with residents, and businesses.

Roy has more than 20 years of experience in water resources operations and maintenance. He is licensed by the states of Alabama and Florida in water and wastewater treatment. He has six certifications from California State University College of Engineering, Sacramento in various water resources treatment and management fields. He holds a Bachelor of Arts degree in Organizational Leadership from St. Thomas University of Miami where he graduated summa cum laud and a Master of Business Administration from Saint Leo University of Florida.

Roy is originally from Alabama and moved to Key West in 2005 to join the Florida Keys Aqueduct Authority (FKAA). He was the Director of Operations responsible for water and wastewater throughout the Keys. After 10 years in Key West, he joined the City of Miami Beach in June 2015.

Roy is passionate about water resources and its preservation. The American Water Works Association (AWWA) requested him to present to their water quality conference in 2010 on his work in Key West,

where he pioneered a new water quality management technique in drinking water systems. His research and case study were published by the AWWA in the technical manual M56 second edition.

Some notable accomplishments are the startup and operations of three reverse osmosis drinking water plants, and five wastewater treatment plant. Four treatment plants under his direction received operational excellence awards by the Florida Department of Environmental Protection for consistently exceeding regulatory requirements. Successfully leading and implementing water privatization efforts between the US Navy/Department of Defense and the FCAA. The water privatization at NAS Key West was the US Navy's first utility privatization and serves as their model for future utility privatizations.

**Kevin Carter, MS**  
**Assistant to the Director**  
**Broward County Water & Wastewater Services**



Kevin Carter has lived and worked with water resources in the South Florida region for over 30 years. Since July 2015, Kevin has been the Broward County Water and Wastewater Services' Assistant to the Director where he focuses on legislative and intergovernmental affairs as well as special projects like grants. He holds leadership positions in statewide water sector organizations such as the Florida Section of the American Water Works Association, Florida Water and Environment Association, and the Florida Water and Wastewater Agency Response Network (FlaWARN). Previously he worked with the South Florida Water Management District (SFWMD) for 7 years and also spent 14 years with Broward County's Department of Planning and Environmental Protection prior to his time at SFWMD. He has a M.S. in marine biology from Nova Southeastern University (1994) and a B.S. in animal science from Ohio State University (1989).

**Vivek Galav, P.E.**  
**Director, City of Hollywood Public Utilities**

Vivek Galav is a licensed professional engineer with more than 25 years of experience in the design, construction and management of water and sewer systems and utilities. He obtained his Bachelor's degree in Civil Engineering in India and Master's from the University of Miami. He is a water and wastewater engineer by choice and his work is his passion and hobby. He has been with the City of Hollywood for just about 2 years and sees many opportunities to serve the City residents as well as other non-city users.



Source: <https://www.mdpi.com/2079-6382/9/3/118/htm>

## Antibiotic Resistance in Marine Microbial Communities Proximal to a Florida Sewage Outfall System

Source: (Griffin, Banks, Gregg, Shelder, and Walker – 11 March 2020)

## **“One Region, One Water”: A Regional Water Sector Workshop**

### **Draft Topics**

Council staff is working with regional water sector leaders to draft an agenda for a “One Region, One Water” water sector workshop, tentatively planned for September 2021. Council staff is seeking Councilmember input on topics to be included on the agenda, which could include, but not be limited to:

- Ocean outfalls
- Water reuse (and potable reuse)
- Deepwater injection
- Advanced water treatment
- Saltwater intrusion of water supply and adaptation
- Septic to Sewer
- Central and Southern Florida Study (C & SF)
- Ecosystems and Water Quality - Biscayne Bay watershed
- Stormwater planning (new 5-year planning state mandate)

SOUTH FLORIDA REGIONAL PLANNING COUNCIL

MAY 24, 2021

The South Florida Regional Planning Council met virtually and in-person on this date. Chair Bailey called the meeting to order at 10:39 a.m., reminded everyone of the meeting's procedures, and asked Councilmember Ross to lead the Pledge of Allegiance.

Chair Mario J. Bailey (present)  
Councilmember Michelle Coldiron \*  
Councilmember Joseph Corradino \*\*  
Councilmember Beam Furr (present)  
Councilmember René Garcia \*  
Councilmember Steve Geller (present)  
Councilmember Oliver Gilbert, III \*\*  
Councilmember Cary Goldberg \*\*  
Councilmember Samuel Kaufman \*  
Councilmember Jordan Leonard \*\*  
Councilmember Eddie Martínez \*\*  
Councilmember Jean Monestime \*\*  
Councilmember Greg Ross (present)  
Councilmember Michael Udine \*  
Councilmember Ana M. Ziade \*

\* Virtually Present

\*\* Absent

Isabel Cosio Carballo, SFRPC Executive Director, and Sam Goren, Legal Counsel, were present.

The following Ex-Officio Member was virtually present:

Shereen Yee Fong representing Dat Huynh, from the Florida Department of Transportation, District VI

The following Ex-Officio Members were absent:

Jason Andreotta, representing the Florida Department of Environmental Protection  
Lorraine Mayers, representing the South Florida Water Management District.

Mr. Goren, Legal Counsel, explained the voting procedures for the Action Items.

## **II. Approval of Council Agenda**

*Councilmember Geller moved to approve the Council Agenda. Councilmember Ross seconded the motion, which carried by a unanimous vote.*

Chair Bailey announced that the presentation will be at 11:45 a.m.

## **III. Presentation (Time Certain: 11:45 a.m.)**

***“Resiliency Initiatives and Programs at DEP” – Alex Reed, Director, Office of Resilience and Coastal Protection, Florida Department of Environmental Protection***

#### IV. Action Items

##### A. Minutes of Previous Meeting

*Councilmember Geller moved to approve the Minutes of the April 26, 2021, Meeting. Councilmember Ross seconded the motion, which carried by a unanimous vote.*

*Councilmember Geller moved to approve the Minutes of the May 7, 2021, Workshop. Councilmember Ross seconded the motion, which carried by a unanimous vote.*

##### B. Financial Report

Finance Manager, Leo Braslavsky Soldi explained the Financial Report in detail and announced that the Audit FY 19/20 will be presented at the June Council Meeting.

*Councilmember Udine moved to approve Agenda Item IV.B Financial Report. Councilmember Ziade seconded the motion, which was carried by a unanimous vote.*

#### Public Comments

There were no comments or questions from the public via email or virtually.

Mr. Goren read the Comprehensive Plan Amendment Reviews, Proposed and Adopted.

##### C. Consent: Comprehensive Plan Amendment Reviews

###### Proposed

- City of Aventura 21-01ESR
- City of Doral 21-01ESR
- City of Doral 21-02ESR
- City of Florida City 21-01ESR
- City of Homestead 21-01ESR
- City of North Miami 21-02ESR
- Town of Southwest Ranches 21-02ESR
- City of Sweetwater 21-01ESR

#### Public Comments

There were no comments or questions from the public via email or virtually.

*Councilmember Geller moved to approve Agenda Item IV.C Consent: Comprehensive Plan Amendment Review, Proposed. Councilmember Ross seconded the motion, which was carried by a unanimous vote.*

###### Adopted

- Broward County 21-03ESR
- Monroe County 21-03ACSC
- City of Hallandale Beach 21-01ESR
- City of Miami 20-02ESR
- City of Pompano Beach 20-04ESR



### **Public Comment**

There were no comments or questions from the public via email or virtually.

Councilmember Furr inquired if the City of Hallandale Beach had replaced their wells. Mrs. Cosio Carballo stated she will update the Councilmembers at the next meeting.

*Councilmember Ross moved to approve Agenda Item IV.C Consent: Comprehensive Plan Amendment Review, Adopted. Councilmember Geller seconded the motion, which was carried by a unanimous vote.*

#### D. Regional Issues: Comprehensive Plan Amendment Review – None

#### E. Clean Cities Update / Board Membership

Mrs. Cosio Carballo stated that Ms. Alisha Lopez, Clean Cities Coordinator, was present for any questions. Mrs. Cosio Carballo explained that the Southeast Florida Clean Cities Coalition has been in existence for 25 years, in which Councilmember Asseff was the Coalition's Board Representative and the Chair for many of those years. Included, in this Agenda Item, is an update on the Clean Cities Coalition and a Highlights flyer. Mrs. Cosio Carballo requested a Councilmember to join the Coalition. Councilmember Furr was nominated, and he accepted. Mrs. Cosio Carballo and Ms. Lopez thanked Councilmember Furr.

*Councilmember Geller moved to approve Agenda Item IV.E Clean Cities Update / Board Membership. Councilmember Ross seconded the motion, which was carried by a unanimous vote.*

#### F. American Rescue Act / Regional Funding Priorities

Chair Bailey summarized the discussion that took place at the May 7, 2021, Workshop, regarding the American Rescue Act and Regional Funding Priorities. Discussion took place on the clarification on the CS & F Study, (the finance on the Study and the implementation). It was suggested that Dr. Jennifer Jurado, be contacted for more information. Another issue discussed was the septic to sewer and whether it is a regional issue.

The Agenda attachment reflected the project name, proposer of the project (county, RPCs, various agencies, and agencies staff), Regional Importance, and estimated cost. Monroe County was not on this list. Chair Bailey inquired of Mayor Coldiron if Monroe County had any relevant projects that could be added to the funding priorities. She stated that Monroe County is unique in that it is much smaller and has significantly less funding than the other counties. She explained that with the lower amount given to Monroe County, there does not seem to be a regional project in the County or one that the County could lend their support to at this time. The County is looking at infrastructure projects that will probably not have a regional impact. Chair Bailey thanked Mayor Coldiron and requested if they did find something of regional importance to please reach out to the SFRPC for support. Mrs. Cosio Carballo read aloud the Broward and Miami-Dade Counties', Treasure Coast Regional Planning Council (TCRPC), and SFRPC proposals from the May 7<sup>th</sup> Workshop in detail, all noted in the Agenda Item. There was an inquiry on the pricing of the septic to sewer cost, discussion ensued, and it was decided that more detailed information is needed. Councilmember Furr recounted that in his conversation with TCRPC Councilmember Doug Smith the regional projects they discussed were the Central & Southern Florida Project Resilience Update, the Coral Reef studies, septic to sewer conversion, and/or reservoir recharge. Other topics were the cost sharing between Miami-Dade, Broward, and possibly Palm Beach counties – suggesting each county put in a set amount for a mutual, regional project, in requesting federal / state funding. Councilmember Geller stated that there are two conversations: 1) the American Rescue Plan fund in which each county

contributes an amount for a regional project; 2) regional projects that the counties should work on together. Chair Bailey suggested the discussion be postponed.

## **V. Discussion Items**

### **A. Executive Director's Report**

Mrs. Cosio Carballo updated the Councilmembers on the Military Installation Resilience Report proposal that the Council is submitting in partnership with the South Florida Defense Alliance to the Department of Defense and thanked the Councilmembers for their support.

Ms. Kathe Lerch, SFRPC staff, read the chat comments from Councilmember Ziade. Councilmember Ziade agrees with Councilmember Geller's comments. She also inquired if there was an adoption on Agenda Item IV.F. American Rescue Plan / Regional Funding Priorities. Chair Bailey restated that this discussion would continue at the upcoming meeting.

Mrs. Cosio Carballo continued with the Executive Director's Report. She stated that the Council has advertised for a Regional Planner position with experience in resiliency, water infrastructure, GIS, etc.

Chair Bailey informed the Councilmembers who have social media to please share the job position.

### **B. Legal Counsel Report**

Mr. Goren announced that the Council approved the Statement of Organization at the last meeting and document is in process of being updated and will be shared once completed. He thanked everyone for their input on this important document. There is no litigation to report, and will clarify any questions pertaining to the RLF Program later in the meeting. He stated he was happy to be at today's meeting.

### **C. Councilmembers Report**

### **D. Ex-Officio Reports – None**

## **VI. Program Reports and Activities**

### **A. SFRPC Revolving Loan Funds Status Report**

Jeff Tart, Senior Loan Officer, stated that there are two loans, accepted by past administration that have been performing poorly. He thanked Mr. Goren's office for working with the RLF staff to address these issues. One borrower is being pursued for litigation, and the other borrower - a settlement is being sought. He then detailed the funding amount that is now available in the traditional RLF Program.

### **B. SFRPC CARES Act RLF Status Report**

Mr. Tart gave a brief history and prerequisites of the funding of the RLF CARES Act. Details were provided on the loans processed located in Monroe, Miami-Dade, Broward, and Palm Beach counties, and the amount of funds left to lend. He asked the Councilmembers to continue to communicate within their respected counties. Advertisement of the CARES Act RLF Program, with the help of our Communications Director, is on social media (Facebook, Instagram, etc.), and along with the many responses from the survey that has been sent out, this Program has become successful. There may be additional funding in the future.

### **C. Development of Regional Impact Status Report – None**

D. Council Highlights – Information only

V. C. Councilmember Reports

Chair Bailey requested the next Council Meeting take place in Miami-Dade County. Mrs. Cosio Carballo stated that she is in conversation with Miami-Dade County for this meeting. Chair Bailey would like to have another meeting in Monroe County. He stated the importance of being present in the representative counties. Mrs. Cosio Carballo noted the importance of a physical quorum. He thanked Mrs. Cosio Carballo for finding matters that are regionally important for the Councilmembers to discuss.

**Public Comments – None**

**VII. Announcements and Attachments**

A. Attendance Form

B. Correspondence and Articles

C. Upcoming Meetings

- 1) Monday, June 28, 2021, 10:30 a.m. TBD - (SFRPC, Hollywood)
- 2) Monday, July 26, 2021, 10:30 a.m. TBD - (SFRPC, Hollywood)
- 3) Monday, August No Meeting (Summer Recess)

**III. Presentation (Time Certain: 11:45 a.m.)**

***“Resiliency Initiatives and Programs at DEP” – Alex Reed, Director, Office of Resilience and Coastal Protection, Florida Department of Environmental Protection***

Chair Bailey thanked Alex Reed for joining the Meeting and stated that it is a pleasure to work with her and appreciates her being here.

Ms. Reed explained the aspects of the Florida Department of Environmental Protection (DEP) and its functions including the three primary program areas of DEP: Land and Recreation, Regulatory, and Ecosystems Restoration Programs. She noted the offices and programs under each topic area. She informed the Councilmembers on the funding opportunities provided for the beaches program, Biscayne Bay Water Quality Initiatives (water quality studies, septic to sewer conversions, other restoration projects, some coral disease response efforts), the Cares Act, Coastal Mapping Initiative, Florida Resilient Coastline Initiative Program, and the new Resilient Florida Trust Fund. She went into details on the Resilient Florida Trust Fund: funding, year to year plans, prioritized lists, etc. Ms. Reed stated that the SFRPC could potentially partner with DEP in a regional collaborative effort to further these initiatives and protect regional assets. She explained the new statutory language defining and prioritizing the critical assets state-wide including infrastructure to state lands and everything in between. She gave an update on SB 178, the SLIP Study Bill. Inquiries ensued on the vulnerability study and Coral Reef Conservation Management Plan in collaboration with DEP/Florida Wildlife and Fish Conservation Commission (FWC).

Ms. Reed explained the minimum standards on the vulnerability assessment. She thanked the Council for putting forth the legislative initiative to rename the Coral Reef area in honor of Kristen Jacobs who was a wonderful advocate for the coral reefs. She stated that the Management Plan conversation is ongoing.

Councilmember Furr and Chair Bailey offered the Council’s help to move the conversation along. Ms. Reed stated that after the next legislative session, she may reach out for additional support from the SFRPC. Councilmember Furr stated that DEP has acquired a “real star” in Joanna Walczak. Ms. Reed agreed.

Other inquiries were on ocean outfall, sewer to septic conversion, and if DEP had any possible ideas on these issues. Ms. Reed suggested contacting the Division of Water Resource Management contact as the regulatory arm and the Division of Water Restoration Assistance on a funding perspective. The Division of Water Restoration Assistance has more water related infrastructure projects being funded. The legislature has recognized that counties have a lot of work to do, a lot of challenges, and infrastructure problems that needs to be addressed. There are a lot of options and suggested contacting Trina Vielhauer, the Director of Division of Water Restoration Assistance.

Chair Bailey stated that the SFRPC and TCRPC are in discussion regarding regional projects and inquired if that would be helpful in trying to receive funding. Ms. Reed stated that anytime there is a regional discussion, DEP will be able to find those regionally significant assets established in the statutes. In early July, DEP should be going live on an information and application portal for the new program. The Department has to accept all applications statewide, from communities and SFWMDs by September 1, with a plan to submit to legislature for consideration by December 1. The portal will have information on the new Program to accept applications for planning grants, implementation projects, and regional resilience coalition requests. DEP wants to make this an open dialogue and partnership, so any ideas to improve the new Program is welcome. Chair Bailey thanked Ms. Reed for her time and the Council looks forward to working with her moving forward.

**VIII. Adjournment**

The meeting was adjourned at 12:10 p.m.

This signature is to attest that the undersigned is the Secretary of the SOUTH FLORIDA REGIONAL PLANNING COUNCIL, and that the information provided herein is the true and correct minutes for the May 24, 2021, Meeting of the SOUTH FLORIDA REGIONAL PLANNING COUNCIL adopted the 28<sup>th</sup> day of June 2021.

\_\_\_\_\_  
Jordan Leonard, Secretary

\_\_\_\_\_  
Date



# MEMORANDUM

AGENDA ITEM #IV.B

---

DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: FINANCIAL REPORT

---

Attached is a Financial Report comparing the months of March 2021 through May 2021 for your review and approval.

Recommendation

Approve the Financial Report.



South Florida Regional Planning Council  
1 Oakwood Boulevard, Suite 250, Hollywood, Florida 33020  
954.924-3653 Phone, 954.924-3654 FAX  
[www.sfregionalcouncil.org](http://www.sfregionalcouncil.org)

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
COMPARATIVE BALANCE SHEET**

**May 31, 2021**  
(unaudited)

	Mar-21	Apr-21	May-21	Last month's Increase (Decrease)
<b><u>General Fund</u></b>				
<b>Assets:</b>				
Cash	1,365,391	1,265,200	1,225,033	(40,167)
SBA- Investment Account	11,182	11,183	11,185	2
Accounts Receivable	8,107	7,279	6,451	(828)
Due from Fed, State & Local Projects	62,453	55,844	98,207	42,363
Prepaid Expenses/Deposits	15,477	15,477	15,477	-
<b>Total Assets</b>	<b>1,462,610</b>	<b>1,354,983</b>	<b>1,356,354</b>	<b>1,371</b>
<b>Liabilities and Fund Balance:</b>				
Liabilities	66,047	3,665	64,166	60,501
Fund Balance	1,396,563	1,351,318	1,292,188	(59,130)
<b>Total Liabilities and Fund Balance</b>	<b>1,462,610</b>	<b>1,354,983</b>	<b>1,356,354</b>	<b>1,371</b>
<b><u>Federal, State, and Local Projects</u></b>				
<b>Assets:</b>				
Accounts Receivable	19,624	35,951	10,301	(25,650)
<b>Total Assets</b>	<b>19,624</b>	<b>35,951</b>	<b>10,301</b>	<b>(25,650)</b>
<b>Liabilities and Fund Balance:</b>				
Liabilities	16,838	16,838	-	(16,838)
Due to General Fund	62,453	55,844	98,207	42,363
Fund Balance	(59,667)	(36,731)	(87,906)	(51,176)
<b>Total Liabilities and Fund Balance</b>	<b>19,624</b>	<b>35,951</b>	<b>10,301</b>	<b>(25,650)</b>
<b><u>Revolving Loan Funds</u></b>				
<b>Assets:</b>				
Cash and Money Market	4,572,298	4,671,423	5,048,425	377,002
Receivables	5,487,158	5,652,141	5,623,381	(28,759)
Allowance for Loan Losses	(1,559,126)	(1,559,126)	(895,747)	663,379
<b>Total Assets</b>	<b>8,500,330</b>	<b>8,764,437</b>	<b>9,776,058</b>	<b>1,011,621</b>
<b>Liabilities and Fund Balance:</b>				
Liabilities	842,048	829,438	794,982	(34,457)
Fund Balance	7,658,282	7,934,999	8,981,077	1,046,078
<b>Total Liabilities and Fund Balance</b>	<b>8,500,330</b>	<b>8,764,437</b>	<b>9,776,058</b>	<b>1,011,621</b>
<b><u>Southeast Florida Regional Prosperity Institute</u></b>				
<b>Assets:</b>				
Cash and Money Market	41,251	41,251	41,251	-
Receivables	-	-	-	-
<b>Total Assets</b>	<b>41,251</b>	<b>41,251</b>	<b>41,251</b>	<b>-</b>
<b>Liabilities and Fund Balance:</b>				
Liabilities	37,079	37,079	37,079	-
Fund Balance	4,172	4,172	4,172	-
<b>Total Liabilities and Fund Balance</b>	<b>41,251</b>	<b>41,251</b>	<b>41,251</b>	<b>-</b>

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**

**May 31, 2021**

**(unaudited)**

<u>Description</u>	<b>Mar-21</b>	<b>Apr-21</b>	<b>May-21</b>	<b>Fiscal Year to Date</b>	<b>% Realized</b>	<b>Annual Budget</b>	<b>% of Budget</b>	<b>Remaining Budget</b>
<b><u>REVENUE REPORT</u></b>								
Membership Fees	\$ -	\$ -	\$ -	\$ 864,323	100%	\$ 864,323	33%	\$ -
Interest & Other Income	105	100	99	828	18%	4,500	0%	3,672
Local Funded Projects	2,826	24,789	1,285	124,748	56%	221,700	8%	96,952
Federal Funded Projects	119,082	42,941	27,812	451,071	50%	907,313	35%	456,242
State Funded Projects	10,300	-	19,666	80,811	97%	83,027	3%	2,216
Trust Funds	23,929	38,079	26,934	238,276	44%	545,700	21%	307,424
<b>TOTAL Revenues</b>	<b>156,242</b>	<b>105,909</b>	<b>75,797</b>	<b>1,760,057</b>	<b>67%</b>	<b>2,626,563</b>	<b>100%</b>	<b>866,506</b>
<b><u>EXPENSE REPORT</u></b>								
<b><u>Operating Expenses</u></b>								
Staff Compensation	\$ 105,555	\$ 92,477	\$ 133,880	\$ 906,836	70%	\$ 1,292,861	49%	\$ 386,026
Occupancy Cost	7,853	-	7,853	54,972	60%	91,200	3%	36,228
Utilities Electric/Sanitation	325	393	451	2,434	49%	5,000	0%	2,566
Janitorial Services	585	630	630	4,608	56%	8,295	0%	3,688
Repairs & Maintenance	-	285	-	285	7%	3,897	0%	3,612
Storage	476	476	476	3,808	76%	5,000	0%	1,192
Office Automation	5,419	5,760	6,752	46,931	59%	80,000	3%	33,069
Advertising, Notices, Supplies, Postage	993	4,687	8,115	18,234	118%	15,500	1%	(2,734)
Travel	13	-	-	153	2%	10,000	0%	9,847
Professional Development	-	5,125	-	16,632	55%	30,000	1%	13,368
Insurance	7,609	-	-	17,401	60%	28,783	1%	11,382
Miscellaneous Expenses	-	-	-	1,280	26%	5,000	0%	3,720
Legal Services (1)	-	-	-	4,849	11%	45,000	2%	40,151
Financial Services	970	2,164	1,753	9,308	20%	46,300	2%	36,992
Professional Consultants	2,577	2,147	1,306	6,956	55%	12,572	0%	5,616
Capital Expenditures	-	-	-	-	0%	95,000	4%	95,000
Subtotal Operating Expenses	<b>132,375</b>	<b>114,144</b>	<b>161,216</b>	<b>1,094,686</b>	<b>62%</b>	<b>1,774,408</b>	<b>68%</b>	<b>679,722</b>
<b>Pass Through Expenses:</b>	<b>52,627</b>	<b>25,316</b>	<b>54,669</b>	<b>330,530</b>	<b>58%</b>	<b>572,483</b>	<b>21%</b>	<b>241,953</b>
<b>TOTAL Expenses</b>	<b>185,002</b>	<b>139,461</b>	<b>215,884</b>	<b>1,425,217</b>	<b>61%</b>	<b>2,346,891</b>	<b>89%</b>	<b>921,675</b>
<b><u>OTHER REVENUES</u></b>								
Recovery of Bad Debt- Brownfields	\$ -	\$ -	\$ -	\$ -	-	\$ -		
<b>Excess (deficit) Revenues over Expenditures</b>	<b>\$ (28,760)</b>	<b>\$ (33,551)</b>	<b>\$ (140,087)</b>	<b>\$ 334,840</b>		<b>\$ 279,672</b>	<b>11%</b>	
<b>RLF CARES Act Funding</b>	<b>\$ 21,488</b>	<b>\$ 265,935</b>	<b>\$ 414,062</b>	<b>\$ 3,377,806</b>	<b>64%</b>	<b>5,318,000</b>	<b>Total RLF CARES Grant</b>	
<b>(1) Additional legal YTD expenses included in "pass-through Expenses"</b>				<b>\$65,769</b>				
<i>Note: Percentage of Fiscal Year lapsed 66.67%</i>								



# MEMORANDUM

AGENDA ITEM #IV.C

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DATE: JUNE 28, 2021

TO: COUNCIL MEMBERS

FROM: STAFF

SUBJECT: LOCAL GOVERNMENT COMPREHENSIVE PLAN PROPOSED AND ADOPTED AMENDMENT  
CONSENT AGENDA

---

Pursuant to the 1974 Interlocal Agreement creating the South Florida Regional Planning Council (Council), the Council is directed by its member counties to “assure the orderly, economic, and balanced growth and development of the Region, consistent with the protection of natural resources and environment of the Region and to protect the health, safety, welfare and quality of life of the residents of the Region.”

In fulfillment of the Interlocal Agreement directive and its duties under State law, the Council reviews local government Comprehensive Plan amendments for consistency with the *Strategic Regional Policy Plan for South Florida (SRPP)*. Pursuant to Section 163.3184, Florida Statutes as presently in effect, Council review of comprehensive plan amendments is limited to 1) adverse effects on regional resources and facilities identified in the SRPP and 2) extra-jurisdictional impacts that would be inconsistent with the comprehensive plan of any affected local government within the Region. The Council’s review of amendments is conducted in two stages: (1) proposed or transmittal and (2) adoption. Council staff reviews the contents of the amendment package once the Department of Economic Opportunity certifies its completeness.

A written report of Council’s evaluation pursuant to Section 163.3184, Florida Statutes, is to be provided to the local government and the State Land Planning Agency within 30 calendar days of receipt of the amendment.

## Recommendation

Find the proposed and adopted plan amendments from the local governments listed in the tables below generally consistent with the *Strategic Regional Policy Plan for South Florida*.

Approve this report for transmittal to the local governments with a copy to the State Land Planning Agency.





**PROPOSED AMENDMENTS**

Local Government and Plan Amendment Number	Proposed	Adopted	Council Review Date	Local Government Transmittal or Adoption Public Hearing and Meeting
Miami-Dade County 21-01ESR (received 05-19-21)	✓	N/A	06-28-21	04-21-21
<p>1. The proposed amendments to Miami-Dade County’s Comprehensive Development Master Plan (CDMP) would revise the Future Land Use designations of two properties to increase their maximum residential intensity per acre as follows:</p> <ul style="list-style-type: none"> <li>a. Revises a property from Low-Medium Density Residential (6 to 13 dwelling units per gross acre) to Medium Density Residential (13 to 25 dwelling units per acre) in response to an application by Old Cutler Apartments, Ltd.</li> <li>b. Revises a property from Low Density Residential (2.5 to 6 dwelling units per acre) to Low-Medium Density Residential (6 to 13 dwelling units per gross acre) in response to an application by Lennar Homes, LLC.</li> </ul> <p>2. The amendments affect two properties in Miami-Dade County: approximately 22.57 gross acres north of Old Cutler Road between the Homestead Extension to Florida’s Turnpike and Black Creek Canal (Old Cutler Apartments, Ltd.) and approximately 35.8 gross acres on the south side of SW 288 Street between SW 162 and SW 164 Avenues (Lennar Homes, LLC.).</p> <p>3. This amendment does not create any adverse impact to state or regional resources/facilities.</p>				
Monroe County 21-04ACSC (received 05-27-21)	✓	N/A	06-28-21	05-19-21
<p>1. The proposed amendment revises Monroe County’s Comprehensive Plan to reflect the U.S. 1 Level of Service (LOS) Task Force recommendations to the Board of County Commissioners on the LOS methodology.</p> <p>2. This amendment affects Monroe County.</p> <p>3. Council notes that the LOS on U.S. 1 in Monroe County shall be maintained within five percent (5%) of LOS C and encourages the County to continue supporting pedestrian and bicycle mobility, safety, and access to transit. This amendment does not create any adverse impact to state or regional resources/facilities.</p>				
City of Coral Springs 21-01ER (received 05-11-21)	✓	N/A	06-28-21	05-05-21
<p>1. The proposed amendments to the City of Coral Springs’ Comprehensive Plan includes policy additions and revisions resulting from an Evaluation and Appraisal Report (EAR) process and includes the City of Coral Springs 10 Year Water Supply Plan.</p> <p>2. These amendments affect the City of Coral Springs.</p> <p>3. Council staff recommends addressing the technical assistance comments provided by the South Florida Water Management District (SFWMD) to ensure full compliance with all elements of the most recent Lower</p>				

Local Government and Plan Amendment Number	Proposed	Adopted	Council Review Date	Local Government Transmittal or Adoption Public Hearing and Meeting
<p>East Coast Water Supply Plan Update approved by the SFWMD District Board. The inclusion of policies related to green development, Complete Streets, accessory dwelling units, traffic management, downtown mixed-use, and continued participation in the National Flood Insurance Program’s Community Rating System (CRS) are supportive of the Council’s regional goals and policies.</p>				
<p>City of Hialeah 21-01ESR (received 05-14-21)</p>	<p>✓</p>	<p>N/A</p>	<p>06-28-21</p>	<p>04-27-21</p>
<ol style="list-style-type: none"> <li>1. The proposed amendment amends the City of Hialeah’s Comprehensive Plan to incorporate the 20-Year Water Supply Facilities Work Plan Update (2020-2040).</li> <li>2. This amendment affects the City of Hialeah.</li> <li>3. This amendment does not create any adverse impact to state or regional resources/facilities. Council staff recommends addressing the technical assistance comments provided by staff of the South Florida Water Management District (SFWMD) to ensure full compliance with the Draft Miami-Dade Water and Sewer Department 10-Year Water Supply Facilities Work Plan and the Lower East Coast Water Supply Plan Update approved by the SFWMD District Board.</li> </ol>				
<p>City of Marathon 21-02ACSC (received 06-04-20)</p>	<p>✓</p>	<p>N/A</p>	<p>06-28-21</p>	<p>04-13-21</p>
<ol style="list-style-type: none"> <li>1. The proposed amendment revises the City of Marathon’s Comprehensive Plan to incorporate the City’s 10-Year Water Supply Facilities Work Plan.</li> <li>2. This amendment affects the City of Marathon.</li> <li>3. This amendment does not create any adverse impact to state or regional resources/facilities. The amendment is intended to be consistent with the South Florida Water Management District (SFWMD) Lower East Coast Water Supply Plan and the Florida Keys Aqueduct Authority 20-Year Water System Capital Improvement Master Plan. Council staff recommends continued coordination with the SFWMD regarding consistency, as needed.</li> </ol>				

**ADOPTED AMENDMENTS**

Local Government and Plan Amendment Number	Proposed	Adopted	Council Review Date	Local Government Transmittal or Adoption Public Hearing and Meeting
Broward County 21-02ESR (received 06-04-21)	N/A	✓	06-28-21	06-01-21
<p>1. The adopted amendments to the Broward County Comprehensive Plan (BCCP) include three map amendments and two text amendments, and are concurrent with the following amendments:</p> <ul style="list-style-type: none"> <li>• Miramar 21-01ESR – revises the land use designation of approximately 59 acres of Community and 24 acres of Commerce to Activity Center for a property located on both sides of Miramar Parkway, between Florida’s Turnpike and Hibiscus Place/SW 67 Avenue and a corresponding text amendment in the City of Miramar.</li> <li>• Hollywood 21-01ESR – revises the land use designation of approximately 15 acres of Commerce and approximately 11.6 acres of Low-Medium (10) Residential to Medium (16) Residential for a property located on the south side of Stirling Road, between Oakwood Boulevard and North 22 Avenue in the City of Hollywood.</li> <li>• Lauderdale 21-01ESR – revises the land use designation of approximately 14.2 acres of Commerce to High (50) Residential generally located on the west side of University Drive between Commercial Boulevard and Inverrary Boulevard/NW 50 Street in the City of Lauderdale.</li> <li>• Pompano Beach 20-03ESR – revises text to add 1,500,000 square feet of industrial uses and reduce 650,000 square feet of office uses within the “Pompano Park South Activity Center” generally located on the east side of Powerline Road, between SW 3 Street/Race Tract Road and North Cypress Bend Drive in the City of Pompano Beach.</li> </ul> <p>2. The amendment affects areas within Broward County.</p> <p>3. The amendment does not create any adverse impact to state or regional resources/facilities.</p> <p>4. The Council reviewed this amendment when proposed.</p>				
Monroe County 21-01ACSC (received 06-01-21)	N/A	✓	06-28-21	05-19-21
<p>1. The adopted amendment to Monroe County’s Comprehensive Plan modifies the Future Land Use Element and the Housing Element to establish a new building permit allocation category to award 300 workforce housing early evacuation unit building permit allocations pursuant to the Workforce-Affordable Housing Initiative and establishes specific requirements.</p> <p>2. This amendment affects Monroe County.</p> <p>3. This amendment does not create any adverse impact to state or regional resources/facilities.</p> <p>4. The Council reviewed this amendment when proposed.</p>				

Local Government and Plan Amendment Number	Proposed	Adopted	Council Review Date	Local Government Transmittal or Adoption Public Hearing and Meeting
City of Coral Springs 20-01ESR (received 05-12-21)	N/A	✓	06-28-21	06-17-21
<ol style="list-style-type: none"> <li>1. The adopted amendment to the City of Coral Spring’s Comprehensive Plan revises the land use designation of 15.59 acres of Commercial use designation and 0.07 acres of Transportation use designation to 15.59 acres of Medium (8.00-20.00 du/acre) Residential use, circumscribed to 10.1 du/acre and 0.07 acres of Community Facilities.</li> <li>2. This amendment affects a site located in Section 7, Township 48 South, Range 41 East, generally located on the north side of Wiles Road, west of Coral Ridge Drive in the City of Coral Springs.</li> <li>3. This amendment does not create any adverse impact to state or regional resources/facilities.</li> <li>4. Council reviewed the amendment when proposed.</li> </ol>				
City of Marathon 21-01ACSC (received 05-24-21)	N/A	✓	06-28-21	01-12-21
<ol style="list-style-type: none"> <li>1. The adopted amendment revises the City of Marathon’s Comprehensive Plan by amending the land use designation of two properties on the Future Land Use Map (FLUM) from Residential High (RH) to Mixed Use Commercial (MU-C) with the intent of allowing the expansion of an adjacent marine aquaculture/research facility.</li> <li>2. This amendment affects two properties located at 163 63 Street (Ocean) in the City of Marathon.</li> <li>3. This amendment does not create any adverse impact to state or regional resources/facilities.</li> <li>4. The Council reviewed this amendment when proposed.</li> </ol>				



## MEMORANDUM

AGENDA ITEM #IV.E

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DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: FY 2019-20 ANNUAL AUDIT

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Please find herewith the Audited Financial Statements for the year ended September 30, 2020. Tanya I. Davis, CPA, Partner, S. Davis & Associates will present the Audit at the Council Meeting.

### Recommendation

Approve the Audited Financial Statements for the year ended September 30, 2020.



South Florida Regional Council  
1 Oakwood Boulevard, Suite 250, Hollywood, Florida 33020  
954.924.3653 Phone, 954.924-3654 FAX  
[www.sfregionalcouncil.org](http://www.sfregionalcouncil.org)

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**  
**AUDITED FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED SEPTEMBER 30, 2020**

**DRAFT**

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
AUDITED FINANCIAL STATEMENTS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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## INDEPENDENT AUDITOR'S REPORT

To the Council Members of the  
South Florida Regional Planning Council

### **Report on the Financial Statements**

We have audited the accompanying financial statements of the governmental activities and each major fund of the South Florida Regional Planning Council as of and for the year ended September 30, 2020, and the related notes to the financial statements, which collectively comprise the Council's basic financial statements as listed in the table of contents.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.



## **Opinions**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and each major fund of the Council, as of September 30, 2020, and the respective changes in financial position, and, where applicable for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Other Matters**

### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 4 through 9, budgetary comparison information on pages 33 through 37 and schedules of pension information on pages 38 through 41, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

### *Other Information*

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Council's basic financial statements. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, and is also not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

## **Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated June \_\_, 2021, on our consideration of the Council's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Council's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance

with *Government Auditing Standards* in considering the Council's internal control over financial reporting and compliance.

Hollywood, Florida  
June \_\_, 2021

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**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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This section of the South Florida Regional Planning Council's (the Council) financial statements presents management's analysis of the Council's financial performance during the year ended September 30, 2020. It is presented to enhance the usefulness of the Council's basic financial statements. Please read this narrative in conjunction with the financial statements, which follow this section.

**Financial Highlights**

- The assets and deferred outflows of the Council as reported in the government-wide financial statements exceeded the liabilities and deferred inflows as of September 30, 2020, by \$6,422,896 (net position). This amount represents an increase of \$1,375,404 as compared to an increase of \$172,110 the prior year. This increase was mainly due to excess revenues over expenditures in the Revolving Loan Funds of \$645,626 and the change in net position for other RLF loans of \$773,614.
- The General Fund unassigned fund balance as of September 30, 2020, amounts to \$802,658 as compared to \$848,077 at the end of the previous year. This represents a decrease of \$45,419 that was mainly due to the increase in personnel services related to senior staff retirements and the hiring of new staff.
- The South Florida Regional Planning Council (the Council) is partnering with the U.S. Department of Commerce's Economic Development Administration to oversee and administer a new \$5.9 million CARES Act Business Revolving Loan Fund program that will alleviate sudden and severe economic dislocation caused by the coronavirus in Monroe, Miami-Dade, Broward, and Palm Beach counties.

**Overview of the Financial Statements**

The Council's basic financial statements consist of 1) *government-wide statements*, 2) *fund financial statements*, and 3) *notes to the financial statements*. Other supplementary information is also included in the report.

*Required Basic Financial Statements*

The Council utilizes a governmental fund for its financial reporting purposes. As the Council presents financial information using the governmental fund, the Council has elected to present its government-wide statements and fund statements in one set of statements with an adjusting column reconciling the two sets of statements.

The *government-wide financial* statements include the Statement of Net Position and the Statement of Activities, which provide information about the activities of the Council and present a longer-term view of the Council's finances. These two statements report the net position of the Council and changes in them.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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**Overview of the Financial Statements (cont'd)**

You can think of the Council's net position - the difference between assets and deferred outflows minus liabilities and deferred inflows - as one way to measure financial health or financial position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the Council is improving or deteriorating. However, to assess the overall financial position of the Council, you will need to consider other non-financial factors, such as the condition of the Council's capital assets, the addition or termination of grants and other revenue sources, and the expansion or contraction of programs and services. The Council reports this information using the accounting methods similar to those used by private sector companies. These statements offer short-term and long-term financial information about its activities. The statement of net position includes all the Council's assets and deferred outflows minus liabilities and deferred inflows and provides information about the nature and amounts of investments in resources (assets) and the obligations to Council creditors (liabilities).

All the current year's revenues and expenses are accounted for in the statement of activities. This statement presents information showing how the Council's net position changes during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in the statement for some items that will only result in cash flows in future fiscal periods (for example, uncollected contract and grant revenues, and earned, but unused vacation leave).

Both the *statement of net position* and the *statement of activities* of the government-wide financial statements distinguish functions of the Council that are supported by membership assessments, planning projects (federal, state, and local grants/contracts), and revolving loan portfolios.

The Council's activities include administration functions and long-range regional planning goals, and various revolving loan program service functions. The long-range regional planning goal's function is comprised of a wide array of services including strategic plan development and comprehensive plan review, development of regional impact review and providing technical assistance and expertise in several fields including transportation, economic development, geographic information services, affordable housing, emergency preparedness, land use, and natural resources planning. All activities of the Council are governmental activities.

*Fund Financial Statements*

Fund financial statements tell how the Council's services were financed in the short-term as well as what remains for future spending. The Council utilizes three funds to account for its activities: the general fund, special revenue planning projects fund, and revolving loan funds. The general fund is used to account for all financial resources except those determined to be accounted for in another fund.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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**Overview of the Financial Statements (cont'd)**

The Special Revenue Fund is used to account for revenues derived from specific sources, which are usually required by law or regulation to be accounted for in separate funds. As of September 30, 2020, the Planning Projects Fund and the Revolving Loan Funds including the EDA Consolidated Fund and the EPA Brownfield revolving loan fund, are special revenue funds. The SFRPI Fund reports the financial activity for the Southeast Florida Regional Prosperity Institute, Inc. ("SFRPI"), a component unit of the Council, and is reported as a fund for financial statement purposes. The fund statements provide a detailed short-term view of the Council's operations and the basic services it provides.

Governmental fund information helps you determine whether there are financial resources that can be spent in the near future to finance the Council's operations. We describe the relationship (or differences) between governmental activities (reported in the Statement of Net Position and Statement of Activities) and governmental funds in a reconciliation as discussed above.

The notes to the financial statements provide additional information that is essential for a full understanding of the information provided in the government-wide and fund financial statements.

**Financial Analysis**

*Government-Wide Analysis*

The government-wide statements report the Council's net position and how they have changed. Table A-1 presents the net position of the Council which measures the Council's financial health, or position. Our analysis and a summary of the Council's statements of net position is presented in Table A-1.

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**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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**Financial Analysis (cont'd)**

*Government-Wide Analysis (cont'd)*

**Table A-1**

**Condensed Statement of Net Position  
(in thousands of dollars)**

	<b>2020</b>	<b>2019</b>
Current assets, net of loans receivable	\$3,033	\$2,964
Loans receivable, net	4,395	3,040
Capital assets, net of depreciation	143	164
Non-current assets	15	15
<b>Total assets</b>	<b>\$7,586</b>	<b>\$6,183</b>
Deferred outflows	\$891	\$333
Current liabilities	\$ 162	\$ 87
Non-current liabilities	1,309	1,130
<b>Total liabilities</b>	<b>\$1,471</b>	<b>\$1,217</b>
Deferred inflows	\$583	\$251
Net position:		
Net investment in capital assets	\$ 143	\$ 164
Restricted	6,456	5,037
Deficiency in net assets/unrestricted	(176)	(153)
<b>Total net position</b>	<b>\$ 6,423</b>	<b>\$5,048</b>

As noted earlier, net position may serve over time as a useful indicator of the Council's financial position. As of September 30, 2020, and 2019, the Council's total assets and deferred outflows exceeded its total liabilities and deferred inflows by \$6,422,896 and \$5,047,492, respectively, an increase of \$1,375,404 from 2019 and \$773,614 from Brownsfield RLF funding. This increase was mainly due to excess revenues over expenditures in the Revolving Loan Funds of \$645,626 and the change in net position for other RLF loans of \$773,614.

Unrestricted net position as of September 30, 2020, and 2019 was (\$175,529) and (\$152,661), respectively. The deficit net position is principally attributable to the change in contracts and grants receivables for \$494,831 as of September 30, 2020 due to the collection of receivables and a decrease in contracts related to the impact of the Covid-19 pandemic. The unrestricted net position decreased by \$22,868 during the current fiscal year.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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**Financial Analysis (cont'd)**

*Government-Wide Analysis (cont'd)*

Restricted net position increased by \$1,419,240 or 22.0% to \$6,455,755. This increase was mainly due to excess revolving loan fund revenues over expenditures during the fiscal year.

Table A-2 illustrates the revenues and expenses that caused the change in the Council's total net position.

**Table A-2**

**Condensed Statement of Activities (in thousands of dollars)**

	2020	2019
<b>REVENUES</b>		
Membership assessments	\$ 854	\$ 845
Federal, state and local grants	957	1,345
D.R.I. fees	-	2
Interest	539	244
Other Income	27	51
Bad debt expense	346	-
Total Revenues	2,723	2,487
<b>EXPENDITURES</b>		
Comprehensive planning:		
Personnel services	1,515	1,479
Operating expenses	580	805
Outside consultant expense	4	12
Depreciation and amortization	21	18
	2,120	2,314
Change in net position*	601	173
Change in net position - Other RLF	774	-
Net position, beginning of yea	5,048	4,876
Net position, end of year	6,423	5,048

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
SEPTEMBER 30, 2020**

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**Financial Analysis (cont'd)**

*Government-Wide Analysis (cont'd)*

In 2020, total revenues of \$2,722,345 increased by \$235,593 as compared to fiscal year 2019. There was a slight decrease in federal, state, and local projects due to the influence of the Covid-19 pandemic, however this was offset by the recovery of bad debt allowance resulting in an overall increase.

Total expenditures of \$2,117,757 for the year ended September 30, 2020, represents a decrease of \$196,885 from the prior year also due to the influence of the Covid-19 pandemic curtailing some activities.

**Capital Assets and Debt Administration**

For the years ended September 30, 2020, and 2019, the Council had \$142,670 and \$163,638 in fixed assets, respectively, net of accumulated depreciation.

The Council does not have any long-term debt related to its capital assets and does not utilize debt as a matter of policy.

*Individual Fund Analysis*

Since the Council only has governmental funds/activities, the explanations provided above for the Statement of Activities regarding changes in net position also substantially explain the change in fund balance as shown on the Statement of Revenues, Expenditures and Changes in Fund Balance.

**General Fund Budgetary Highlights**

The original budget was approved at the September 2019 Council meeting.

**Economic Factors and the Budget**

The Council's grants and contracts are contingent upon its renewal and its ability to obtain new grants and, therefore, its revenues may vary from year to year. In setting its budget for fiscal year 2020, various factors, such as delivering at least the same level of expertise and adding new programs and grants were considered.

**Requests for Information**

This financial report is designed to provide a general overview of the Council's finances for all those with interest in its financial position. Questions concerning any of the information provided in this report or requests for additional information should be addressed to the Executive Director, South Florida Regional Planning Council, 1 Oakwood Boulevard, Suite 250, Hollywood, Florida 33020.



**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
BALANCE SHEET/STATEMENT OF NET POSITION  
SEPTEMBER 30, 2020**

	<b>Major Funds</b>					<b>Total Funds</b>	<b>Adjustments</b>	<b>Statement of Net Position</b>
	<b>General</b>	<b>Planning</b>	<b>Revolving Loan Funds</b>		<b>SFRPI</b>			
	<b>Fund</b>	<b>Projects Fund</b>	<b>EDA</b>	<b>Other</b>	<b>Fund</b>			
<b>ASSETS</b>								
Cash and cash equivalents	\$ 750,937	\$ -	\$ -	\$ -	\$ 42,401	\$ 793,338	\$ -	\$ 793,338
Investments	11,172	-	-	-	-	11,172	-	11,172
<b>Receivables</b>								
Contract and grant receivables	10,683	3,317	-	-	-	14,000	-	14,000
Deposits and other assets	15,477	-	-	-	-	15,477	-	15,477
Due from other funds	128,121	-	-	-	-	128,121	(128,121)	-
<b>Restricted assets:</b>								
Cash and cash equivalents	-	142,682	1,127,348	945,170	-	2,215,200	-	2,215,200
Loans receivable, net	-	-	3,346,466	1,048,089	-	4,394,555	-	4,394,555
Property and equipment, net	-	-	-	-	-	-	142,670	142,670
<b>Total Assets</b>	<b>\$ 916,390</b>	<b>\$ 145,999</b>	<b>\$ 4,473,814</b>	<b>\$ 1,993,259</b>	<b>\$ 42,401</b>	<b>\$ 7,571,863</b>	<b>\$ 14,549</b>	<b>\$ 7,586,412</b>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>								
Deferred outflows related to pension							\$ 891,200	\$ 891,200
<b>LIABILITIES AND net position</b>								
<b>Liabilities:</b>								
Accounts payable and other accrued expenses	\$ 24,709	\$ -	\$ 11,318	\$ -	\$ -	\$ 36,027	\$ -	\$ 36,027
Accrued payroll	44,229	-	-	-	-	44,229	-	44,229
Compensated absences	44,794	-	-	-	-	44,794	-	44,794
Unearned revenue	-	-	-	-	37,079	37,079	-	37,079
Due to other funds	-	128,121	-	-	-	128,121	(128,121)	-
Net pension liability	-	-	-	-	-	-	955,660	955,660
Net HIS liability	-	-	-	-	-	-	353,972	353,972
Total Liabilities	113,732	128,121	11,318	-	37,079	290,250	1,181,511	1,471,761
<b>DEFERRED INFLOWS OF RESOURCES</b>								
Deferred inflows related to pension							\$ 582,955	\$ 582,955
<b>Fund Balances:</b>								
Restricted for revolving loan program	-	-	4,462,496	1,993,259	-	6,455,755	(6,455,755)	-
Unassigned	802,658	17,878	-	-	5,322	825,858	(825,858)	-
Total fund balances	802,658	17,878	4,462,496	1,993,259	5,322	7,281,613	(7,281,613)	-
Total Liabilities and Fund Balances	\$ 916,390	\$ 145,999	\$ 4,473,814	\$ 1,993,259	\$ 42,401	\$ 7,571,863		
<b>Net position:</b>								
Net investment in capital assets							142,670	142,670
Restricted for loan program							6,455,755	6,455,755
Unrestricted							(175,529)	(175,529)
<b>Total Net Position</b>							<b>\$ 6,422,896</b>	<b>\$ 6,422,896</b>

The accompanying notes are an integral part of these financial statements.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**  
**STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES/STATEMENT OF ACTIVITIES**  
**FOR THE YEAR ENDED SEPTEMBER 30, 2020**

	Major Funds							
	General Fund	Planning	Revolving Loan Funds		SFPI Fund	Total Funds	Adjustments	Statement Activities
		Projects Fund	EDA	Other				
<b>REVENUES</b>								
Membership assessments	\$ 853,697	\$ -	\$ -	\$ -	\$ -	\$ 853,697	\$ -	\$ 853,697
Federal, state and local projects	207,962	738,113	10,680	-	-	956,755	-	956,755
D.R.I. Report Reviews	350	-	-	-	-	350	-	350
Interest	2,229	-	234,299	302,258	-	538,786	-	538,786
Other Income	-	-	16,125	10,557	-	26,682	-	26,682
Bad debt recovery	-	-	331,689	14,386	-	346,075	-	346,075
Total Revenues	<u>1,064,238</u>	<u>738,113</u>	<u>592,793</u>	<u>327,201</u>	<u>-</u>	<u>2,722,345</u>	<u>-</u>	<u>2,722,345</u>
<b>EXPENDITURES</b>								
Personnel services	623,883	734,545	150,418	12,029	-	1,520,875	(5,823)	1,515,052
Operating expenses	251,675	218,629	104,386	4,225	1,150	580,065	-	580,065
Outside consultant expense	1,160	-	20	3,290	-	4,470	-	4,470
Depreciation and amortization	-	-	-	-	-	-	20,968	20,968
Total Expenditures	<u>876,718</u>	<u>953,174</u>	<u>254,824</u>	<u>19,544</u>	<u>1,150</u>	<u>2,105,410</u>	<u>15,145</u>	<u>2,120,555</u>
Excess (deficiency) of revenues over expenditures	187,520	(215,061)	337,969	307,657	(1,150)	616,935	(15,145)	601,790
<b>Other financing sources (uses):</b>								
Operating Transfers in	-	232,939	-	-	-	232,939	-	232,939
Operating Transfers (out)	(232,939)	-	-	-	-	(232,939)	-	(232,939)
Total other financing sources (uses)	<u>(232,939)</u>	<u>232,939</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
sources over expenditures and other financing uses/change in net position	(45,419)	17,878	337,969	307,657	(1,150)	616,935	(15,145)	601,790
Change in net position - Other RLF				773,614		773,614		773,614
Fund balance/net position at beginning of year	<u>848,077</u>	<u>-</u>	<u>4,124,527</u>	<u>911,988</u>	<u>6,472</u>	<u>5,891,064</u>	<u>(843,572)</u>	<u>5,047,492</u>
Fund balance/net position at end of year	<u>\$ 802,658</u>	<u>\$ 17,878</u>	<u>\$ 4,462,496</u>	<u>\$ 1,993,259</u>	<u>\$ 5,322</u>	<u>\$ 7,281,613</u>	<u>\$ (858,717)</u>	<u>\$ 6,422,896</u>

The accompanying notes are an integral part of these financial statements.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 1 - Nature of Operations**

**Reporting entity**

The South Florida Regional Planning Council (the "Council") is a regional governmental planning and coordinating agency formed in September 1969, in accordance with Chapter 186 of the Florida Statutes to provide policy analysis and comprehensive planning services in such areas as housing, emergency management, economic development, water management, transportation and other matters having direct regional impact. The membership of the Council presently consists of Broward, Miami-Dade, and Monroe counties.

The Council maintains separate management control and accountability. The governing board of the Council is composed of elected officials from the member counties, elected officials from city governments in the geographic area covered by the Council, and gubernatorial appointees from the geographic area covered by the Council.

The financial reporting entity for which the accompanying financial statements are prepared includes the Council (primary government) and its component unit, which is an entity for which the Council is financially accountable. The Council is financially accountable for legally separate organizations if its officials appoint a voting majority of an organization's body and either is able to impose its will on that organization or there is a potential for the organization to provide specific financial benefits to, or impose specific burdens on, the Council. The Council may also be financially accountable for organizations that are fiscally dependent on it.

**Component Unit**

The Southeast Florida Regional Prosperity Institute, Inc. ("SFRPI") was formed as a nonprofit Section 501(c)(3) corporation. SFRPI is dedicated to providing educational and implementation opportunities to the economic development field and stakeholder groups concerning access to capital, environmental justice, conflict resolution, visioning as well as community development and redevelopment practices affecting land use, transportation, emergency management, safe and sanitary housing, health and the built environment, and natural resource protection and management.

SFRPI is a component unit of the Council since SFRPI's Board of Directors consists of the current members of the Council, thereby providing Council to impose its will upon SFRPI. It qualifies as a blended component unit; therefore, data from SFRPI is combined with data of the Council. SFRPI does not issue stand-alone audited financial statements.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 2 - Summary of Significant Accounting Policies**

These financial statements have been prepared in conformity with reporting guidelines established by GASB and in conformity with accounting principles generally accepted in the United States of America. As a result of adopting GASB Statement No. 34 and GASB 63, the following types of financial statements are reported by the Council:

**Government-wide Statements** – The government-wide financial statements (i.e., statement of net position and statement of activities) report information on all the activities of the Council. Governments typically report activities as either governmental activities, which are normally supported by taxes and intergovernmental revenues, or business-type activities, which rely to a significant extent on fees and charges for support. The Council reports only governmental activities as it has no business-type activities.

The statement of activities demonstrates the degree to which the direct expenses of a given function are offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function. Program revenues include 1) charges to customers or applicants who purchase, use, or directly benefit from goods, services or privileges provided by a given function, and 2) grants and contributions that are restricted to meeting the operational or capital requirements of a function. Taxes and other items not properly included among program revenues are reported instead as general revenues. Since the Council's primary function (mission) is policy analysis and comprehensive planning, all revenues and expenses are for this purpose and the accompanying financial statements do not segregate beyond this function.

The Council has only governmental activities and one function. As such, the government-wide financial statements are presented together with the governmental fund financial statements, with an adjustment column presented to reconcile the two sets of statements.

**Fund Financial Statements** – Separate financial statements are provided for the Council's Governmental Funds, as described below:

**Governmental Fund Type** – used to account for all operations of the Council. The measurement focus of this fund type is based upon determination of changes in financial position or the financial flow measurement focus, rather than upon net income determination. Only current assets and current liabilities are generally included on its balance sheet. The operating statement presents sources (revenues and other financial sources) and uses (expenditures and other financial uses) of available spendable resources during the period. The following comprise the Council's major governmental funds:

- a) **General Fund** – used to account for all financial resources except those required to be accounted for in another fund.
- b) **Planning Projects Fund** – used to account for resources derived from specific sources, which are usually required by law or regulation to be accounted for in separate funds.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 2 - Summary of Significant Accounting Policies (cont'd)**

- c) ***The Revolving Loan Fund*** – used to account for resources derived from the various Revolving Loan portfolios (“RLF”). The RLF fund is used to account for revolving loan funds from the Department of Commerce through the Economic Development Administration (“EDA”) and the Environmental Protection Agency (“EPA”). The EDA RLF is used to provide loans to small and medium size businesses located within Miami-Dade, Broward and Monroe Counties that have evidence demonstrating that credit is not otherwise available on terms and conditions that permit the completion or successful operation of the activity to be financed. The EPA RLF is used to fund loans on qualified, suitable market-ready Brownfield sites to appropriate developers and buyers (for-profit and non-profit developers or local governments). The loan fund will assist in the remediation activities required for site cleanup that will allow redevelopment projects to go forward. The primary target areas for loans are the eastern portion of Miami-Dade, Broward, and the Palm Beach Counties.
- d) ***SFRPI Fund*** – used to account for the financial activity of Southeast Florida Regional Prosperity Institute, Inc. (“SFRPI”), a component unit of the Council. SFRPI is a not-for-profit corporation created by the Council. SFRPI provides educational and implementation opportunities to the economic development field and stakeholder groups concerning access to capital, environmental justice, conflict resolution, visioning as well as community development and redevelopment practices affecting land use, transportation, emergency management, safe and sanitary housing, health and the built environment, and natural resource protection and management.

**Measurement focus, basis of accounting and financial statement presentation**

Basis of accounting refers to the point at which revenues and expenditures or expenses are recognized in the accounts and reported in the financial statements. Basis of accounting relates to the timing of the measurements made, regardless of the measurement focus applied.

***Governmental Fund Financial Statements*** – The Council uses the accrual basis of accounting under which revenues and expenses of the Council are recorded in the accounting period in which they are earned or incurred.

Expenditures are recorded when obligations are incurred, except for expenditures related to claims and judgments, which are recorded only when payment is due.

***Government-wide Financial Statements*** – The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Intergovernmental and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 2 - Summary of Significant Accounting Policies (cont'd)**

GASB Statement No. 54 establishes fund balance classifications that comprise a hierarchy based primarily on the extent to which a government is bound to observe constraints imposed upon the use of the resources reported in government funds. Currently, the Council utilizes two of the five designations for fund balance under this statement: restricted and unassigned. These designations are defined as follows:

***Restricted*** fund balance category includes amounts that can be spent only for the specific purposes stipulated by constitution, external source providers, or through enabling legislation. The planning projects fund and revolving loan funds are considered restricted as defined by the statement.

***Unassigned*** fund balance is classification for the government's general fund and includes all spendable amounts not contained in the other classifications. For fiscal year ended September 30, 2020, the unassigned fund balance in the Council's general fund could be used for the day-to-day operations of the Council.

**Cost allocation**

Expenses associated with specific grants or contracts are charged directly to the grants or contracts. Indirect expenses are allocated to active grants or contracts based on direct salary. This policy is consistent with the principles of 2 C.F.R. § 200.

**Budget policy**

Prior to October 1 of each year, the budget is legally adopted by the Council's Board. The budget is prepared based on the accrual basis of accounting which is the same basis of accounting used to reflect actual revenues and expenditures recognized in accordance with accounting principles generally accepted in the United States of America. All actual amounts are presented in the Combined Statement of Revenues, Expenditures and Changes in Fund Balance.

**Risk management**

It is the policy of the Council to purchase insurance for the risks of losses related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Council obtained workers' compensation, property, general liability and automobile insurance coverage through the Florida Municipal Insurance Trust Fund of the Florida League of Cities, Inc. The Council obtained, from third party insurers, employee group life and disability insurance.

**Encumbrances**

The Council does not utilize encumbrance accounting, under which purchase orders, contracts, and other commitments for the expenditure of monies are recorded to reserve that portion of the applicable appropriation.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 2 - Summary of Significant Accounting Policies (cont'd)**

**Accounting estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, deferred outflows, liabilities and deferred inflows and disclosures of contingent assets and liabilities at the date of the financial statements and the amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

**Cash and cash equivalents**

Cash and cash equivalents include amounts in demand deposit accounts and highly liquid investments (including restricted assets) with maturity of three months or less when purchased.

**Investments**

Investments are stated at fair value.

**Contract and grant receivables**

Contract and grant receivables are stated at gross value. In management's opinion, all receivables are collectible as of year-end.

**Loans receivable/allowance for loan losses**

Loans are stated at the amount of unpaid principal, reduced by an allowance for loan losses. The allowance for loan losses is established through a provision for loan losses charged as an expense.

**Restricted assets**

The use of certain assets is restricted to finance business development activities with local economic development strategies and for revolving loan fund activities. Assets so designated are identified as restricted assets on the balance sheet.

**Capital assets**

Capital assets, which include office furniture and equipment and leasehold improvements, are recorded as capital outlay expenditures in the General Fund at the time goods are received and a liability is incurred. These assets are then capitalized at cost in the statement of net position. Fixed assets are depreciated using the straight-line method over two to ten years for furniture and equipment, and the remaining lease period for leasehold improvements. The depreciation expense is recorded in the statement of activities.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 2 - Summary of Significant Accounting Policies (cont'd)**

**Compensated absences**

The Council's policy is to permit regular employees to accumulate earned and unused vacation pay benefits up to 160 hours of vacation time. The liability for accumulated vacation hours is reflected in the statement of net position.

**Due to and from other funds**

Interfund receivables and payables arise from Interfund transactions and are recorded by funds affected in the period in which transactions are executed.

**Unearned revenues**

Unearned revenues are payments received from grantor agencies before the related costs are incurred.

**Pensions**

In the governmental activities, Statement of Net Position, pension liabilities are recognized for the Council's proportionate share of each pension plan's net pension liability. For purposes of measuring the net pension liability, deferred outflows of resources related to pensions, deferred inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the Florida Retirement System Pension Plan (Pension Plan) defined benefit plan and the Health Insurance Subsidy (HIS) defined benefit plan, and additions to and deductions from the Pension Plan's and the HIS's fiduciary net position, have been determined on the same basis as they are reported by the Pension Plan and HIS plans. Changes in the net pension liability during the period are recorded as pension expense, deferred outflows of resources, or deferred inflows of resources depending on the nature of the change. Those changes in the net pension liability that are recorded as deferred outflows of resources or deferred inflows of resources that arise from changes in actuarial assumptions or other inputs, changes in the proportionate share of the net pension liability, and differences between expected or actual experience, are amortized over the average expected remaining service lives of all employees that are provided with pensions through the pension plans, and recorded as a component of pension expense beginning with the period in which they arose. Differences between projected and actual investment earnings are reported as deferred outflows of resources or deferred inflows of resources and are amortized as a component of pension expense using a systematic and rational method over a five-year period.

**Income taxes**

The Council is exempt from federal and state income taxes; accordingly, no provision for income taxes is included in the financial statements.



**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 3 - Reconciliation of the Statement of Revenues, Expenditures and Changes in Fund Balances of Governmental Funds to the Statement of Activities**

Amounts reported for governmental activities in the statement of activities are different because:

Net change in fund balances – total governmental funds     \$ 616,935

Governmental funds report capital outlays as expenditures. In the statement of activities, the cost of capital assets purchased are allocated over their estimated useful lives and reported as depreciation expense. -

Depreciation expense for the fiscal year ended September 30, 2020 (20,968)

Some expenses reported in the statement of activities do not require the use of financial resources and therefore are not reported as expenditures in governmental funds:

Net pension obligations 5,705

Changes in net position of governmental activities \$ 601,672

**Note 4 - Cash and Investments**

As of September 30, 2020, the Council's cash and investments consisted of the following:

Cash and cash equivalents – Unrestricted	
Demand deposits and petty cash	\$ 793,338
Pooled investment fund – Florida State Board of Administration	11,172
Planning Projects Fund matching Funds	142,682
EPA Brownfield Revolving Loan Fund	945,170
EDA Consolidated Loan Fund	1,127,347
Total cash and cash equivalents	<u>\$ 3,019,709</u>

The carrying value of the above cash and investments equals fair value.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 4 - Cash and Investments (cont'd)**

**Deposits**

The Council's deposits are held in financial institutions with Federal depository insurance that are approved by the State Treasurer to hold public funds.

**Investments**

The Council does not have an investment policy with specific provisions to limit exposure to credit risk, custodial credit risk, concentration of credit risk, interest rate risk, or foreign currency risk. Excess funds are invested with the Local Government Surplus Funds Investment Pool Trust Fund ("Florida Prime"), which is administered by the Florida State Board of Administration (SBA) and governed by Chapters 215 and 218 of the Florida Statutes, and Chapter 19-7 of the Florida Administrative Code (collectively, "applicable Florida Law").

As of September 30, 2020, South Florida Regional Council had a balance of \$11,172 invested in the State Board of Administration's Florida Prime Fund.

The Office of the Auditor General performs an operational audit of the activities and investments of the SBA. Investments in the pooled fund are held in the name of the SBA and are not registered with the Securities and Exchange Commission.

Florida PRIME is not a registrant with the Securities and Exchange Commission (SEC); however, SBA has adopted operating procedures consistent with those required of an SEC Rule 2a-7-like fund. A 2a-7-like external investment pool is one that is not registered with the SEC as an investment company, but nevertheless has a policy that it will, and does, operate in a manner consistent with SEC Rule 2a-7, which governs the operation of SEC regulated money market funds.

**Restricted cash**

The EDA Consolidated Loan and EPA Brownfield Loan restricted cash represents funds available to be loaned to finance business development activities consistent with local economic development strategies.

**Note 5 - Loans Receivable/Allowance for Loan Losses**

In August 2000, the U.S. Department of Commerce, through the EDA, transferred the administration of Special Economic Development and Assistance Programs, Long-term Economic Deterioration (RLF I) to the Council from a local nonprofit organization. The outstanding balance of loans receivable and funds available to the program was \$2,745,569 and \$1,723,623, respectively, were likewise transferred to the Council.

On February 23, 2004, the U.S. Department of Commerce, through the EDA, signed an Offer to Amend Grant Agreement to transfer the administration of Special EDA Assistance Program Long-term Economic Deterioration (RLF II) and (RLF Rescue) to the Council from a nonprofit corporation. The outstanding balance of loans receivable and funds available to the program were \$1,571,858 and \$2,001,905, respectively, were transferred to the Council on May 1, 2004.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 5 - Loans Receivable/Allowance for Loan Losses (cont'd)**

In October 2008, the U.S. Department of Commerce, through the EDA, transferred the administration of Special Economic Development and Assistance Programs, Long-term Economic Deterioration (RLF III) to the Council from the City of Homestead. The outstanding balance of loans receivable and funds available to the program was \$179,523 and \$877,359, respectively, and were likewise transferred to the Council.

On September 22, 2010, an offer to consolidate the existing RLF awards was reached between the Council and the U.S. Department of Commerce, Economic Development Administration (EDA). The Consolidated financial award restated was \$10,997,147 in federal award, \$1,290,088 contributed by the original recipients for an estimated total of \$12,287,235. In October 2010, the Council consolidated RLF I, RLF II, RLF III and RLF Rescue into one fund (RLF Consolidated).

As of September 30, 2020, EDA RLF Consolidated fund outstanding balance of loans receivable, net of allowance for doubtful accounts was \$3,346,466. There are \$1,127,347 in funds available to the program as of that date. The administrative costs (excluding bad debt expense) related to the management of RLF Consolidated loan program were \$254,824 for the year ended September 30, 2020.

As of September 30, 2020, \$895,738 were setup as an allowance for loan losses, a reduction of \$346,075 in the Allowance from 2019 due to the collection of different loans that were thought to be of high risk. It is the Council staff's plan to restructure these loans in hope to get them paid in full.

On November 8, 2005, the Council entered into an agreement with the State of Florida Office of Tourism, Trade and Economic Development to administer a loan program for small businesses affected by Hurricane Wilma. Eleven Million (\$11,000,000) dollars were released to the Council for small business loans not to exceed twenty-five thousand (\$25,000) per business.

The funds were allocated as follows: five million dollars (\$5,000,000) for Broward, five million dollars (\$5,000,000) for Miami-Dade County and one million dollars (\$1,000,000) for Monroe. Eight million, four hundred seventy-two thousand, two hundred and four dollars (\$8,472,204) were disbursed to small businesses affected by hurricane Wilma from the three counties and the unused portion of the funds went back to the State including interest earned less administrative cost. The Council has restated the net position for the fiscal year ended September 30, 2019, to reflect the closeout of the Small Business Emergency Bridge Loan Fund related to Hurricane Wilma, as described in Note 9. On 5/16/2019, the Council remitted the remaining \$151,603 in cash as well as the \$977,064 in outstanding loan receivables to the Florida Department of Economic Opportunity. This fund was included in prior years under the "Revolving Loan Funds - Other" and subtracted under the "OTTED Loan Exclusion". The net effect was to exclude it from the Net Position at the entity level.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

**Note 6 - Capital Assets**

The following is the summary of changes in capital assets for the year ended September 30, 2020:

Description	September 30,			September 30,
	2019	Additions	Retirement	2020
Office Furniture & Equipment	\$ 58,939	\$ -	\$ -	\$ 58,939
Leasehold Improvements	153,690	-	-	153,690
Construction in Progress	-	-	-	-
<b>Sub-total</b>	<u>212,629</u>	<u>-</u>	<u>-</u>	<u>212,629</u>
Less accumulated depreciation and amortization	(48,991)	(20,968)	-	(69,959)
<b>Net</b>	<u>\$ 163,638</u>	<u>\$ (20,968)</u>	<u>\$ -</u>	<u>\$ 142,670</u>

Depreciation and amortization expense was \$20,968 in the fiscal year ended September 30, 2020.

**Note 7 - Due From/Due To Other Funds and Transfers In/Out**

The composition of inter-fund balances and transfers as of September 30, 2020, is the following:

	<b>Payable Fund:</b>		<b>Receivable Fund:</b>	
			<b>General Fund</b>	<b>Total</b>
Planning Projects Fund			\$ 128,121	\$ 128,121
<b>Total</b>			<u>\$ 128,121</u>	<u>\$ 128,121</u>

The outstanding balances between Governmental funds mainly resulted from time lag between the dates that (a) inter-fund services are provided, or reimbursable expenditures occur, (b) transactions are recorded in the accounting system, and (c) payments between funds are made. Interfund balances to the General Fund are to provide interim resources to Planning Project Fund for expenses related to reimbursable funds and grants.

	Transfers Out		Transfers In	
			<b>Planning Projects Fund</b>	<b>General Fund</b>
General Fund			\$ 232,939	\$ -
Planning Projects Fund			-	(232,939)
<b>Total Transfers</b>			<u>\$ 232,939</u>	<u>\$ (232,939)</u>
				<u>\$ -</u>

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans**

All Council's employees participate in the Florida Retirement System (FRS). As provided by Chapters 121 and 112, Florida Statutes, the FRS provides two cost sharing, multiple employer defined benefit plans administered by the Florida Department of Management Services, Division of Retirement, including the Pension Plan and the Retiree Health Insurance Subsidy (HIS Plan). Under Section 121.4501, Florida Statutes, the FRS also provides a defined contribution plan (Investment Plan) alternative to the Pension Plan, which is administered by the State Board of Administration (SBA). Generally, membership in the FRS is compulsory for all employees working in a regularly established position for a state agency, county government, district school board, state university, community college, or a participating city or special district within the State of Florida. The FRS provides retirement and disability benefits, annual cost-of-living adjustments, and death benefits to plan members and beneficiaries. The Florida Legislature establishes and may amend the contribution requirements and benefit terms of all FRS plans.

The plan administrator for FRS prepares and publishes its own stand-alone comprehensive annual financial report, including financial statements and required supplementary information. Copies of this report can be obtained from the Department of Management Services, Division of Retirement, Bureau of Research and Member Communications, P.O. Box 9000, Tallahassee, Florida 32315-9000; or at the Division's website ([www.frs.myflorida.com](http://www.frs.myflorida.com)).

**Pension Plan**

Plan Description - The Pension Plan is a cost-sharing multiple-employer defined benefit pension plan, with a Deferred Retirement Option Program (DROP) for eligible employees.

The general classes of membership for the Council are as follows:

Regular Class - Members of the FRS who do not qualify for membership in the other classes.

Senior Management Service Class (SMSC) - Members in senior management level positions

Employees enrolled in the Pension Plan prior to July 1, 2011, vest after six years of creditable service, and employees enrolled in the Pension Plan on or after July 1, 2011, vest after eight years of creditable service. Regular Class and SMSC members initially enrolled in the Pension Plan before July 1, 2011, once vested, are eligible for normal retirement benefits at age 62 or at any age after 30 years of creditable service. Members in these classes initially enrolled in the Pension Plan on or after July 1, 2011, once vested, are eligible for normal retirement benefits at age 65 or any time after 33 years of creditable service.

Early retirement may be taken any time after vesting within 20 years of normal retirement age; however, there is a 5.0% benefit reduction for each year prior to the normal retirement age.

DROP is available under the Pension Plan when the member first reaches eligibility for normal retirement. The DROP allows a member to retire while continuing employment for up to 60 months. While in the DROP, the member's retirement benefits accumulate in the FRS Trust Fund increased by a cost-of-living adjustment each July and earn monthly interest equivalent to an annual rate of 1.30%. DROP participants with an effective DROP commencement date before July 1, 2011, earn monthly interest equivalent to an annual rate of 6.50%.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Pension Plan (cont'd)**

Benefits Provided - Benefits under the Pension Plan are computed based on age and/or years of service, average final compensation, and service credit. Credit for each year of service is expressed as a percentage of the average final compensation. For members initially enrolled before July 1, 2011, the average final compensation is the average of the five highest fiscal years' earnings; for members initially enrolled on or after July 1, 2011, the average final compensation is the average of the eight highest fiscal years' earnings. The total percentage value of the benefit received is determined by calculating the total value of all service, which is based on the retirement plan and/or class to which the member belonged when the service credit was earned. The following chart shows the percentage value for each year of service credit earned.

<u>Class, Initial Enrollment, and Retirement Age/Years of Services</u>	<u>% Value (Per Year of Service)</u>
<b>Regular Class members initially enrolled before July 1, 2011</b>	
Retirement up to age 62 or up to 30 years of service	1.60%
Retirement at age 63 or with 31 years if service	1.63%
Retirement at age 64 or with 32 years if service	1.65%
Retirement at age 65 or with 33 or more years if service	1.68%
<b>Regular Class members initially enrolled after July 1, 2011</b>	
Retirement up to age 65 or up to 33 years of service	1.60%
Retirement at age 66 or with 34 years if service	1.63%
Retirement at age 67 or with 35 years if service	1.65%
Retirement at age 68 or with 36 or more years if service	1.68%
<b>Senior Management Service Class</b>	<b>2.00%</b>

The benefits received by retirees and beneficiaries are increased by a cost-of-living adjustment (COLA) each July. If the member was initially enrolled in the Pension Plan before August 1, 2011, and all service credit was accrued before that time, the annual COLA is 3.0% per year. If the member effective retirement date or DROP begin date is on or after August 1, 2011, there is an individually calculated COLA. The annual COLA is a proportion of 3.0% determined by dividing the sum of the pre-July 2011 service credit by the total service credit at retirement multiplied by 3.0%. Pension Plan members initially enrolled on or after July 1, 2011, will not have a COLA after retirement.

Contributions - Effective July 1, 2011, all enrolled members of the Pension Plan, other than DROP participants, are required to contribute 3.0% of their salary to the Pension Plan. In addition to member contributions, governmental employers are required to make contributions to the Pension Plan based on state-wide contribution rates established by the Florida Legislature. These rates are updated as of July 1, of each year.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Pension Plan (cont'd)**

The employer contribution rates by job class for the periods from October 1, 2019, through June 30, 2020, and from July 1, 2020, through September 30, 2020, respectively, were as follows: Regular – 8.47% and 10.00%, Senior Management Service – 25.41% and 27.49% and DROP participants 14.60% and 16.98%. These employer contribution rates include the HIS Plan contribution rates of 1.66% and 1.66%, or the administrative cost assessment of .06% and .06%. The .06% administrative/educational fee does not apply to DROP participants.

For the fiscal year ending September 30, 2020, pension contributions, including employee contributions of \$25,506, to the Pension Plan for the Council totaled \$127,662.

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions – As of September 30, 2020, the Council reported a liability of \$955,660 for its proportionate share of the Pension Plan's net pension liability.

The net pension liability was measured as of June 30, 2020, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of July 1, 2020. The Council's proportionate share of the net pension liability was based on the Council's 2019-2020 fiscal year contributions relative to the 2019-2020 fiscal year contributions of all participating members. As of June 30, 2020, the Council's proportionate share was 0.0022045% which was a decrease of 0.000118% from its proportionate share measured as of June 30, 2019.

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**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Pension Plan (cont'd)**

For the fiscal year ended September 30, 2020, the Council reported employer contributions of \$73,260. In addition, the Council reported changes in deferred outflows of resources and deferred inflows of resources related to the Pension Plan from the following sources:

**Deferred Inflows**

Deferred Inflows - Investments (reverse prior year)	\$ (44,265)
Deferred Inflows - Experience (reverse prior year)	\$ (497)
Deferred Inflows - Change in Proportion	\$ 111,304
Deferred Inflows - Change in Proportion (reverse prior year)	\$ (116,070)
<b>Total Change</b>	<b><u>\$ (49,528)</u></b>

**Deferred Outflows**

Deferred Outflows - Contributions Subsequent to Prior Measurement Date	\$ 21,497
Deferred Outflows - Assumptions	\$ (173,003)
Deferred Outflows - Assumptions (reverse prior year)	\$ 205,500
Deferred Outflows - Experience	\$ (36,574)
Deferred Outflows - Experience (reverse prior year)	\$ 47,456
Deferred Outflows - Change in Proportion	\$ (19,277)
Deferred Outflows - Change in Proportion (reverse prior year)	\$ 28,299
Deferred Outflows - Investments	\$ (56,900)
<b>Total Change</b>	<b><u>\$ 16,998</u></b>

The deferred outflows of resources related to the Pension Plan for the Council resulting from contributions to the Pension Plan subsequent to the measurement date will be recognized as a reduction of the net pension liability in the fiscal year ended September 30, 2020. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to the Pension Plan will be recognized in pension expense as follows:

<b>Fiscal Year Ending September 30th</b>	
2021	\$ (22,750)
2022	\$ (22,606)
2323	\$ (18,083)
2024	\$ (4,160)
Thereafter	\$ 1,610
<b>Total</b>	<b><u>\$ (65,989)</u></b>



**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Pension Plan (cont'd)**

Actuarial Assumptions - The total pension liability in the June 30, 2020, actuarial valuation was determined using the following actuarial assumptions:

Mortality rates were based on the Generational RP-2000 with Projection Scale BB tables.

Inflation	2.40%
Salary increases	3.05% average, including inflation
Investment rate of return	7.00%

The actuarial assumptions used in the July 1, 2020 valuation, were based on the results of an actuarial experience study for the period July 1, 2013, through June 30, 2019.

The long-term expected rate of return on Pension Plan investments was not based on historical returns, but instead is based on a forward-looking capital market economic model. The allocation policy's description of each asset class was used to map the target allocation to the asset classes shown below. Each asset class assumption is based on a consistent set of underlying assumptions and includes an adjustment for the inflation assumption. The target allocation and best estimates of arithmetic and geometric real rates of return for each major asset class are summarized in the following table:

<u>Asset Class</u>	<u>Allocation*</u>	<u>Annual Arithmetic Return</u>	<u>Compound Annual (Geometric) Return</u>	<u>Standard Deviation</u>
Cash	1.0%	2.2%	2.2%	1.2%
Fixed Income	19.0%	3.0%	2.9%	3.5%
Global Equity	54.2%	8.0%	6.7%	17.1%
Real Estate	10.3%	6.4%	5.8%	11.7%
Private Equity	11.1%	10.8%	8.1%	25.7%
Strategic Investments	4.4%	5.5%	5.3%	6.9%
Assumed Inflation		Mean	2.4%	1.7%

\*As outlined in the Pension Plan's investment policy.

Discount Rate - The discount rate used to measure the total pension liability was 6.80%. The Pension Plan's fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the discount rate for calculation the total pension liability is equal to the long-term expected rate of return.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Pension Plan (cont'd)**

Sensitivity of the Proportionate Share of the Net Pension Liability to Changes in the Discount Rate - The following represents the Council's proportionate share of the net pension liability calculated using the discount rate of 6.80%, as well as what the proportionate share of the net pension liability would be if it were calculated using a discount rate that is one percentage point lower (5.80%) or one percentage point higher (7.80%) than the current rate:

	<b>1% Decrease</b>	<b>Current Discount Rate</b>	<b>1% Increase</b>
	5.80%	6.80%	7.80%
Council's proportionate share of Net Pension Liability	\$1,526,000	\$ 955,660	\$ 479,277

Pension Plan Fiduciary Net Position - Detailed information regarding the Pension Plan's fiduciary net position is available in the separately issued FRS Pension Plan and Other State-Administered Systems Comprehensive Annual Financial Report.

**HIS Plan**

Plan Description - The HIS Plan is a cost-sharing multiple-employer defined benefit pension plan established under Section 112.363, Florida Statutes, and may be amended by the Florida legislature at any time. The benefit is a monthly payment to assist retirees of State-administered retirement systems in paying their health insurance costs and is administered by the Florida Department of Management Services, Division of Retirement.

Benefits Provided - For the fiscal year ended September 30, 2020, eligible retirees and beneficiaries received a monthly HIS Plan payment of \$5 for each year of creditable service completed at the time of retirement, with a minimum HIS Plan payment of \$30 and a maximum HIS Plan payment of \$150 per month. To be eligible to receive these benefits, a retiree under a State-administered retirement system must provide proof of health insurance coverage, which may include Medicare.

Contributions - The HIS Plan is funded by required contributions from FRS participating employers as set by the Florida Legislature. Employer contributions are a percentage of gross compensation for all active FRS members. For the fiscal year ended September 30, 2020, the HIS Plan contribution rate for the period October 1, 2019, through June 30, 2020, and from July 1, 2020, through September 30, 2020, was 1.66% and 1.66%, respectively. HIS Plan contributions are deposited in a separate trust fund from which payments are authorized. HIS Plan benefits are not guaranteed and are subject to annual legislative appropriation. In the event, legislative appropriation or available funds fail to provide full subsidy benefits to all participants, benefits may be reduced or cancelled.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**HIS Plan (cont'd)**

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions – As of September 30, 2020, the Council reported a liability of \$353,972 for its proportionate share of the HIS Plan's net pension liability. The net pension liability was measured as of June 30, 2020, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2020. The Council's proportionate share of the net pension liability was based on the Council's 2019-2020 fiscal year contributions relative to the 2019-2020 fiscal year contributions of all participating members. As of June 30, 2020, the Council's proportionate share was 0.002899% which was an increase of 0.00031% from its proportionate share measured as of June 30, 2019.

For the fiscal year ended September 30, 2020, the Council reported employer contributions of \$16,706. In addition, the Council reported changes to deferred outflows of resources and deferred inflows of resources related to the HIS Plan from the following sources:

**Deferred Inflows**

Deferred Inflows - Assumptions	\$ 20,582
Deferred Inflows - Assumptions (reverse prior year)	\$ (23,674)
Deferred Inflows - Change in Proportion	\$ 48,116
Deferred Inflows - Change in Proportion (reverse prior year)	\$ (66,089)
Deferred Inflows - Experience	\$ 73
Deferred Inflows - Experience (reverse prior year)	\$ (355)
<b>Total</b>	<b><u>\$ (21,347)</u></b>

**Deferred Outflows**

Deferred Outflows - Assumptions	\$ (38,061)
Deferred Outflows - Assumptions (reverse prior year)	\$ 33,539
Deferred Outflows - Change in Proportion	\$ (41,032)
Deferred Outflows - Change in Proportion (reverse prior year)	\$ 15,749
Deferred Outflows - Contributions Subsequent to Prior Measurement Date 06/30	\$ 4,243
Deferred Outflows - Experience	\$ (14,480)
Deferred Outflows - Experience (reverse prior year)	\$ 3,518
Deferred Outflows - Investments	\$ (283)
Deferred Outflows - Investments (reverse prior year)	\$ 187
<b>Total</b>	<b><u>\$ (36,620)</u></b>

The deferred outflows of resources related to the HIS Plan, totaling \$4,342 for the Council, resulting from contributions to the HIS Plan subsequent to the measurement date, will be recognized as a reduction of the net pension liability in the fiscal year ended September 30, 2020.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**HIS Plan (cont'd)**

Other amounts reported as deferred outflows of resources and deferred inflows of resources related to the HIS Plan will be recognized in pension expense as follows:

<b>Fiscal Year Ending September 30th</b>		
2021	\$	(22,750)
2022	\$	(22,606)
2323	\$	(18,083)
2024	\$	(4,160)
Thereafter	\$	1,610
<b>Total</b>	<b>\$</b>	<b>(65,989)</b>

Actuarial Assumptions – Actuarial valuations for the HIS Plans are conducted biennially. The July 1, 2019, HIS Plan valuation is the most recent actuarial valuation and was used to develop the liabilities for June 30, 2020. Liabilities originally calculated as the actuarial valuation date have been recalculated as of June 30, 2020, using standard actuarial roll-forward technique. The total pension liability as of June 30, 2020, was determined using the following actuarial assumptions: Mortality rates were based on the Generational RP-2000 with Projection Scale BB tables.

Inflation	2.60%
Salary increases	3.25% average, including inflation
Investment rate of return	3.50% net pension plan investment, including inflation

The actuarial assumptions that determined the total pension liability as of June 30, 2020, were based on the results of an actuarial experience study for the period July 1, 2013, through June 30, 2019.

Discount Rate - The discount rate used to measure the total pension liability as of June 30, 2020, was 3.50%. In general, the discount rate for calculating the total pension liability is equal to the single rate equivalent to discounting at the long-term expected rate of return for benefit payments prior to the projected depletion date. Because the HIS Plan benefit is essentially funded on a pay-as-you-go basis, the depletion date is considered to be immediate, and the single equivalent discount rate is equal to the municipal bond rate selected by the FRS Actuarial Assumption Conference. The Bond Buyer General Obligation 20-Bond Municipal Bond Index was adopted as the applicable municipal bond index. The discount rate used in the 2020 valuation was updated from 3.50% to 2.21%, reflecting the changed in the Bond Buyer General Obligation 20-Bond Municipal Index as of June 30, 2020.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**HIS Plan (cont'd)**

Sensitivity of the Proportionate Share of the Net HIS Liability to Changes in the Discount Rate - The following represents the Council's proportionate share of the net pension liability calculated as of September 30, 2020, using the discount rate of 2.21%, as well as what the proportionate share of the net pension liability would be if it were calculated using a discount rate that is one percentage point lower 1.21% or one percentage point higher 3.21% than the current rate:

	<b>1% Decrease</b>	<b>Current Discount Rate</b>	<b>1% Increase</b>
Council's proportionate share of Net Pension Liability	1.21%	2.21%	3.21%
	\$ 409,176	\$ 353,972	\$ 308,788

HIS Plan Fiduciary Net Position - Detailed information regarding the HIS Plan's fiduciary net position is available in the separately issued FRS Pension Plan and Other State-Administered Systems Comprehensive Annual Financial Report.

**Investment Plan**

The SBA administers the defined contribution plan officially titled the FRS Investment Plan. The Investment Plan is reported in the SBA's annual financial statements and in the State of Florida Comprehensive Annual Financial Report.

As provided in Section 121.4501, Florida Statutes, eligible FRS members may elect to participate in the Investment Plan in lieu of the defined benefit pension plan. Council employees participating in DROP are not eligible to participate in the Investment Plan. Employer and employee contributions, including amounts contributed to individual member's accounts, are defined by law, but the ultimate benefit depends in part on the performance of investment funds. Benefit terms, including contribution requirements, for the Investment Plan are established and may be amended by the Florida Legislature. The Investment Plan is funded with the same employer and employee contribution rates that are based on salary and membership class, as the Pension Plan. Contributions are directed to individual member accounts, and the individual members allocate contributions and account balances among various approved investment choices. Costs of administering the Investment Plan, including the FRS Financial Guidance Program, are funded through an employer contribution of 0.04% of payroll and by forfeited benefits of plan members.

For all membership classes, employees are immediately vested in their own contributions and are vested after one year of service for employer contributions and investment earnings. If an accumulated benefit obligation for service credit originally earned under the Pension Plan is transferred to the Investment Plan, the member must have the years of service required for Pension Plan vesting (including the service credit represented by the transferred funds) to be vested for these funds and the earnings on the funds. Non-vested employer contributions are placed in a suspense account for up to five years. If the employee returns to FRS-covered employment within the five-year period, the employee will regain control over their account. If the

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 8 - Employee Benefit Plans (cont'd)**

**Investment Plan (cont'd)**

employee does not return within the five-year period, the employee will forfeit the accumulated account balance.

After termination and applying to receive benefits, the member may rollover vested funds to another qualified plan, structure a periodic payment under the Investment Plan, receive a lump sum distribution, leave the funds invested for future distribution, or any combination of these options. Disability coverage is provided. The member may either transfer the account balance to the Pension Plan when approved for disability retirement to receive guaranteed lifetime monthly benefits under the Pension Plan or remain in the Investment Plan and rely upon that account balance for retirement income.

**Note 9 - Long-Term Liabilities**

A summary of changes in long-term liabilities for the fiscal year ended September 30, 2020, are as follows:

	09/30/2019	Increase	Decrease	09/30/2020
Net pension liability	\$ 800,095	\$ 155,565	\$ -	\$ 955,660
Net HIS liability	289,658	64,314	-	353,972
Total	<u>\$ 1,089,753</u>	<u>\$ 219,879</u>	<u>\$ -</u>	<u>\$ 1,309,632</u>

**Note 10 - Commitments**

The Council conducts its operations from a leased office space. The five-year lease expires October 15, 2023. Total rental expense for the year ended September 30, 2020, was \$85,855. Future annual rental payments, including approximate common area maintenance cost and real estate taxes required under the amended lease and the extension period are included as follows:

Year ending September 30th	
2021	\$ 94,796
2022	\$ 98,588
2023	<u>\$ 102,531</u>
<b>Total</b>	<b><u>\$ 295,915</u></b>

In addition to base rent, the lease requires fixed common assessed maintenance (CAM) of \$14,173 for the fiscal year ending September 30, 2020, increasing by 4% for each successive year.

**Note 11 - Contingencies**

The Council participates in several Federal programs and State projects. These programs and projects are subject to financial and compliance audits by the grantors or their respective representatives. The possible disallowance of any item charged to the program or project or request for the return of any unexpended funds cannot be determined at this time. No provision for any liability that may result has been made in the financial statements.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO THE FINANCIAL STATEMENTS  
SEPTEMBER 30, 2020**

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**Note 12 - Southeast Florida Regional Prosperity Institute, Inc (“SFRPI”)**

As described in Note 1, the Southeast Florida Regional Prosperity Institute, Inc (“SFRPI”) is a component unit of the Council. Summary financial data as of September 30, 2020, for the SFRPI is as follows:

<b>Assets:</b>	
Cash	\$ 42,401
Total Assets	<u>42,401</u>
 <b>Liabilities:</b>	
Unearned revenue	<u>37,079</u>
Total Liabilities	<u>37,079</u>
 <b>Fund Balance:</b>	
Unassigned	<u>5,322</u>
Total Fund Balance	<u>5,322</u>
Total Liabilities and Fund Balance	<u>42,401</u>
Total Revenue	-
Total Expenditures	<u>(1,150)</u>
Excess Revenue over Expenditures	<u>(1,150)</u>
Fund Balance at the beginning of year	<u>6,472</u>
Fund Balance at the end of year	<u>\$ 5,322</u>

**Note 13 - Subsequent Events**

Subsequent events are events or transactions that occur after the balance sheet date but before the financial statements are issued or are available to be issued. Subsequent events should be disclosed in the financial statements if exclusion of such disclosure would cause the financial statements to be misleading.

Management has evaluated subsequent events through June \_\_, 2020, the date the financial statements were available, and does not believe that there are any other events or transactions that require disclosure. Like other communities and globally, management is currently assessing the impact COVID-19 is having on the Council’s day-to-day operations and will be making decisions on such matters that will be in the best interest of the region.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**

**REQUIRED SUPPLEMENTARY INFORMATION**

**SEPTEMBER 30, 2020**

**DRAFT**



**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
BUDGETARY COMPARISON SCHEDULE  
GENERAL FUND  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

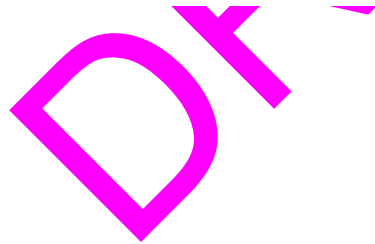
	<b>Final Budget</b>	<b>Actual</b>	<b>Variance to Final Budget Favorable (Unfavorable)</b>
<b>REVENUES</b>			
Local Funded Projects	\$ 233,500	\$ 207,962	\$ (25,538)
Other Revenues	<u>859,597</u>	<u>856,276</u>	<u>(3,321)</u>
Total Revenues	1,093,097	1,064,238	(28,859)
<b>EXPENDITURES</b>			
Local Funded Projects	276,700	243,856	32,844
Other Expenditures	<u>816,397</u>	<u>632,862</u>	<u>183,535</u>
Total Expenditures	1,093,097	876,718	216,379
Excess (deficiency) of revenues over expenditures	-	187,520	187,520
Other financing sources (uses)			
Operating Transfers in	-	-	-
Operating Transfers (out)	<u>-</u>	<u>(232,939)</u>	<u>(232,939)</u>
Total other financing sources (uses)	<u>-</u>	<u>(232,939)</u>	<u>(232,939)</u>
Net change in fund balance	-	(45,419)	(45,419)
Fund balance at beginning of year	<u>848,077</u>	<u>848,077</u>	<u>-</u>
Fund balance at end of year	<u>\$ 848,077</u>	<u>\$ 802,658</u>	<u>\$ (45,419)</u>



See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
 BUDGETARY COMPARISON SCHEDULE  
 PLANNING PROJECTS FUND  
 FOR THE YEAR ENDED SEPTEMBER 30, 2020**

	<u>Final Budget</u>	<u>Actual</u>	<u>Variance to Final Budget Favorable (Unfavorable)</u>
<b>REVENUES</b>			
Total Revenues	\$ 799,310	\$ 738,113	\$ (61,197)
<b>EXPENDITURES</b>			
Total Expenditures	<u>799,310</u>	<u>953,174</u>	<u>(153,864)</u>
Excess (deficiency) of revenues over expenditures	-	(215,061)	(215,061)
Other financing sources (uses)			
Operating Transfers in	-	232,939	232,939
Operating Transfers (out)	<u>-</u>	<u>-</u>	<u>-</u>
Total other financing sources (uses)	<u>-</u>	<u>232,939</u>	<u>232,939</u>
Net change in fund balance	-	17,878	17,878
Fund balance at beginning of year	<u>-</u>	<u>-</u>	<u>-</u>
Fund balance at end of year	<u>\$ -</u>	<u>\$ 17,878</u>	<u>\$ 17,878</u>

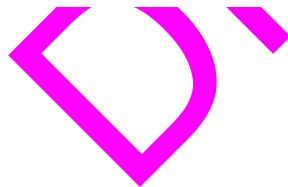


See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
BUDGETARY COMPARISON SCHEDULE  
EDA REVOLVING LOAN FUNDS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>	<u>Variance to Final Budget Favorable (Unfavorable)</u>
<b>REVENUES</b>				
Total Revenues	\$ 246,000	\$ 246,000	\$ 592,793	\$ 346,793
<b>EXPENDITURES</b>				
Total Expenditures	<u>246,000</u>	<u>246,000</u>	<u>254,824</u>	<u>(8,824)</u>
Excess (deficiency) of revenues over expenditures	-	-	337,969	337,969
Other financing sources (uses)				
Operating Transfers in	-	-	-	-
Operating Transfers (out)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total other financing sources (uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Net change in fund balance	-	-	337,969	337,969
Fund balance at beginning of year	<u>4,124,527</u>	<u>4,124,527</u>	<u>4,124,527</u>	-
Fund balance at end of year	<u>\$ 4,124,527</u>	<u>\$ 4,124,527</u>	<u>\$ 4,462,496</u>	<u>\$ 337,969</u>



See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
BUDGETARY COMPARISON SCHEDULE  
OTHER REVOLVING LOAN FUNDS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>	<u>Variance to Final Budget Favorable (Unfavorable)</u>
<b>REVENUES</b>				
Total Revenues	\$ 30,700	\$ 30,700	\$ 327,201	\$ 296,501
<b>EXPENDITURES</b>				
Total Expenditures	<u>30,700</u>	<u>30,700</u>	<u>19,544</u>	<u>11,156</u>
Excess (deficiency) of revenues over expenditures	-	-	307,657	307,657
Other financing sources (uses)				
Operating Transfers in	-	-	-	-
Operating Transfers (out)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total other financing sources (uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Net change in fund balance	-	-	307,657	307,657
Fund balance at beginning of year	<u>911,988</u>	<u>911,988</u>	<u>911,988</u>	-
Fund balance at end of year	<u>\$ 911,988</u>	<u>\$ 911,988</u>	<u>\$ 1,219,645</u>	<u>\$ 307,657</u>

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See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
BUDGETARY COMPARISON SCHEDULE  
SFRPI FUND  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>	<u>Variance to Final Budget Favorable (Unfavorable)</u>
<b>REVENUES</b>				
Total Revenues	\$ -	\$ -	\$ -	\$ -
<b>EXPENDITURES</b>				
Total Expenditures	<u>-</u>	<u>-</u>	<u>1,150</u>	<u>(1,150)</u>
Excess (deficiency) of revenues over expenditures	-	-	(1,150)	(1,150)
Other financing sources (uses)				
Operating Transfers in	-	-	-	-
Operating Transfers (out)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total other financing sources (uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Net change in fund balance	-	-	(1,150)	(1,150)
Fund balance at beginning of year	<u>6,472</u>	<u>6,472</u>	<u>6,472</u>	<u>-</u>
Fund balance at end of year	<u>\$ 6,472</u>	<u>\$ 6,472</u>	<u>\$ 5,322</u>	<u>\$ (1,150)</u>

DRAFT

See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
SCHEDULE OF CONTRIBUTIONS  
FLORIDA RETIREMENT SYSTEM PENSION PLAN  
LAST TEN FISCAL YEARS**

	<b>2020</b>	<b>2019</b>	<b>2018</b>	<b>2017</b>	<b>2016</b>	<b>2015</b>	<b>2014</b>
Contractually required contribution	102,155	85,020	73,203	77,034	82,188	\$ 80,347	\$ 57,810
Contributions in relation to the contractually required contribution	(102,155)	(85,020)	(73,203)	(77,034)	(82,188)	(80,347)	(57,810)
Contribution deficiency (excess)	-	-	-	-	-	-	-
SFRPC's covered-employee payroll	\$ 1,012,104	\$ 916,192	\$ 919,650	\$ 919,650	\$ 1,038,774	\$ 953,750	\$ 1,070,059
Contributions as a percentage of covered-employee payroll	-10.09%	-9.28%	-7.96%	-8.38%	-7.91%	-8.42%	-5.40%

**Note: The amounts presented for each fiscal year were determined as of September 30th.  
Information is not available prior to fiscal year 2014.**

See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
SCHEDULE OF PROPORTIONATE SHARE OF THE NET PENSION LIABILITY  
FLORIDA RETIREMENT SYSTEM PENSION PLAN  
LAST TEN FISCAL YEARS**

	<b>2020</b>	<b>2019 <sup>3</sup></b>	<b>2018</b>	<b>2017 <sup>2</sup></b>	<b>2016</b>	<b>2015</b>	<b>2014</b>
SFRPC's proportion of the net pension liability (asset)	0.002205%	0.002195%	0.059740%	0.057945%	0.058090%	0.053894%	0.051134%
SFRPC's proportionate share of the net pension liability (asset)	\$ 955,643	\$ 800,090	\$ 17,994,069	\$ 17,145,653	\$ 14,667,833	\$ 6,961,137	\$ 3,119,926
SFRPC's covered-employee payroll	\$1,012,104	\$ 916,192	\$ 788,293	\$ 919,650	\$ 1,038,774	\$ 953,750	\$1,070,059
SFRPC's proportionate share of the net pension liability (asset) as a percentage of its covered-employee payroll	94.42%	87.33%	2282.66%	1864.37%	1412.03%	729.87%	291.57%
Plan fiduciary net position as a percentage of the total pension liability	78.85%	82.61%	84.26%	83.89%	84.88%	92.00%	96.09%

**Note: The amounts presented for each fiscal year were determined as of September 30th. Information is not available prior to fiscal year 2014.**

See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
SCHEDULE OF CONTRIBUTIONS  
FLORIDA RETIREMENT SYSTEM HEALTH INSURANCE SUBSIDY  
LAST TEN FISCAL YEARS**

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	<b>2020</b>	<b>2019</b>	<b>2018</b>	<b>2017</b>	<b>2016</b>	<b>2015</b>	<b>2014</b>
Contractually required contribution	18,969	16,966	13,936	15,661	17,275	\$ 12,598	\$ 9,064
Contributions in relation to the contractually required contribution	(18,969)	(16,966)	(13,936)	(15,661)	(17,275)	(12,598)	(9,064)
Contribution deficiency (excess)	-	-	-	-	-	-	-
SFRPC's covered-employee payroll	\$1,012,104	\$916,192	\$788,293	\$919,650	\$1,038,774	\$953,750	\$1,070,059
Contributions as a percentage of covered-employee payroll	-1.87%	-1.85%	-1.77%	-1.70%	-1.66%	-1.32%	-0.85%

**Note: The amounts presented for each fiscal year were determined as of September 30th. Information is not available prior to fiscal year 2014.**

See notes to required supplementary information.



**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
SCHEDULE OF PROPORTIONATE SHARE OF THE NET PENSION LIABILITY  
FLORIDA RETIREMENT SYSTEM HEALTH INSURANCE SUBSIDY  
LAST TEN FISCAL YEARS**

	<b>2020</b>	<b>2019</b>	<b>2018</b>	<b>2017</b>	<b>2016</b>	<b>2015</b>	<b>2014</b>
SFRPC's proportion of the net pension liability (asset)	0.002899%	0.002589%	0.002441%	0.042122%	0.041675%	0.040376%	0.038232%
SFRPC's proportionate share of the net pension liability (asset)	\$ 353,972	\$ 289,658	\$ 258,360	\$4,503,879	\$4,857,028	\$4,117,722	\$3,574,813
SFRPC's covered-employee payroll	\$1,012,104	\$ 916,192	\$ 788,293	\$ 919,650	\$1,038,774	\$ 953,750	\$1,070,059
SFRPC's proportionate share of the net pension liability (asset) as a percentage of its covered-employee payroll	34.97%	31.62%	32.77%	489.74%	467.57%	431.74%	334.08%
Plan fiduciary net position as a percentage of the total pension liability	3.00%	2.63%	2.15%	1.64%	0.97%	0.50%	0.99%

**Note: The amounts presented for each fiscal year were determined as of September 30th. Information is not available prior to fiscal year 2014.**

See notes to required supplementary information.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
NOTES TO REQUIRED SUPPLEMENTARY INFORMATION  
SEPTEMBER 30, 2020**

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**Note 1- Budgetary Requirements**

The South Florida Regional Planning Council (the “Council”) prepares an annual operating budget for all the funds presented in the financial statements. They include the following:

1. General Fund
2. Planning Projects Fund
3. EDA Revolving Loan Fund
4. Other Revolving Loan Funds
5. The SFRPI Fund

The Council’s budgeting process is based on estimates of revenues and expenditures and requires that all budgets be approved by the Board of the Council (the “Board”). Subsequent amendments to the budget, if any, are approved by the Board.

Budgets are prepared on the same basis of accounting as required for Governmental Fund Types. Any remaining fund balances remain with the Council at the end of the year.

For the year ended September 30, 2020, the budget to actual comparison for the major funds were the following:

- General Fund revenues were \$1,064,238 or \$28,859 lower than the budget.
- General Fund expenditures were \$876,718 or \$216,379 lower than the budget.
- Planning Project Fund revenues were \$738,113 or \$61,197 lower than the budget.
- Planning Project Fund expenditures were \$953,174 or \$153,864 higher than the budget.

**Note 2 – Pension Information**

The discount rate used to measure the pension liability of the HIS plan as of June 30, 2020, was decreased from 3.50% to 2.21%, reflecting the change in the Bond Buyer General Obligation 20-Bond Municipal Bond Index as of June 30, 2020.

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL**

**COMPLIANCE SECTION**

**SEPTEMBER 30, 2020**

**DRAFT**

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

To the Council Members of the  
South Florida Regional Planning Council

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the Council, as of and for the year ended September 30, 2020, and the related notes to the financial statements, which collectively comprise the Council's basic financial statements, and have issued our report thereon dated June \_\_, 2021.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Council's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Council's internal control. Accordingly, we do not express an opinion on the effectiveness of the Council's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

## **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Council's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

## **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Hollywood, Florida  
June \_\_, 2021

DRAFT

**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM  
AND ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY THE UNIFORM  
GUIDANCE**

To the Council Members of the  
South Florida Regional Planning Council

**Report on Compliance for Each Major Federal Program**

We have audited the Council's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Council's major federal programs for the year ended September 30, 2020. Council's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

***Auditor's Responsibility***

Our responsibility is to express an opinion on compliance for each of the Council's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Council's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Council's compliance.

***Opinion on Each Major Federal Program***

In our opinion, the Council, complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended September 30, 2020.

**Report on Internal Control over Compliance**

Management of the Council, is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Council's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the

circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Council's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Hollywood, Florida

June \_\_, 2021

DRAFT

**SOUTH FLORIDA REGIONAL COUNCIL  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

FEDERAL AWARDS	CFDA#	Contract #	Expenditures	Loan Receivable	Cash & Investments	Loans Written Off	Total Expenditures	Federal Share
<u>US Dept. of Commerce - Economic Development Administration</u>								
Economic Adjustment Assistance "EDA CONSOLIDATED"	11.307	04-89-03952	\$236,009	\$4,242,193	\$1,150,204	\$ -	\$5,628,406	\$5,037,423 89.50%
<u>US Dept. of Commerce - Economic Development Administration</u>								
Economic Development_Support for Planning Organizations	11.302	ED18ATL3020026	217,760				217,760	217,760
EDA South Dade - Econ Dev Strategy	11.307	04-69-07325	94,231				94,231	94,231
Coronavirus Aid, Relief, and Economic Security (CARES) Act	11.307	ED20ATL3070093	789				789	789
Total US Dept of Commerce - Economic Development Administration			<u>548,789</u>	-	-	-	<u>5,941,186</u>	<u>5,350,203</u>
<u>US Department of Energy</u>								
Conservation Research and Development								
Clean Cities Coalition Programmatic Support	81.086	DE-EE0007386	38,983				38,983	38,983
<u>US Department of Transportation</u>								
Interagency Hazardous Materials Public Sector Training and Planning Grants Passed through the State of Florida Division of Emergency Management	20.703	D0005	81,549				81,549	81,549
<u>Federal Transit Administration (FTA)</u>								
Transit-Oriented Development (TOD)	20.500	D20 15-TODP-0008	68,585				68,585	68,585
Transit-Oriented Development (TOD) Passed through South Florida Regional Transportation Authority ("SFRTA")	20.039	15-102	2,896				2,896	2,896
Total Federal Transit Administration			<u>71,481</u>	-	-	-	<u>71,481</u>	<u>71,481</u>
<u>US Department of Environmental Protection</u>								
Environmental Protection								
EPA Coalition Assesment Grant 2019-2022		BF-OOD93919-0	291				291	291
Total Federal Awards			<u>\$741,093</u>	<u>\$4,242,193</u>	<u>\$1,150,204.00</u>	<u>\$ -</u>	<u>\$6,133,490</u>	<u>\$5,542,507</u>

The accompanying notes to the schedule of expenditures of federal awards are an integral part of this schedule.



**SOUTH FLORIDA REGIONAL COUNCIL  
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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**Note 1 - General**

The accompanying Schedule of Expenditures of Federal Awards included herein represents the Federal grant activity of the South Florida Regional Council (the "Council").

**Note 2 - Summary of Significant Accounting Policies**

**Basis of Presentation**

The information in this schedule is presented in accordance with accounting principles generally accepted in the United States and the requirements of Title 2, Part 200 of the Code of Federal Regulations (2 CFR part 200). Some amounts presented in this schedule may differ from amounts presented in, or used in, the preparation of the basic financial statements.

**Basis of Accounting**

The expenditures in the accompanying Schedule of Expenditures of Federal Awards are presented using the accrual basis of accounting. The accrual basis recognizes expenses when they are incurred.

**Note 3 - Loans Receivable**

The Schedule of Expenditures of Federal Awards (SEFA) for EDA RLF grant (CFDA 11.307) shows as a separate line item calculated as follows:

1. Balance of RLF loans outstanding at the end of the fiscal year, *plus*
2. Cash and investment balance in the RLF at the end of the fiscal year, *plus*
3. Administrative expenses paid out of RLF income during the fiscal year; *plus*
4. The unpaid principal of all loans written off during the fiscal year; *and then multiply this sum (1+ 2 +3+4) by*
5. The Federal share of the RLF. The Federal share is defined as the Federal participation rate (or the Federal grant rate) as specified in the grant award.

**Economic Development Agency Revolving Loan Fund**

	<b>2020</b>
Balance of principal	\$ 5,392,397
Administrative expenses	236,009
Unpaid principal of all loans written off	-
Net total	5,628,406
% of federal share	89.50%
Federal Share	<u>\$ 5,037,423</u>

The balance of the loan receivables outstanding as of September 30, 2020, are as follows:

<b><u>CFDA Number</u></b>	<b><u>Loan Description</u></b>	<b><u>Balance</u></b>
11.307	Economic Adjustment Assistance Program	\$ 4,242,193

**SOUTH FLORIDA REGIONAL COUNCIL  
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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**Note 4 - Contingencies**

Grant monies received and disbursed by the Council are for specific purposes and are subject to review by the grantor agencies. Such audits may result in requests for reimbursement due to disallowed expenditures. Based upon prior experience, the Council does not believe that such disallowances, if any, would have a material effect on the financial position of the Council. As of May 29, 2020, management is not aware of any material questioned or disallowed costs as a result of grant audits in process or completed; however, the possible disallowance by a governmental agency of any item charged to a program or project cannot be determined at this time.

**Notes 5 - Indirect Cost Rate.**

The Council has not elected to use the 10 percent de minimis cost rate allowed under the Uniform Guidance.

DRAFT

**SOUTH FLORIDA REGIONAL COUNCIL  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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**Section I - Summary of Auditor's Results**

***Financial Statements***

Type of auditor's report issued:

**Unmodified**

- Material weakness(es) identified? \_\_\_Yes XNo
- Significant deficiency(ies) identified that are not considered to be a material weakness? \_\_\_Yes XNone Reported
- Noncompliance material to financial statements noted? \_\_\_Yes XNo

***Federal Awards***

Internal control over major programs:

- Material weakness(es) identified? \_\_\_Yes XNo
- Significant deficiency(ies) identified that are not considered to be a material weakness? \_\_\_Yes XNone Reported

Type of auditor's report issued on compliance for major programs:

**Unmodified**

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)? \_\_\_Yes XNo

Identification of major programs:

<u>CFDA Number(s)</u>	<u>Name of Federal Program</u>
11.307	U.S. Department of Commerce - Economic Adjustment Assistance
11.302	Economic Development Support for Planning Organizations

Dollar threshold used to distinguish between Type A and Type B programs:

\$ 750,000

Auditee qualified as a low-risk auditee? \_X\_Yes \_\_\_No

**SOUTH FLORIDA REGIONAL COUNCIL  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED SEPTEMBER 30, 2020**

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**Section II - Financial Statement Current Year Findings and Questioned Costs**

There were no findings and questioned costs noted during the current year.

**Section III - Financial Statement Prior Year Findings and Questioned Costs**

There were no findings and questioned costs noted during the prior year.

**Section IV - Federal Award Current Year Findings and Questioned Costs**

There were no findings and questioned costs noted during the current year.

**Section V - Federal Award Prior Year Findings and Questioned Costs**

There were no findings and questioned costs noted during the prior year.

**Section VI - Other Matters**

There were no other matters noted in the current or prior year.

**DRAFT**

## **Management Letter in Accordance with Rules of the Auditor General of the State of Florida**

To the Council Members of the  
South Florida Regional Planning Council

### **Report on the Financial Statements**

We have audited the financial statements of the South Florida Regional Planning Council (the "Council") as of and for the fiscal year ended September 30, 2020, and have issued our report thereon dated June -, 2021.

### **Auditor's Responsibility**

We conducted our audit in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance)*; and Chapter 10.550, Rules of the Auditor General.

### **Other Reports and Schedule**

We have issued our Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance with *Government Auditing Standards*; Independent Auditor's Report on Compliance for Each Major Federal Program and Report on Internal Control over Compliance; Schedule of Findings and Questioned Costs; and Independent Accountant's Report on an examination conducted in accordance with AICPA Professional Standards, AT-C Section 315, regarding compliance requirements in accordance with Chapter 10.550, Rules of the Auditor General. Disclosures in those reports and schedule, which are dated June 28, 2021, should be considered in conjunction with this management letter.

### **Prior Audit Findings**

Section 10.554(1)(i)1., Rules of the Auditor General, requires that we determine whether or not corrective actions have been taken to address findings and recommendations made in the preceding financial audit report. There were no such findings or recommendations.

### **Official Title and Legal Authority**

Section 10.554(1)(i)4., Rules of the Auditor General, requires that the name or official title and legal authority for the primary government and each component unit of the reporting entity be disclosed in the management letter, unless disclosed in the notes to the financial statements. The name or official title and legal authority of the primary government and component unit are disclosed in the notes to the financial statements.

## **Annual Financial Report**

Sections 10.554(1)(i)5.b. and 10.556(7), Rules of the Auditor General, require us to apply appropriate procedures and communicate the results of our determination as to whether the annual financial report for the Council for the fiscal year ended September 30, 2020, filed with the Florida Department of Financial Services pursuant to Section 218.32(1)(a), Florida Statutes, is in agreement with the annual financial audit report for the fiscal year ended September 30, 2020. In connection with our audit, we determined that these two reports were in agreement.

## **Additional Matters**

Section 10.554(1)(i)2., Rules of the Auditor General, requires that we communicate any recommendations to improve financial management. In connection with our audit, we did not have any such recommendations.

Section 10.554(1)(i)3., Rules of the Auditor General, requires us to communicate noncompliance with provisions of contracts or grant agreements, or abuse, that have occurred, or are likely to have occurred, that have an effect on the financial statements that is less than material but which warrants the attention of those charged with governance. In connection with our audit, we did not have any such findings.

## **Purpose of this Letter**

Our management letter is intended solely for the information and use of the Legislative Auditing Committee, members of the Florida Senate and the Florida House of Representatives, the Florida Auditor General, Federal and other granting agencies, Members of the Council and management and is not intended to be and should not be used by anyone other than these specified parties.

Hollywood, Florida  
June \_\_, 2021

## INDEPENDENT ACCOUNTANT'S REPORT

To the Council Members of the  
South Florida Regional Planning Council

We have examined South Florida Regional Planning Council's (the "Council") compliance with the requirements of Section 218.415, Florida Statutes, during the fiscal year ended September 30, 2020. Management is responsible for the Council's compliance with those requirements. Our responsibility is to express an opinion on the Council's compliance based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether management's assertion about compliance with the specified requirements is fairly stated, in all material respects. An examination involves performing procedures to obtain evidence about whether management's assertion is fairly stated, in all material respects. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material misstatement of management's assertion, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the Council's compliance with the specified requirements.

In our opinion, the Council complied in all material respects with Section 218.415 Florida Statutes for fiscal year ended September 30, 2020.

Hollywood, Florida  
June \_\_, 2021



# MEMORANDUM

AGENDA ITEM #IV.F

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DATE: JUNE 28, 2021

TO: COUNCIL MEMBERS

FROM: STAFF

SUBJECT: AMENDMENT OF AUDIT COMMITTEE / DRAFT RFP

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1. At the May 24, 2021, Council Meeting the Chair appointed the following members to the Audit Committee:

The Honorable Michelle Coldiron (Monroe County)  
The Honorable René García (Miami-Dade County)  
The Honorable Eddie Martínez (Monroe County)  
The Honorable Michael Udine (Broward County)  
The Honorable Ana Ziade (Broward County)

Florida Statute requires that three (3) members are physically present in order to conduct the business of the Audit Committee. It has proved difficult to achieve the physical quorum because of geographical challenges. As such Staff requests that an additional member be appointed to the Audit Committee to facilitate the achievement of quorum for the purpose of holding meetings.

2. Council staff in partnership with Legal Counsel has reviewed and updated the Request for Proposals needed to secure Audit services for the next five years. Please find attached the draft RFP and provide comments to Staff for finalization, review, and approval by the Audit Committee.

## Recommendations

Appoint an additional member to the Audit Committee to facilitate the achievement of physical quorum in order to conduct the Council's business.

Review and provide comments to Council staff and Legal Counsel to refine the Draft RFP for consideration and approval of the Audit Committee.







## REQUEST FOR PROPOSAL

Proposal Name: Financial Audit Services

Proposal Number: RFP #202101-AU

The South Florida Regional Planning Council (SFRPC), pursuant to its Statement of Organization and Section 218.391, Florida Statutes (Fla. Stat.), invites qualified, certified, public accounting firms to submit a response to this Request for Proposals to provide services on the following project:

### AUDIT OF AN AGENCY OF THE STATE OF FLORIDA

The SFRPC seeks a qualified firm to complete a financial audit for the Fiscal Years ending September 30, 2021, 2022, 2023, 2024 and 2025. The SFRPC Board reserves the right to renew or not renew the selected audit firm for the period mentioned above. The current Fiscal Year budget is available upon request. The detailed Audit Objectives and Scope of Services, including the information required in order for a firm to be considered for the project, is included in this proposal as Appendix A.

### SELECTION/NEGOTIATION PROCESS

The SFRPC's Audit Committee shall serve as the Selection/Negotiation Committee (S/NC) and will be responsible for ranking and recommending no fewer than three (3) firms deemed to be the most highly qualified to provide the services pursuant to this competitive solicitation. The S/NC's recommendations must be subsequently ratified by the SFRPC Board, and the Board shall direct the negotiation of an agreement with the top-ranked firm. It is anticipated, but not required, that the process for this procurement proceed in the following manner:

**PUBLIC NOTICE OF THE REQUEST FOR PROPOSAL (RFP).** The RFP will be published in the Florida Administrative Register on \_\_\_\_\_, \_\_\_\_ of \_\_\_\_\_, 2021. The RFP also will be posted on the SFRPC website ([www.sfrgionalcouncil.org](http://www.sfrgionalcouncil.org)).

**REVIEW OF WRITTEN SUBMITTALS and PRESENTATIONS/INTERVIEWS.** Each firm must submit documents that provide evidence of its capability to provide the services required for this project, as described in the Audit Objectives and Scope of Services, which is included as Appendix A. Appendix A includes a list of evaluation factors that will be used by the RFP Review Team to score the capability of each firm. This score, at the discretion of the S/NC, may be used to create a short-listing of the firms. The short-listed firms will be contacted via follow-up letter and asked to make a 15 minute presentation on \_\_\_\_\_, beginning at 10 AM EST, at the SFRPC Offices (1 Oakwood Boulevard, Suite 250; Hollywood, Florida 33020) to the S/NC.

**THE SFRPC WILL NOT CONSIDER ORAL/WRITTEN COMMUNICATIONS, PRIOR TO THE CONCLUSION OF SHORT-LISTING FIRMS, WHICH VARY THE TERMS OR CONTENTS OF SEALED SUBMITTALS.**

Each shortlisted firm will be given equal time to make presentations, but the question-and-answer time may vary. The S/NC will recommend no fewer than the three (3) most highly qualified firms to the SFRPC Board and this

selection will be ratified by the SFRPC Board at its regularly scheduled meeting on \_\_\_\_\_, 2021, which will begin at 10:30 AM EST, at the SFRPC Offices (1 Oakwood Boulevard, Suite 250; Hollywood, Florida 33020).

#### **TECHNICAL STAFF PARTICIPATION**

Technical staff will have only such authority as may be delegated by the S/NC. Without such delegated authority, technical staff serves purely in an information gathering capacity. The S/NC may delegate authority to the technical staff to negotiate contractual terms and conditions with the selected firm, and those negotiations are subject to Florida's Sunshine Law.

#### **INSURANCE REQUIREMENTS**

The RFP response must include documentation of the required types and coverage levels. A sample Certificate of Insurance is attached (as Appendix B) and reflects the insurance requirements deemed necessary for this project. It is not necessary to have this level of insurance in effect at the time of submittal; however, proof of current coverage and coverage levels as well as a letter from your Carrier indicating upgrade availability are required.

#### **CONFIDENTIAL and PROPRIETARY**

The SFRPC is subject to Chapter 119, Florida Statutes, the "Public Records Law." No claim of confidentiality or proprietary information in all or any portion of a response to the RFP will be honored unless a specific exemption from the Public Records Law exists and it is cited in the response to the RFP. Any claimed exemption must specify the page(s) and paragraph number(s) of the RFP response where the exemption is being invoked. An incorrectly claimed exemption will not disqualify the firm, rather the exemption claim.

Public Records Disclosure: Pursuant to Section 119.0701(2), Florida Statutes, the following provision shall be included in any contract entered into between the SFRPC and the successful proposer:

The SFRPC is public agency subject to Chapter 119, Florida Statutes. The Contractor shall comply with Florida's Public Records Law. Specifically, the CONSULTANT shall:

- 1) Keep and maintain public records required by the SFRPC to perform the service;
- 2) Upon request from the SFRPC's custodian of public records, provide the SFRPC with a copy of the requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided in chapter 119, Fla. Stat., or as otherwise provided by law;
- 3) Ensure that public records that are exempt or that are confidential and exempt from public record disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and, following completion of the contract, Contractor shall destroy all copies of such confidential and exempt records remaining in its possession after the Contractor transfers the records in its possession to the SFRPC; and
- 4) Upon completion of the contract, Contractor shall transfer to the SFRPC, at no cost to the SFRPC, all public records in Contractor's possession. All records stored electronically by the Contractor must be provided to the SFRPC, upon request from the SFRPC's custodian of public records, in a format that is compatible with the information technology systems of the SFRPC.

10.2 The failure of Contractor to comply with the provisions set forth in this Article shall constitute a Default and Breach of this Agreement, for which, the SFRPC may terminate the Agreement.

IF THE CONTRACTOR HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE CONTRACTOR'S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THIS CONTRACT, CONTACT THE CUSTODIAN OF PUBLIC RECORDS AT

Telephone Number: (954) 924-3653

E-mail Address: isabelc@sfrpc.com

Mailing Address: 1 Oakwood Boulevard, Suite 250;  
Hollywood, Florida, 33020

#### **GOVERNING LAW**

Interested firms will consent that contractual agreements with the SFRPC shall be governed by the Laws of the State of Florida, and the venue for any legal action will be Broward County, Florida.

A person, affiliate, or corporate entity that has been placed on the State of Florida Convicted Vendor List and/or Federal Excluded Parties List may not submit an offer to perform work as a consultant or contract with the SFRPC, which is a public entity, and may not transact business with the SFRPC for a period of 36 months from the date of being removed from the Convicted Vendor List and/or the Excluded Party List.

#### **CONE OF SILENCE**

"Cone of Silence" is defined to mean a prohibition on:

- (a) any communication of this RFP between a potential Proposer, Offeror, Respondent, Bidder, lobbyist, or consultant and the SFRPC's professional staff including, but not limited to, the Executive Director and staff;
- (b) any communication regarding this RFP between the SFRPC Councilmembers or their respective staffs and any member of the SFRPC's professional staff including, but not limited to, the Executive Director and staff;
- (c) any communication regarding this RFP between a potential Proposer, Offeror, Respondent, Bidder, lobbyist, or consultant and any member of the Selection/Negotiation Committee and/or RFP Review Team therefore;
- (d) any communication regarding this RFP between the SFRPC Councilmembers or their respective staffs and any member of the Selection/Negotiation Committee and/or RFP Review Team therefore; and
- (e) any communication regarding this RFP between a potential Proposer, Offeror, Respondent, Bidder, lobbyist, or consultant and SFRPC Councilmembers and their respective staffs; and
- (f) any communication regarding this RFP between any member of the SFRPC's professional staff, including but not limited to the Executive Director and staff, and any member of the Selection/Negotiation Committee and/or the RFP Review Team therefore. The Executive Director and the Chair of the Selection/Negotiation Committee may communicate about the Selection/Negotiation Committee's recommendation but only after the Committee has submitted its written recommendation to the Executive Director.

Notwithstanding the foregoing, the Cone of Silence shall not apply to:

- (a) duly noticed pre-bid/proposal conferences and site inspections;

- (b) duly noticed site visits to determine the competency of Bidders/Proposers regarding a particular solicitation during the time period between the opening of Bids/receipt of Proposals and the time the Executive Director makes recommendation to the SFRPC;
- (c) communications with the SFRPC General Counsel and staff;
- (d) communications regarding this RFP between a potential Proposer, Offeror, Respondent, Bidder, lobbyist or consultant and the Finance Director prior to bid opening date or receipt of Proposals, provided the communication is limited strictly to matters of process or procedure already contained in the corresponding solicitation;
- (e) communications regarding this RFP between Finance Director and a member of the Selection/Negotiation Committee, provided the communication is limited strictly to matters of process or procedure already contained in the corresponding solicitation;
- (f) oral presentations before Selection/Negotiation Committee and communications occurring during duly noticed meetings of Selection/Negotiation Committee;
- (g) competitive negotiations;
- (h) public presentations made to the SFRPC Board and communications occurring during any duly noticed public meeting;
- (i) communications in writing or by e-mail at any time with any SFRPC Councilmember or employee unless specifically prohibited by this RFP. The SFRPC shall file a copy of any written communications with the Chief Administrative Manager;
- (j) communications in connection with the collection of industry comments or the performance of market research regarding this RFP by the Finance Director;
- (k) Contract negotiations.

## **Procedure**

(a) Imposition. A Cone of Silence shall be imposed upon this RFP when the solicitation is advertised in the Florida Administrative Register. At the time of imposition of the Cone of Silence, the Executive Director shall provide for Public Notice of the Cone of Silence. The Executive Director shall issue a notice thereof to the affected staff, Councilmembers, Selection/Negotiation Committee and RFP Review Team and include in any advertised solicitation a statement disclosing that the solicitation is subject to the Cone of Silence.

(b) Termination. Except as otherwise provided herein, the Cone of Silence shall terminate at the time the SFRPC Board's approval of the award, or at such time that Bids or Proposals are rejected by the SFRPC Board; provided, however, that if the SFRPC Board refers the recommendation back to the Executive Director for further review, the Cone of Silence shall be re-imposed until such time as the Executive Director's subsequent written recommendation is received by the SFRPC Board.

## **Penalties**

Violation of the Cone of Silence by a particular bidder or proposer shall render the award to said Bidder or Proposer voidable by the SFRPC. Any person who violates a provision of this ordinance shall be prohibited from serving on a Selection/Negotiation Committee unless such appointment is approved by a 2/3 vote of the SFRPC Board. A violation of this section by a particular Bidder, Proposer, Offeror, Respondent, lobbyist or consultant shall subject said Bidder, Proposer, Offeror, Respondent, lobbyist or consultant to potential debarment from doing business with the SFRPC.

In addition to any other penalty provided by law, violation of any provision of this ordinance by a Council employee shall subject said employee to disciplinary action up to and including dismissal.

## **FOR ADDITIONAL PROJECT INFORMATION CONTACT:**

**Leandro I. Braslavsky Soldi**  
**Finance Manager**  
**(954) 924-3653**  
**lbraslavsky@sfrpc.com**

Interested firms must submit four (4) total copies of materials in a sealed envelope that is clearly marked on the outside with the proposal name and number. If the RFP response is being submitted by courier, the envelope contents comprising the firm's RFP response should be sealed in a separate envelope that is marked on the outside with the proposal name and number prior to being placed inside of the delivery package. Proposal responses should be submitted or hand-delivered to:

**South Florida Regional Planning Council**  
**1 Oakwood Boulevard, Suite 250**  
**Hollywood, Florida 33020**  
**Proposal Name: Financial Audit Services**  
**Proposal Number: RFP #202101-AU**

Submittals **MUST BE RECEIVED** by the SFRPC no later than 5:00 PM (EST), \_\_\_\_\_, \_\_\_\_\_, 2021.  
**ELECTRONICALLY TRANSMITTED, LATE OR MISDIRECTED, AND/OR INCORRECTLY MARKED AND/OR UNSEALED SUBMITTALS WILL NOT BE ACCEPTED OR CONSIDERED.**

### **Scrutinized Companies**

Contractor, its principals or owners, must certify that they are not listed on the Scrutinized Companies that Boycott Israel List, Scrutinized Companies with Activities in Sudan List, Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or are engaged in business operations with Syria. In accordance with Section 287.135, Florida Statutes, as amended, a company is ineligible to, and may not, bid on, submit a proposal for, or enter into or renew a contract with any agency or local governmental entity for goods or services of:

- 1) Any amount if, at the time bidding on, submitting a proposal for, or entering into or renewing such contract, the company is on the Scrutinized Companies that Boycott Israel List, created pursuant to Section 215.4725, Florida Statutes, or is engaged in a boycott of Israel; or
- 2) One million dollars or more if, at the time of bidding on, submitting a proposal for, or entering into or renewing such contract, the company:
  - 2.1 Is on the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, created pursuant to Section 215.473, Florida Statutes; or
  - 2.2 Is engaged in business operations in Syria.

### **E-Verify**

Contractor must certify that it is aware of and complies with the requirements of Section 448.095, Florida Statutes, as may be amended from time to time and briefly described herein below.

- 1) Definitions for this Section:
  - 1.1 "Contractor" means a person or entity that has entered or is attempting to enter into a contract with a public employer to provide labor, supplies, or services to such employer in exchange for salary, wages, or other remuneration. "Contractor" includes, but is not limited to, a the Contractor or consultant.
  - 1.2 "Subcontractor" means a person or entity that provides labor, supplies, or services to or for a contractor or another subcontractor in exchange for salary, wages, or other remuneration.
  - 1.3 "E-Verify system" means an Internet-based system operated by the United States Department of Homeland Security that allows participating employers to electronically verify the employment eligibility of newly hired employees.
- 2) Registration Requirement; Termination:

Pursuant to Section 448.095, Florida Statutes, effective January 1, 2021, Contractors, shall register with and use the E-Verify system in order to verify the work authorization status of all newly hired employees. Contractor shall register for and utilize the U.S. Department of Homeland Security's E-Verify System to verify the employment eligibility of:

- 2.1 All persons employed by a Contractor to perform employment duties within Florida during the term of the contract;
- 2.2 All persons (including subconsultants/subcontractors) assigned by Contractor to perform work pursuant to the contract with the SFRPC. The Contractor acknowledges and agrees that

registration and use of the U.S. Department of Homeland Security's E-Verify System during the term of the contract is a condition of the contract with the SFRPC; and

2.3 The Contractor shall comply with the provisions of Section 448.095, Fla. Stat., "Employment Eligibility," as amended from time to time. This includes, but is not limited to registration and utilization of the E-Verify System to verify the work authorization status of all newly hired employees. Contractor shall also require all subcontractors to provide an affidavit attesting that the subcontractor does not employ, contract with, or subcontract with, an unauthorized alien. The Contractor shall maintain a copy of such affidavit for the duration of the contract. Failure to comply will lead to termination of this Contract, or if a subcontractor knowingly violates the statute, the subcontract must be terminated immediately. Any challenge to termination under this provision must be filed in the Circuit Court no later than twenty (20) calendar days after the date of termination. Termination of this Contract under this Section is not a breach of contract and may not be considered as such. If this contract is terminated for a violation of the statute by the Contractor, the Contractor may not be awarded a public contract for a period of one (1) year after the date of termination.

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SOUTH FLORIDA REGIONAL PLANNING COUNCIL

Attachments:

1. Audit Objectives and Scope of Services (Appendix A)
2. Insurance Certificate (Appendix B)
3. Deadlines and Schedule (Appendix C)
4. E-Verify certification affidavit (Appendix D)

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**APPENDIX A**

## **PART I            AUDIT OBJECTIVES AND SCOPE OF SERVICES**

The objective of the audit is the expression of opinions as to whether our basic financial statements are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles and to report on the fairness of the supplementary information referred to in the second paragraph when considered in relation to the basic financial statements taken as a whole. The objective also includes reporting on:

- Internal control related to the financial statements and compliance with laws, regulations and the provisions of contracts or grants agreements, noncompliance with which could have a material effect on the financial statements in accordance with Government Auditing Standards.
- Internal control related to major federal programs and state major projects and an opinion (or disclaimer of opinion) on compliance with laws, regulations and the provisions of contracts or grants agreements that could have a direct and material effect on each major federal program in accordance with the Single Audit Act Amendments of 1996 and OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations; and the requirements described in the Executive Office of the Governor's State Project Compliance Supplement that are applicable to each of its major state projects.

The audit will be conducted in accordance with auditing standards generally accepted in the United States of America; the standards for financial audit contained in Government Auditing Standards, issued by the comptroller General of the United States; Section 11.45, 215.97 and 218.39 Florida statutes, and Section 10.557 Rules of the Auditor General for Local Governmental Entity Audits; the Single Audit Act Amendments of 1996 and the provisions of OMB Circular A-133; and the Executive Office of the Governor's State Projects Compliance Supplement and will include tests of the accounting records of the Council, a determination of major programs in accordance with OMB Circular A-133 and state major projects in accordance with the Executive Office of the Governor's State Projects Compliance Supplement and other procedures deemed necessary to enable the expression of such opinions and to render the required reports. If the opinions on the financial statements or the Single Audit compliance opinions are other than unqualified, they will be fully discussed with us in advance.

Throughout the term of the agreement, additional work may be required, such as verification of data used in Official Statements, assistance with special projects, compliance reviews for certain grant agreements, consent to use the auditor's report in Official Statements, etc.

## **PART II            SFRPC AND ITS ACCOUNTING SYSTEM**

### **A.            TYPE OF GOVERNMENT**

The South Florida Regional Planning Council (SFRPC) is organized under the authority of Chapters 163, Part I, and 186, Florida Statutes. It is a regional governmental planning and coordinating agency formed in 1969. The SFRPC is located in Florida Comprehensive Planning District 11 and consists of the Counties of Broward, Miami-Dade, and Monroe. Council headquarters, as well as all financial records, are located at 3440 Hollywood Boulevard, Suite 140; Hollywood, Florida 33021.

### **B.            ORGANIZATION AND FUND**

1. The SFRPC operates as an Agency of the State of Florida and maintains separate management control and accountability. The governing board of the Council is composed of elected officials from each member county, elected officials from city governments, gubernatorial appointees from the geographic area covered by the Council and ex-officio, non-voting members representing the South Florida Water Management District, Florida Departments of Transportation and Environmental Protection, and the Broward County Office of Economic Development. The SFRPC operates as an enterprise fund.

The General Purpose Financial Statements include the financial position and results of operations as well as supplementary information. Additionally, there is one component unit, the Southeast Florida Regional Prosperity Institute (SFRPI) is a 501(c)(3), nonprofit created by the SFRPC, that is a component unit in the financial statements.

2. Cash, investments, procurement and disbursements are implemented by the SFRPC's Finance and Administrative Services Section.

3. The SFRPC receives approximately \$800,000 in membership fees as well as \$1,800,000 to \$2,000,000 in federal, state, and local grants. Total grants and contracts – twenty-five.

4. Budgets are adopted annually in accordance with the SFRPC's Statement of Organization, and expenditures are controlled in accordance with written policies and procedures. The Fiscal Year (FY) 2016 operating budget is \$2.6 million.

5. Accounting records consist of computerized reports from the SFRPC's Budgetary Control System (BUCS), a fund accounting system, and a Comprehensive Integrated Payroll System from Donald Frey & Company, Inc. and source documents (vouchers, deposits, journal entries, canceled checks), which are located at the SFRPC's headquarters. A spreadsheet is used for the Southeast Florida Prosperity Institute, Inc., a component unit of the SFRPC.

6. The SFRPC's current accounting system runs on a Dell PowerEdge Server running Windows Server 2003. Users connect to the server using Windows 7 and XP workstations to process accounting transactions and to run reports. The system is also used for Internet access, email, general word processing, spreadsheets, website design and Geographic Information System (GIS) map generation.

7. The books are normally closed for the Fiscal Year by the end of the first full week of November.

8. Final submission of audited financial statements (30 copies) is to be provided two weeks prior to the Council meeting scheduled on the first Monday in the month of February. Report of Audit result to Executive Committee and Board is required by audit firm.

### **PART III ASSISTANCE AVAILABLE TO THE AUDITOR**

A. The Finance Director will manage the preparation of work papers for all activities. This will include an adjusted Trial Balance and appropriate schedules. Additionally, the Finance Director and Program staff will be available to answer questions as may be required.

B. Copy machines will be made available at no charge; however, the auditors will be expected to provide the labor to make any necessary copies. Administrative staff will be made available to type confirmations.

### **PART IV INFORMATION TO BE INCLUDED IN THE SUBMITTAL**

In order to facilitate the evaluation of the RFP response, it is requested that the required information be arranged in the following format:

#### **SECTION 1: INDIVIDUAL AUDIT STAFF TECHNICAL QUALIFICATIONS**

1. Describe the experience in government audits of each senior and higher level person assigned to the audit, including years on each job and position held during each audit. Indicate the percentage of time the in-charge auditor will be on-site.
2. Describe the relevant educational background of each individual that will be assigned to the audit. This should include seminars and courses attended within the past three (3) calendar years.
3. Describe experience of assigned individuals in auditing programs, activities, and functions similar to those found at the SFRPC.
4. Describe any specialized skills, training or background in public finance by assigned individuals. This may include participation in state or national professional organizations, speaker or instructor roles in conferences or seminars, and/or authorship of articles and books.
5. Describe the supervision to be exercised over the audit team by the firm's management.

#### **SECTION 2: FIRM'S EXPERIENCE**

1. Detail the firm's experience in providing auditing services to State of Florida agencies like the South Florida Regional Planning Council, as well as a list of the firm's current and prior government audit clients indicating the service performed and number of years.
2. Discuss commitments you will make to staff continuity, including your staff turnover experience in the last three (3) years while auditing similar State of Florida entities.
3. Identify the partner, manager, and/or in-charge accountant who will be assigned to our job if the firm is successful in its bid, and provide biographies. Indicate any complaints against them or the firm that have been resulted in official action by the State Board of Accountancy or other regulatory authority, if any. Indicate any corrective actions that have been taken by the firm with respect to these people or the firm.
4. Describe how the firm will approach the audit of the SFRPC, including the use of any association or affiliate member Firm's understanding of the work to be performed and ability to complete the work on time.
5. Furnish standard billing rates for classes of professional personnel for each of the last three years.

6. Provide the names and contact information for other similarly-sized clients of the partner and/or manager that will be assigned to our agency.

7. Describe how and why your firm is different from other firms being considered, and why our selection of your firm as our independent auditors is the best decision we could make.

8. Describe how important the SFRPC would be to your firm.

9. Provide documentation that the firm shall have the required professional liability insurance. The types of insurance required and the minimum coverage levels are detailed in Appendix B. It is not necessary to have this level of insurance in effect at the time of submittal; however, proof of current coverage and coverage levels as well as a letter from your Carrier indicating upgrade availability are required.

10. Describe the firm's experience in auditing specialized areas such as a regional governmental planning and coordinating agency, which provides comprehensive planning services in such areas as housing, recreation, water management and transportation.

### **SECTION 3: AUDIT ORGANIZATION/LOCAL TECHNICAL QUALIFICATIONS**

1. State the firm is national, regional or local. Describe the firm's organization (i.e., partnership, corporation, etc.) size, and structure.

2. State the address of the office that will be conducting the audit. It should be noted that in the event of a tie, firms located within the South Florida Region (Broward, Miami-Dade, and Monroe Counties) will be provided additional points.

3. Indicate the number of people, by level within the office that will handle the audit. Indicate which other audits this staff will be working on concurrently. Also, indicate the overall supervision to be exercised over the audit team by the firm's management. For those staff personnel responsible for the planning, directing, conducting substantial portions of the fieldwork or reporting on the audit, disclose the number of hours of continuing professional education directly related to governmental auditing completed during the last three (3) calendar years.

4. Provide a list of the office's current and prior government audit clients, indicating the type(s) of services performed and the number of years served for each. Indicate the firm's experience in auditing Florida entities that are similar in size and complexity to the SFRPC.

5. Indicate the office that will conduct the audit experience in providing additional services to government clients by listing the name of each government, the type(s) of services performed and the year(s) of engagement.

6. Describe the firm's participation in American Institute of Certified Public Accountants (AICPA) sponsored or comparable quality control programs and provide a report on the results of the most recently completed peer review, the related letter of comments, and the firm's response to the letter of comments.

7. Provide the firm's engagement costs by hours and staff classification and dollars.

8. State whether the firm is certified in the County Business Enterprise Program (Broward County), Community Business Enterprise Program (Miami-Dade County), or Disadvantaged Business Enterprise Program (Monroe County). If the firm is certified in any of the listed programs, provide documentation. The certification is not a requisite; however, points will be awarded firms that are certified.

**SECTION 4: AUDIT APPROACH**

In this section, describe your understanding of the work to be performed and any anticipated potential audit problems. Include an assessment of Governmental Accounting Standards Board (GASB) Statement 34, any impact of the Statement on your audit approach or opinion, and any assistance that your firm may offer to clients for implementation of this financial system and reporting model.

**SECTION 5: CLIENT REFERENCES**

In this section, list the names, addresses, and phone numbers of government audit clients as references.

The evaluations made as a result of reviewing the above information from each firm will be the basis for developing a shortlist of firms who will be scheduled to make presentations before the Selection/Negotiation Committee.

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**APPENDIX B**

**SAMPLE CERTIFICATE OF INSURANCE FOR RFP**

THE FOLLOWING COVERAGES ARE DEEMED APPROPRIATE FOR THIS PROJECT AND WILL BE REQUIRED OF THE SELECTED FIRM AND IDENTIFIED IN THE NEGOTIATED AGREEMENT.

<b>Type of Insurance</b>	<b>Coverage Limits (\$1000s)</b>	
	<b>Each Occurrence</b>	<b>Aggregate</b>
<b>General Liability</b> , which must include: Comprehensive; Premise-Operations; Contractual Insurance; Broad Form Property Independent Contractors Personal Injury	\$300	\$300
<b>Bodily Injury and Property Combined</b>	\$300	\$300
	<b>Each Accident</b>	
<b>Worker's Compensation and Employer Liability</b>	\$100	
	<b>Maximum Deduction</b>	<b>Each Claim</b>
<b>Professional Liability</b>	\$25	\$1,000

It is not necessary to have this level of insurance in effect at the time of submittal; however, proof of current coverage and coverage levels as well as a letter from your Carrier indicating upgrade availability are required.

The selected firm will need to provide a Certificate of Insurance that shows (on general liability only) "Additional Insured: South Florida Regional Planning Council, Hollywood, Florida." Also, the firm will need to provide documentation that the issuing company will mail 30 days written notice to SFRPC should any of the above policies be canceled before expiration date.



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**APPENDIX C**

**DEADLINE SCHEDULES**

Submittal Due Date	_____ __, 2021	- 5:00 PM (EST)
Presentations and Final Selection	_____ __, 2021	-Beginning at 10 AM (EDT)
SFRPC Council Ratification	_____ __, 2021	-10:30 AM (EDT)

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**APPENDIX D**

**E-Verify Form for All Solicitations:**

**SOUTH FLORIDA REGIONAL PLANNING COUNCIL  
E-VERIFY FORM UNDER SECTION 448.095, FLORIDA STATUTES**

**TO BE RETURNED WITH PROPOSAL**

**Project Name:** \_\_\_\_\_

**Project No.:** \_\_\_\_\_

**1. Definitions:**

“*Contractor*” means a person or entity that has entered or is attempting to enter into a contract with a public employer to provide labor, supplies, or services to such employer in exchange for salary, wages, or other remuneration. “*Contractor*” includes, but is not limited to, a vendor or consultant.

“*Subcontractor*” means a person or entity that provides labor, supplies, or services to or for a contractor or another subcontractor in exchange for salary, wages, or other remuneration.

“*E-Verify system*” means an Internet-based system operated by the United States Department of Homeland Security that allows participating employers to electronically verify the employment eligibility of newly hired employees.

2. Effective January 1, 2021, Contractors, shall register with and use the E-verify system in order to verify the work authorization status of all newly hired employees. Contractor shall register for and utilize the U.S. Department of Homeland Security’s E-Verify System to verify the employment eligibility of:

- a) All persons employed by a Contractor to perform employment duties within Florida during the term of the contract; and
- b) All persons (including subvendors/subconsultants/subcontractors) assigned by Contractor to perform work pursuant to the contract with the SFRPC. The Contractor acknowledges and agrees that registration and use of the U.S. Department of Homeland Security’s E-Verify System during the term of the contract is a condition of the contract with the SFRPC; and

c) Should vendor become the successful Contractor awarded for the above-named project, by entering into the contract, the Contractor shall comply with the provisions of Section 448.095, Fla. Stat., "Employment Eligibility," as amended from time to time. This includes, but is not limited to registration and utilization of the E-Verify System to verify the work authorization status of all newly hired employees. Contractor shall also require all subcontractors to provide an affidavit attesting that the subcontractor does not employ, contract with, or subcontract with, an unauthorized alien. The Contractor shall maintain a copy of such affidavit for the duration of the contract.

3. Contract Termination

- a) If the City has a good faith belief that a person or entity with which it is contracting has knowingly violated s. 448.09 (1) Fla. Stat., the contract shall be terminated.
- b) If the City has a good faith belief that a subcontractor knowingly violated s. 448.095 (2), but the Contractor otherwise complied with s. 448.095 (2) Fla. Stat., shall promptly notify the Contractor and order the Contractor to immediately terminate the contract with the subcontractor.
- c) A contract terminated under subparagraph a) or b) is not a breach of contract and may not be considered as such.
- d) Any challenge to termination under this provision must be filed in the Circuit Court no later than 20 calendar days after the date of termination.
- e) If the contract is terminated for a violation of the statute by the Contractor, the Contractor may not be awarded a public contract for a period of 1 year after the date of termination.

<b>Company Name:</b>
Authorized Signature:
Print Name:
Title
Date:
Phone:

STATE OF \_\_\_\_\_)  
COUNTY OF \_\_\_\_\_)

The foregoing instrument was acknowledged before me by means of  physical presence or  online notarization, this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, by \_\_\_\_\_ on behalf of \_\_\_\_\_ . He/she is personally known to me or has produced \_\_\_\_\_ as identification.

\_\_\_\_\_  
NOTARY PUBLIC

\_\_\_\_\_  
(Name of Notary Typed, Printed or Stamped)

\_\_\_\_\_  
Title or Rank

\_\_\_\_\_  
Serial number, if any

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# MEMORANDUM

AGENDA ITEM #IV.G

---

DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: 21<sup>st</sup> CENTURY SCHOOL BOND ADVISORY COMMITTEE (SBAC)

---

The Council has been asked to identify a voting member and alternate representative to the Miami-Dade County Public Schools 21<sup>st</sup> Century School Board Advisory Committee (SBAC). Currently the Executive Director serves as the voting member and there is no alternate representative.

## Recommendation

Appoint a Miami-Dade County Council Member to serve as the designated voting representative and an alternate member to serve on the SBAC.





# Miami-Dade County Public Schools

*giving our students the world*

**Superintendent of Schools**  
Alberto M. Carvalho

ICC/mc/kc

**Miami-Dade County School Board**  
Perla Tabares Hantman, Chair  
Dr. Martin Karp, Vice Chair  
Dr. Dorothy Bendross-Mindingall  
Susie V. Castillo  
Dr. Lawrence S. Feldman  
Dr. Steve Gallon III  
Lubby Navarro  
Dr. Marta Pérez  
Mari Tere Rojas

November 8, 2019

RECEIVED

NOV 12 2019

SFRPC

Ms. Isabel Cosio Carvalho, Executive Director  
South Florida Regional Planning Council  
3440 Hollywood Blvd.,  
Hollywood, FL 33021

Dear Ms. Cosio Carvalho:

Every two years, your organization is requested to identify a voting member and alternate for membership on the 21<sup>st</sup> Century School Bond Advisory Committee (SBAC). Currently, there is no voting member and no alternate representative. Please complete the attached appointment form by November 29, 2019, and send to the address below.

Ms. Tabitha G. Fazzino  
Chief Administrative and Compliance Officer  
Miami-Dade County Public Schools  
Office of the Superintendent  
1450 N.E. 2 Avenue, Suite 914  
Miami, Florida 33132

Or via fax at: 305 995-2248

Or via email at: [marencibia@dadeschools.net](mailto:marencibia@dadeschools.net)

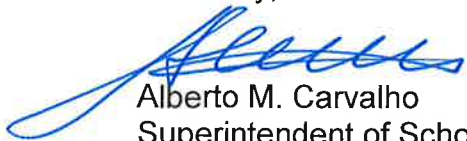
In order to be eligible for an appointment to the SBAC, an individual must meet each of the requirements specified in Board Policy 9140 and may not have any conflict of interest, direct or indirect, in a contract with Miami-Dade County Public Schools or any financial interest in any School Board bond-related projects. If such a conflict should arise after a member has been appointed, he/she shall be required to self-disclose such conflict or financial interest and immediately resign from the SBAC. Further, all new appointees and alternates will be required to execute an Acknowledgement and Certification of Compliance with Florida's Code of Ethics form.



This administration feels strongly that the involvement of your organization on the SBAC is critical to the successful implementation of the Bond Program and we look forward to your participation.

Please feel free to contact Ms. Fazzino at 305 995-1206 if you have any questions or require additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read 'A. Carvalho', is written over the typed name.

Alberto M. Carvalho  
Superintendent of Schools

AMC:mja  
L514

Attachments

cc: School Board Attorney  
Mr. Jaime G. Torrens  
Ms. Tabitha G. Fazzino  
Ms. Celia Rubio

**21<sup>ST</sup> CENTURY SCHOOL BOND ADVISORY COMMITTEE APPOINTMENT**

*In order to facilitate your appointments to the 21<sup>st</sup> Century School Bond Advisory Committee, please complete both sides of this form and submit it to Ms. Tabitha G. Fazzino, Chief Administrative and Compliance Officer.*

I/We hereby appoint \_\_\_\_\_ as my/our representative to the Miami-Dade County  
Name of Representative

Public Schools 21<sup>st</sup> Century School Bond Advisory Committee (SBAC). \_\_\_\_\_  
Name of Representative

shall be designated as a voting member representing \_\_\_\_\_  
Appointing Entity/Organization

This appointment shall be for a term of two years; however the Appointing Entity/Organization reserves the right to replace said representative at any time. This appointment is subject to the requirements of School Board Policy 9140 and those specified in the Acknowledgement and Certification of Compliance with Florida's Code of Ethics form which must be executed by the Representative.

---

I/We hereby appoint \_\_\_\_\_ as my/our **ALTERNATE** representative to the Miami-Dade  
Name of Representative

County Public Schools 21<sup>st</sup> Century School Bond Advisory Committee (SBAC). \_\_\_\_\_  
Name of Representative

shall be designated as an **ALTERNATE** member representing \_\_\_\_\_ This  
Appointing Entity/Organization

appointment shall be for a term of two years; however the Appointing Entity/Organization reserves the right to replace said **ALTERNATE** representative at any time. The Alternate shall only participate and/or vote in the absence of the representative identified above. This appointment is subject to the requirements of School Board Policy 9140 and those specified in the Acknowledgement and Certification of Compliance with Florida's Code of Ethics form which must be executed by the **ALTERNATE** Representative.

\_\_\_\_\_  
Signature of Individual Making Appointment (s) Date \_\_\_\_\_

\_\_\_\_\_  
Printed Name

cc: School Board Attorney  
School Board Clerk  
Mr. Rolando Martin

**21<sup>ST</sup> CENTURY SCHOOL BOND ADVISORY COMMITTEE APPOINTMENT**

Please provide the contact information for your representative and alternate as indicated below.

**Representative Information (Voting-Member)**

Name: \_\_\_\_\_

Home Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Cell: \_\_\_\_\_

Fax: \_\_\_\_\_

Mailing Address \_\_\_\_\_

Email: \_\_\_\_\_

Employer \_\_\_\_\_

---

**Alternate Representative Information**

Name: \_\_\_\_\_

Home Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Cell: \_\_\_\_\_

Fax: \_\_\_\_\_

Mailing Address \_\_\_\_\_

Email: \_\_\_\_\_

Employer \_\_\_\_\_

---

**THE SCHOOL BOARD OF MIAMI-DADE COUNTY, FLORIDA**  
**ACKNOWLEDGMENT AND CERTIFICATION OF COMPLIANCE**  
**WITH FLORIDA'S CODE OF ETHICS FOR 21<sup>ST</sup> CENTURY SCHOOLS**  
**BOND ADVISORY COMMITTEE MEMBERS**

Individuals who are appointed to and voluntarily serve on School Board advisory committees are public officers who must comply with Florida's Code of Ethics, § 112.313, F.S. School Board Policy 9140, *Citizens' Advisory Committees*, imposes additional criteria on advisory board member appointments. The School Board also requires that members of this Committee not have any direct or indirect interest in any contract with the School Board or any financial interest in any School Board project.

Accordingly, as an appointed member of the 21<sup>st</sup> Century Schools Bond Advisory Committee ("Committee"), I agree to conduct myself in accordance with the highest ethical principles in the course of participating in School Board related activities. As a Committee member, I further agree to act at all times in the best interest of the School Board and Miami-Dade County Public Schools, and to avoid conflicts of interests.

I therefore acknowledge and understand the following:

I shall not corruptly use or attempt to use my official position or any property or resource which may be within my trust, or perform my official duties, to secure a special privilege, benefit, or exemption for myself, or others, including, but not limited to, family members, relatives, clients or business associates:

I shall not serve on this Committee if any Committee action, activity or recommendation would inure to my special private gain or loss, which I know would inure to the special private gain or loss of any principal by whom I am retained or to the parent organization or subsidiary of a corporate principal by which I am retained, or which I know would inure to the special private gain or loss of my relatives or of my business associates.

I do not have any direct or indirect interest in any contract with the School Board or any financial interest in any School Board project. If such a conflict should arise after my appointment to this Committee, I shall disclose the conflict or financial interest and will immediately resign from this Committee.

**CERTIFICATION**

I declare that I have read and understood these requirements and agree to abide by them and all relevant provisions of Florida's Code of Ethics, § 112.313, F. S. I further certify that I meet the requirements for membership in the Committee to which I have been appointed and I understand that if at any time during my membership on this Committee I no longer meet the qualifications and/or requirements for membership, I will resign. I also understand that should I fail to voluntarily resign under such a circumstance, I will be subject to removal from the Committee.

Name (Print): \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_



# MEMORANDUM

AGENDA ITEM #VI.A

---

DATE: JUNE 28, 2021

TO: COUNCIL MEMBERS

FROM: STAFF

SUBJECT: SFRPC REVOLVING LOAN FUNDS STATUS REPORT

---

The South Florida Regional Planning Council Revolving Loan Program has historically served the needs of businesses that are not entirely served by conventional lenders, with an emphasis on applicants who have been denied credit by a conventional lender. As such, the Council's RLF loans are considered riskier than conventional loans. The Loan Administration Board may charge a higher interest rate to a particular borrower depending on the risk factors of that loan. In addition, most loan payments are due on the first day of each month until maturity.

Attached for your review is the Revolving Loan Fund Status Report. In reviewing the attached status report, please note that the borrowers' loan agreements provide a fifteen (15) day grace period in which they can make their payments without a five percent late charge penalty. This status report is generated fifteen (15) days prior to the end of the month. Council staff routinely makes phone calls and sends past due notices to past due accounts after ten (10) and fifteen (15) days.

The Council policy on loan amounts and the structure of the loans for each loan program is:

*"Loan amounts may range from \$25,000 to \$500,000. Borrowers seeking more than one loan may not exceed \$500,000 in aggregate. Loans may be used for funding up to 100 percent of a project, provided that bank or conventional financing is unavailable, and that equity is nonexistent or is otherwise needed for cash flow. In cases where limited financing from a private/traditional source is available, loans can be used as supplemental or "second mortgage" funds. Second positions on collateral may be acceptable so long as the prior lien holder is a lending institution."*

Please find attached Legal Counsel's South Florida Regional Planning Council ("SFRPC") / Revolving Loan Fund report on legal action that has been taken to collect on delinquent accounts.



**Payment Status Report**

**Traditional RLF Payment Status Report**

Loan	Company /Borrower	Amount	Disbursed	Rate	Last Activity	Last Balance	Paid Thru	Days Late	Last Activity	Loan Date	Maturity Date	Board Action
1008		110,000.00	110,000.00	6.0	1,823.36	48,902.10	05/01/21	0	05/06/21	03/22/02	11/09/25	performing
1022		300,000.00	300,000.00	7.0	2,709.36	315,130.10	05/01/21	0	05/17/21	01/08/04	09/01/39	performing
1023		301,586.50	301,586.50	5.0	350.00	161,715.88	06/01/21	0	06/01/21	07/19/06	03/01/29	performing
1034		300,000.00	300,000.00	5.0	3,000.00	260,365.24	05/01/21	0	04/26/21	12/21/06	11/15/28	performing
1036		330,529.43	330,529.43	5.0	2,200.00	203,788.35	04/01/21	31	04/30/21	02/26/08	08/01/30	performing
1039		125,000.00	125,000.00	5.0	500.00	120,170.87	05/01/21	0	05/25/21	11/24/08	12/31/15	performing
1040		200,000.00	200,000.00	5.0	1,472.32	96,408.43	06/01/21	0	06/01/21	02/02/09	08/01/28	performing
2005		100,000.00	100,000.00	5.0	500.00	9,386.03	05/01/21	0	06/02/21	09/27/01	12/01/21	performing
3024		189,043.88	189,043.88	0.0	500.00	111,945.87	06/01/21	0	06/01/21	07/26/99	12/01/16	In Litigation - Judgment
4008		300,000.00	300,000.00	5.0	2,250.00	180,728.45	05/01/21	0	05/14/21	07/31/09	03/31/39	performing
4018		150,000.00	150,000.00	6.0	(465.00)	144,598.41	12/01/15	1,978	10/22/20	07/12/13	08/01/20	In Litigation - Mediation
4022		43,000.00	43,000.00	0.0	0.02	42,687.48	07/01/16	1,765	12/10/18	03/04/14	04/01/21	Default final judgment/bankruptcy
4024		235,000.00	235,000.00	5.0	1,600.00	182,113.13	05/01/21	0	05/10/21	04/16/14	05/01/26	performing
4027		149,500.00	149,500.00	5.0	400.00	86,366.50	05/01/21	0	05/07/21	12/15/15	12/15//25	performing
4028		75,000.00	75,000.00	0.0	765.03	74,994.72	04/01/19	762	04/12/19	11/17/16	09/30/19	Default - collateral workout
4029		75,000.00	75,000.00	0.0	803.02	75,000.00	04/01/19	762	04/12/19	12/14/16	09/30/19	Default - collateral workout
4031		332,972.82	332,972.82	6.5	1,500.00	321,849.33	06/01/21	0	06/01/21	09/28/17	08/01/28	performing
4032		300,000.55	300,000.55	7.0	3,577.27	259,455.13	05/01/21	0	05/21/21	10/24/18	11/01/28	performing
4033		254,999.57	254,999.57	7.0	4,078.55	195,812.56	06/01/21	0	06/01/21	10/25/18	10/25/25	performing

Loan	Company /Borrower	Amount	Disbursed	Rate	Last Activity	Last Balance	Paid Thru	Days Late	Last Activity	Loan Date	Maturity Date	Board Action
4034		84,506.66	84,506.66	7.0	150.00	71,412.80	12/01/20	151	04/15/21	01/03/19	01/03/26	Non-performing
4035		248,684.03	248,684.03	7.0	3,773.17	199,971.97	06/01/21	0	06/01/21	03/05/19	04/01/26	performing
4036		149,223.30	149,223.30	7.0	2,399.01	125,681.36	06/01/21	0	06/01/21	03/05/19	04/01/26	performing
4037		173,904.64	173,904.64	5.0	1,750.00	159,836.30	06/01/21	0	06/01/21	03/28/19	03/28/26	performing
4038		99,885.78	99,885.78	7.0	1,500.00	83,769.89	06/01/21	0	06/01/21	03/28/19	04/01/24	performing
4039		200,000.00	200,000.00	7.0	150.00	196,815.27	12/01/20	151	04/15/21	03/12/20	04/01/27	Non-performing
4040		400,000.00	400,000.00	7.0	2,000.00	398,643.06	06/01/21	0	06/01/21	09/23/19	09/23/26	performing
4043		200,000.00	200,000.00	4.5	2,322.17	198,702.83	06/01/21	0	06/01/21	04/22/21	04/01/31	performing
4044		130,000.00	130,000.00	4.5	1,347.30	127,165.30	06/01/21	0	06/01/21	03/22/21	03/01/31	performing
4045		212,074.18	135,701.83	7.0	754.73	135,701.83	06/01/21	0	06/01/21	07/18/19	07/18/24	performing
<b>Totals</b>		<b>5,769,911.34</b>	<b>5,693,538.99</b>		<b>43,710.31</b>	<b>4,589,119.19</b>						

LIST OF COMMITTED EDA FUNDS  
May 31, 2021

Loan #	Company Name	Committed	Commitment Date	Disbursed	Remaining Commitment	1st Disbursement		2nd Disbursement		3rd Disbursement		4th Disbursement	
						Date	Amount	Date	Amount	Date	Amount	Date	Amount
4045		212,074	7/15/2019	\$ 135,701.83	\$ 76,372.35	7/18/2019	\$ 62,558.60	12/3/2019	\$ 21,499.65	6/2/2020	\$ 21,820.50	9/30/2020	\$ 20,749.50
<b>TOTAL</b>		<b>\$212,074</b>		<b>\$ 135,701.83</b>	<b>\$ 76,371.35</b>								

Cash Available to Lend			
Bank Balance as of	5/31/2021		\$ 813,835.14
Committed Funds			
Unfunded Loan Commitment	\$ 76,371		
Administrative Fees	11,624.19		
Total Committed Funds			\$ 87,995.54
<b>Total Uncommitted Funds</b>			<b>\$ 725,839.60</b>



Kerry L. Ezrol  
kezrol@GorenCherof.com



**GOREN CHEROF  
DOODY & EZROL P.A.**  
ATTORNEYS AT LAW

June 3, 2021

**VIA E-MAIL (isabelc@sfrpc.com)**

Isabel Cosio Carballo, MPA, Executive Director  
South Florida Regional Planning Council  
Oakwood business Center  
One Oakwood Boulevard, Suite 250  
Hollywood, FL 33320

Re: South Florida Regional Planning Council ("SFRPC") / Revolving Loan Fund Status Report

Dear Ms. Carballo:

Below please find the status of the Revolving Loan Fund cases which have been brought on behalf of the SFRPC. This shall confirm that once a judgment is obtained and recorded, our office has been instructed to take no further action, other than to re-record specified judgments, as requested, in a timely fashion. We have therefore removed all of the "Closed Cases" from this list. In the future, once a judgment is obtained and recorded relative to cases appearing on this list, they will be removed from this list.

**1. SFRPC (SFRPC Account #4018) v. Angela Dawson, P.A. (Our File No. 9940547)**

Complaint filed with the Court on May 7, 2018. Dawson filed a motion to recuse (remove) the judge, so litigation was delayed. Dawson filed an Answer and Counterclaim, which SFRPC moved to strike. Order entered approving our Motion to Strike Dawson's Affirmative Defenses and our Motion to Dismiss Dawson's Counterclaim. Dawson's Amended Counterclaim and Amended Answers and Affirmative Defenses were due on April 26, 2019. Dawson failed to file the pleadings by the deadline, and SFRPC filed a Motion for Summary Judgment. The hearing on the Motion for Summary Judgment was scheduled, and then reset at Dawson's request for October 23, 2019. A Motion for Judicial Default against Dawson was filed on October 25, 2019.

SFRPC requested an Amended Complaint for foreclosure. A Motion to Amend Complaint was filed and there have been two (2) hearings on the motion. Both times, the court has delayed a ruling on the motions, pending mediation.

A Motion to Strike Defendants First Amended Affirmative Defenses was filed on November 13, 2019. A Motion to Dismiss Dawson's Counterclaim was filed on December 19, 2019. On June 15, 2020 a hearing was set for the court to hear SFRPC's Motion for Judicial Default, Motion for Leave to File Amended Complaint, Motion to Strike Defendants' First Amended Affirmative Defenses and Motion to Dismiss Counterclaim. Dawson filed a new Counterclaim and new Answer and Affirmative Defenses just prior to the hearing, which the court accepted in place of the defective pleadings. SFRPC's motions were denied due to the court accepting the replacement pleadings. Dawson filed various additional pleadings, including an Affidavit of Excusable Neglect and an Affidavit from Ed McGann. Dawson filed a Request for Production on June 8, 2020, requesting a significant volume of documents which are unrelated to the pending litigation. Objection to the discovery request filed on July 8, 2020.

Litigation of this matter has been extended and complicated by the volume of pleadings filed by Ms. Dawson; each pleading requires a response from SFRPC. Mediation occurred on October 29, 2020 before Judge Lynch. The parties

Isabel Cosio Carballo, Executive Director

Page 2 of 2

June 3, 2021

were not able to reach a settlement at mediation. As a follow-up to mediation, on November 3, 2020 and January 27, 2021, SFRPC sent a written settlement offer to Dawson and her attorney; we are awaiting a response. SFRPC followed up again with written settlement offer to Dawson and her attorney on March 8, 2021. Counteroffer received from Dawson and transmitted to SFRPC on March 12, 2021. Per RLF Committee, proceed. Hearing on SFRPC's Motion to Amend the Complaint is set for June 24, 2021.

**2. SFRPC (Account No. 3024) v. Broadway Place, Inc. & Cuthbert H. Harewood, Jr. (File No. 0801590)**

Default Final Judgment entered on March 14, 2011. Defendant filed a motion for rehearing on March 14, 2011. On April 19, 2011 the Court entered an order deferring ruling on Defendant's motion and ordered mediation. On June 14, 2011 the Court entered an Agreed Final Order Approving Settlement. Per the settlement, the Judgment was ratified. However, so long as the Defendant makes payments of \$500.00 per month beginning June 1, 2011, (for 23 months) and then \$1,000 per month, recording and execution of the judgment is withheld. Judgment Lien Certificate filed with the Florida Department of State/Division of Corporations on May 13, 2014. Default Final Judgment filed with Miami-Dade County on May 16, 2014. Payment received on June 30, 2020 in the amount of \$500.00.

Foreclosure Complaint filed on May 17, 2019. Clerk's Default entered against Broadway Place, Inc. on June 25, 2019. Order granting Judicial Default entered against Broadway Non-Stop Production, LLC on November 5, 2019. Motion for Substitution of Parties filed on September 11, 2019. Order granting Substitution of Parties on September 18, 2019. Estate of Zoila Alvarez filed Motion to Dismiss the Complaint. Estate of Alvarez's Motion to Dismiss was denied. Estate of Alvarez filed an Answer to the Complaint on May 27, 2020. Motions for Judicial Defaults were filed against unknown tenants on June 2, 2020. SFRPC's Motion to Strike Estate of Zoila's Affirmative Defenses was granted. SFRPC's Motion for Judicial Default on Tenants 7 and 8 on parcel 2 was granted. SFRPC's Motion for Summary and Default Final Judgment was filed on November 5, 2020. Defendant, Broadway filed a Motion to Vacate Default and to Quash Service of Process and a Motion to Cancel the Foreclosure Sale on January 8, 2021. A hearing on both motions was set for January 15, 2021. Broadway's Motion to Cancel Sale and Motion to Vacate Default and to Quash Service of Process was granted. Broadway filed their Answer and Affirmative Defenses on February 3, 2021. SFRPC's Motion to Strike Broadway's Answer and Affirmative Defenses is in the process of being drafted. On February 6, 2021, Estate of Alvarez file an Amended Answer and Affirmative Defenses. On February 16, 2021, SFRPC filed a Motion to Strike the Estate of Alvarez's Amended Answer and Affirmative Defenses. On March 10, 2021, SFRPC filed a Motion for Extension of Time to respond to Broadway's Answer and Affirmative Defenses. Per RLF, recommendation to Board to dismiss the litigation, ensure that parties are responsible for their own attorneys' fee, and also re record Judgment prior to 10 year expiration.

Should you have any questions, please feel free to contact me.

Sincerely yours,

*/s/ Kerry L. Ezrol*

Kerry L. Ezrol

KLE:mls

cc: Samuel S. Goren, General Counsel (via e-mail & hard copy)  
Alisha Lopez (via e-mail)  
Steve Foreman (via e-mail)  
Manny Cela (via e-mail)  
Jeffrey Tart (via e-mail)  
Kathe Lerch (via e-mail)



# MEMORANDUM

AGENDA ITEM # VI.B

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DATE: JUNE 28, 2021

TO: COUNCIL MEMBERS

FROM: STAFF

SUBJECT: SFRPC CARES ACT RLF STATUS REPORT

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The U.S. Department of Commerce's Economic Development Administration is partnering with the South Florida Regional Planning Council (SFRPC) to oversee and administer a new \$5.90 million CARES ACT Business Revolving Loan Fund program that will alleviate sudden and severe economic dislocation caused by the coronavirus in Monroe, Miami-Dade, Broward and Palm Beach counties. Designated a U.S. Department of Commerce Economic Development District in 1994, the SFRPC welcomes this new program into its lending portfolio as it continues to expand its economic development activities.

The initiative/focus is to initially conduct financial assessments of vital and essential South Florida small businesses to evaluate financial and resiliency capacity with the focus on maintaining ongoing operations. Once assessed, the SFRPC along with its coalition partners will determine an applicable loan program to meet the financial needs of the small business in order to maintain its vital operations. This supplemental financial assistance award will help support critical small business operations for the long-term within industries that are essential in South Florida.

Since the program was launched on August 5, 2020, the SFRPC has received approximately 200 prospects inquiring into the loan program from Palm Beach, Broward, Miami-Dade and Monroe counties. Initial loan program funding is available for up to 2 years or until all loan funds are disbursed. As the program is revolving in nature, after all initial funds are deployed, new businesses will have an opportunity to seek financial support as loan proceeds are repaid from former borrowers.

To date, loan administration has approved seventeen (17) new CARES ACT RLF loans totaling \$3,922,000.



South Florida Regional Planning Council  
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# CARES ACT RLF FUNDING UPDATE

AS OF: 06/01/2021

(08/05/20)	APPLIED GRANT AWARD:	\$5,380,000.00
	TOTAL FUNDED:	\$3,522,000.00
	AVAILABLE TO LEND:	\$1,858,000.00

Loan #	LOAN AMOUNT	COUNTY	CITY
1	\$25,000.00	Monroe	Key Largo
2	\$30,000.00	Monroe	Islamorada
3	\$500,000.00	Broward	Pompano Beach
4	\$85,000.00	Palm Beach	Palm Springs
5	\$300,000.00	Miami- Dade	Miami
6	\$35,000.00	Broward	Hollywood
7	\$210,000.00	Broward	Miramar
8	\$150,000.00	Monroe	Key West
9	\$500,000.00	Monroe	Key West
10	\$500,000.00	Miami- Dade	Miami Beach
11	\$50,000.00	Broward	Hollywood
12	\$150,000.00	Broward	Davie
13	\$50,000.00	Broward	Lauderhill
14	\$243,000.00	Broward	Sunrise
15	\$394,000.00	Palm Beach	Boca Raton
16	\$300,000.00	Broward	Plantation

TOTAL FUNDED: **\$3,522,000.00**

**CARES ACT REVOLVING LOAN FUND**

**PAYMENT STATUS REPORT**

Loan	Company /Borrower	Amount	Disbursed	Pmts	Rate	Pay/Yr	Normal Pay	Last Activity	Last Balance	Paid Thru	Days Late	Last Activity	Next Pay Due	Loan Date	Maturity Date	Board Action
5100		25,000.00	25,000.00	60	3.5000	12	454.79	454.79	21,873.82	06/01/21	0	06/01/21	07/01/21	10/20/20	11/01/25	performing
5101		30,000.00	30,000.00	60	3.5000	12	545.75	545.75	26,248.56	06/01/21	0	06/01/21	07/01/21	10/20/20	11/01/25	performing
5102		500,000.00	500,000.00	60	3.5000	12	1,409.72	1,409.72	500,000.00	06/01/21	0	06/01/21	07/01/21	11/11/20	01/01/31	performing
5104		85,000.00	85,000.00	84	3.5000	12	1,142.39	1,142.39	78,515.16	06/01/21	0	06/01/21	07/01/21	11/24/20	01/01/31	performing
5107		300,000.00	300,000.00	120	3.5000	12	2,966.58	2,966.58	284,754.59	06/01/21	0	06/01/21	07/01/21	11/20/20	11/01/30	performing
5108		35,000.00	35,000.00	60	3.5000	12	636.71	636.71	32,366.18	06/01/21	0	06/01/21	07/01/21	12/22/20	01/01/31	performing
5109		500,000.00	400,000.00	120	3.5000	12	4,944.29	4,944.29	380,528.25	06/01/21	0	06/01/21	07/01/21	01/14/21	01/01/31	performing
5110		150,000.00	150,000.00	120	3.5000	12	1,483.29	1,483.29	144,607.38	06/01/21	0	06/01/21	07/01/21	01/13/21	01/01/31	performing
5111		210,000.00	210,000.00	120	3.5000	12	2,076.60	2,076.60	202,698.23	06/01/21	0	06/01/21	07/01/21	12/31/20	01/01/31	performing
5112		500,000.00	500,000.00	120	3.5000	12	4,944.29	4,944.29	482,713.15	06/01/21	0	06/01/21	07/01/21	12/28/20	01/01/31	performing
5113		50,000.00	50,000.00	60	3.5000	12	909.59	909.59	48,459.81	06/01/21	0	06/01/21	07/01/21	02/25/21	03/01/26	performing
5114		150,000.00	150,000.00	120	3.5000	12	1,483.29	1,483.29	146,970.82	06/01/21	0	06/01/21	07/01/21	02/24/21	02/01/31	performing
5115		50,000.00	50,000.00	60	3.5000	12	909.59	909.59	46,946.12	06/01/21	0	06/01/21	07/01/21	02/02/21	02/01/26	performing
5116		243,000.00	243,000.00	120	3.5000	12	1,737.16	1,737.16	241,758.97	06/01/21	0	06/01/21	07/01/21	05/14/21	04/01/31	performing
5117		394,000.00	394,000.00	240	3.5000	12	2,285.04	2,285.04	392,442.77	06/01/21	0	06/01/21	07/01/21	05/13/21	05/01/31	performing
<b>Totals</b>		<b>3,222,000.00</b>	<b>3,122,000.00</b>				<b>27,929.08</b>	<b>27,929.08</b>	<b>3,030,883.81</b>							

RLF CARES ACT APPLICATION TRACKING REPORT PROSPECTS					
NAME OF APPLICANT/BUSINESS	INITIAL DATE	REQUESTED AMOUNT	BUSINESS TYPE	REFERRED BY	COUNTY
	03/12/21	\$100,000	quartz countertop	SBA website	Miami-Dade
	03/12/21	\$200,000	flight training	n/a	Broward
	03/17/21	\$75,000	trucking	survey	Miami-Dade
	03/17/21	\$5,000	design	survey	Miami-Dade
	03/17/21	\$100,000	medical equipment	survey	Miami-Dade
	03/22/21	\$50,000	furniture	survey	Miami-Dade
	03/25/21	\$25,000	IT assistance	survey	Miami-Dade
	03/28/21	\$25,000	n/a	survey	Miami-Dade
	03/31/21	\$50,000	transportation	survey	Miami-Dade
	04/05/21	\$100,000	medical	n/a	Miami-Dade
	04/08/21	\$500,000	travel	n/a	Miami-Dade
	04/14/21	\$100,000	welding	survey	Miami-Dade
	04/17/21	\$150,000	construction	n/a	Miami-Dade
	04/22/21	\$100,000	medical	survey	Palm Beach
	04/22/21	n/a	n/a	survey	Broward
	04/22/21	\$50,000	catering	survey	Miami-Dade
	04/27/21	\$50,000	computer	survey	Miami-Dade
	04/28/21	n/a	medical	survey	Miami-Dade
	04/29/21	n/a	n/a	survey	Broward
	04/29/21	n/a	elevators	survey	Miami-Dade
	05/05/21	\$150,000	window shutters	Joy Starkey (MBDA)	
	05/11/21	n/a	fasteners	n/a	Miami-Dade
	05/11/21	n/a	business equipment	n/a	Miami-Dade
	05/19/21	n/a	furniture	survey	Miami-Dade
	05/27/21	\$50,000	tax advisor	n/a	Miami-Dade
	06/03/21	\$150,000	child care	n/a	Miami-Dade
	06/03/21	n/a	hospitality	survey	Miami-Dade
	06/03/21	\$100,000	recycling	survey	Miami-Dade
	06/08/21	\$125,000	mobile home	n/a	Broward

RLF CARES ACT APPLICATION TRACKING REPORT					
NAME OF APPLICANT/BUSINESS	INITIAL DATE	REQUESTED AMOUNT	BUSINESS TYPE	REFERRED BY	COUNTY
	02/26/21	\$500,000	govt agency	Carlos Alamilla	Miami-Dade
	05/03/21	\$140,000	travel media	n/a	Broward
	06/04/21	\$200,000	medical	n/a	Miami-Dade
	04/04/21	\$50,000	pediatric therapy	Anastasia (LearnOrb)	Miami-Dade



# MEMORANDUM

AGENDA ITEM #VI.D

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DATE: JUNE 28, 2021

TO: COUNCIL MEMBERS

FROM: STAFF

SUBJECT: COUNCILMEMBER INFORMATION REQUEST/ HALLANDALE BEACH WATER SUPPLY

---

The South Florida Regional Planning Council reviewed an adopted Local Government Comprehensive Plan (LGCP) Amendment, City of Hallandale Beach 21-01ESR, which included the updated 10-Year Water Supply Facilities Work Plan. It was presented at the May 24, 2021, Council Meeting. During discussion regarding the LGCP amendments, Councilmember Furr inquired as to the status of the City of Hallandale Beach's current working water wells. Subsequently, Council staff met with the City of Hallandale Beach staff and provided the following update in response to the Councilmember Furr's inquiry.

- Currently, the City of Hallandale Beach has two primary productions wells.
- In addition, two more emergency wells are used only once a month.
- A new well is being constructed and will be completed early next year.
- The new well is on the western boundary of the City beyond the "iso" line of saltwater intrusion (Figure 1).
- The new well will replace one of the currently operating wells and increase the City's total water supply (Figure 2).
- The C-51 project is expected to increase the water available to Broward County's Regional Wellfield located in Brian Piccolo Park.
- The City is also pursuing the technology to remove the salt due to saltwater intrusion through a reverse osmosis skid (RO skid).

## Recommendation

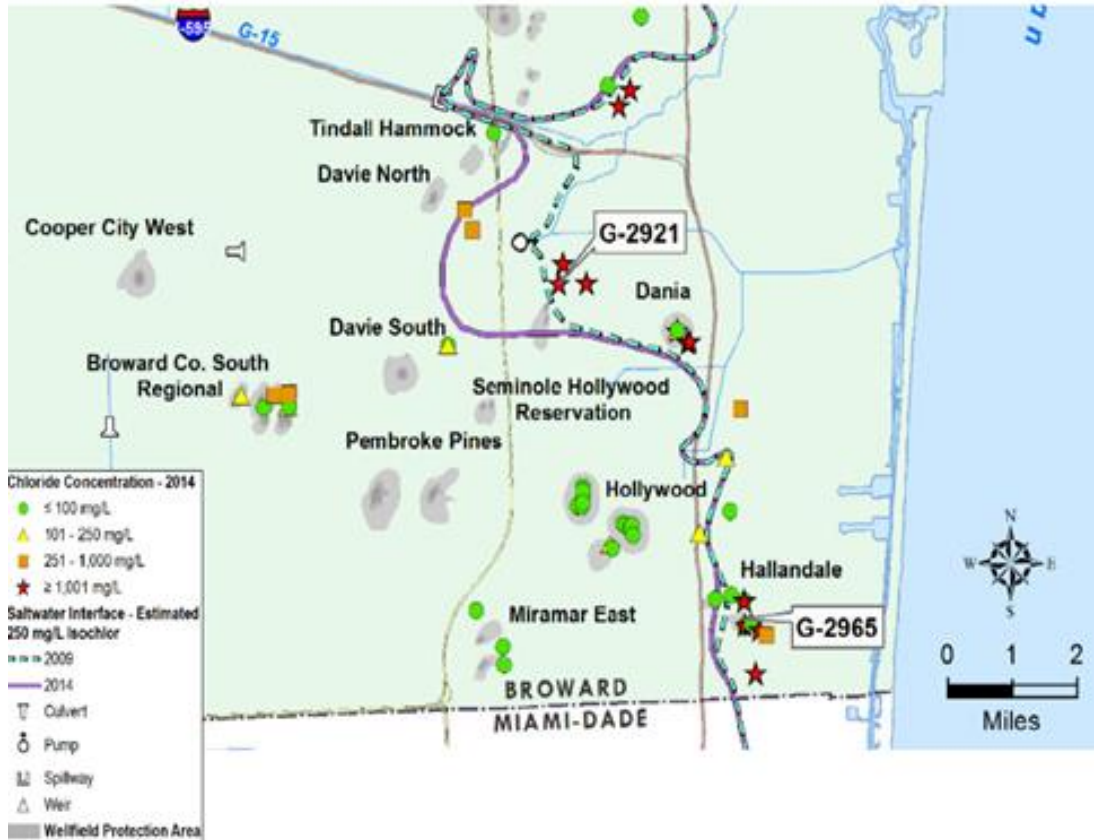
Information Only



South Florida Regional Planning Council  
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[www.sfregionalcouncil.org](http://www.sfregionalcouncil.org)



**Figure 1 – 250 mg/L Isochlor Map in South Broward County**  
 City of Hallandale Beach / 10-Year Water Supply Facilities Work Plan – 2019 Update



**Figure 2 – Location of Chloride Monitor Wells and City-Owned Biscayne Aquifer Wells**  
 City of Hallandale Beach 10-Year Water Supply Facilities Work Plan – 2019 Update







AGENDA ITEM # VI.E

---

DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: COUNCIL HIGHLIGHTS

---

Attached are brief descriptions of some ongoing programs and activities of the Council.

Information only

## JUNE 2021

### SUPPORTING ECONOMIC DEVELOPMENT IN SOUTH FLORIDA



The South Florida Regional Planning Council (SFRPC) hosted an educational webinar, titled “*Supporting Economic Development in South Florida*” on May 26, 2021. The webinar was designed to illustrate how South Florida communities can work with the U.S. Economic Development Administration (EDA) and the SFRPC to help build the capacity for economic development based on local conditions and needs. Best practices, grant funding opportunities including the American Rescue Plan, and project development ideas were discussed within the context of locally driven, community-supported approaches to create the foundation for high-quality job growth and private sector investment. THANK YOU TO OUR GUEST PRESENTERS!

- [Webinar PowerPoint Presentation](#)
- [Recorded Webinar](#)

For additional information please contact: Eralda Agolli, CARES Act Economic Disaster Recovery Coordinator ([eagolli@sfrpc.com](mailto:eagolli@sfrpc.com))

### 79<sup>TH</sup> STREET CORRIDOR – GRANT AWARD

Ron Butler, Executive Director of the 79th Street Corridor Neighborhood Initiative, announced today that the 79th Street Corridor Neighborhood Initiative has secured a \$300,000 grant for environmental assessment and rehabilitation efforts along the 79th Street Corridor community from the U.S. Environmental Protection Agency Brownfields Program through a partnership with the South Florida Regional Planning Council (SFRPC) and the Southeast Florida Brownfields Redevelopment Coalition (Coalition).



Community-wide grant funds will be used to conduct three Phase I and six Phase II environmental site assessments. Grant funds also will be used to develop three cleanup plans and three reuse plans. The target area is the 79th Street Corridor located in west-central unincorporated Miami-Dade County and

bordered by the City of Hialeah, Liberty City, and City of Miami. The 79th Street Corridor features industrial land, extensive transit and rail infrastructure, and a historic community. The project sites are located in one of the 67 Opportunity Zones and Federal Trade Zones of Miami-Dade County. Priority sites include a 13.8-acre former private landfill, a 20-acre assemblage of 12 properties used by unregulated industries, and a 4.5-acre assemblage of 8 parcels that include a former gas station and automotive repair shop. Read more... <https://sfregionalcouncil.org/wp-content/uploads/2021/06/SFRPC-Press-Release-06-01-2021-Brownfields-Grant-Award.pdf>

For additional information please contact Jeff Tart, Senior Loan Officer ([jtart@sfrpc.com](mailto:jtart@sfrpc.com)).

## SOUTHEAST REGIONAL DOMESTIC SECURITY TASK FORCE

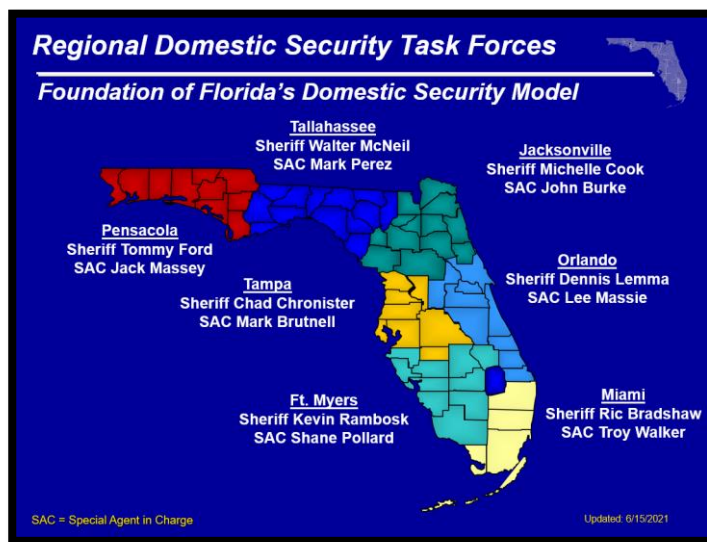


The state's seven (7) [Regional Domestic Security Task Forces](#) (RDSTFs) are the foundation of Florida's domestic security structure. Each RDSTF is co-chaired by a local Sheriff or Police Chief and an FDLE Special Agent in Charge (SAC). Task force members include [first responders from the disciplines of fire/rescue, emergency management, public health and hospitals](#), as well as law enforcement. The task forces also work in partnership with schools, businesses and private industries. By utilizing a multi-disciplinary approach, the RDSTFs provide support to impacted communities by serving as a force multiplier for local agencies, and working in conjunction with emergency management professionals.

In 2020, the Southeast Regional Domestic Security Task Force (SERDSTF), which is chaired by PBSO Sheriff Ric Bradshaw and FDLE SAC Troy Walker provided 45 training days, 32 training courses, and trained over 700 first responders by conducting various scenarios and tactical operations. Due to COVID-19, the SERDSTF held their first virtual Regional Meeting throughout the month of March 2021 to begin the year. All functional workgroups met individually through virtual platforms, and a general session welcome video was presented (via email) to all members.

The first in-person meeting post COVID is scheduled to meet on July 15, 2021 in West Palm Beach. The Region is also in the initial planning stages of another Operations Heatshield. Additional information to follow.

For additional information please contact Jennie Sullivan, MPA, Communications and Social Media Coordinator / RDSTF Fusion Center Program Manager ([jsullivan@sfrpc.com](mailto:jsullivan@sfrpc.com)).



## SOUTHEAST FLORIDA FUSION CENTER

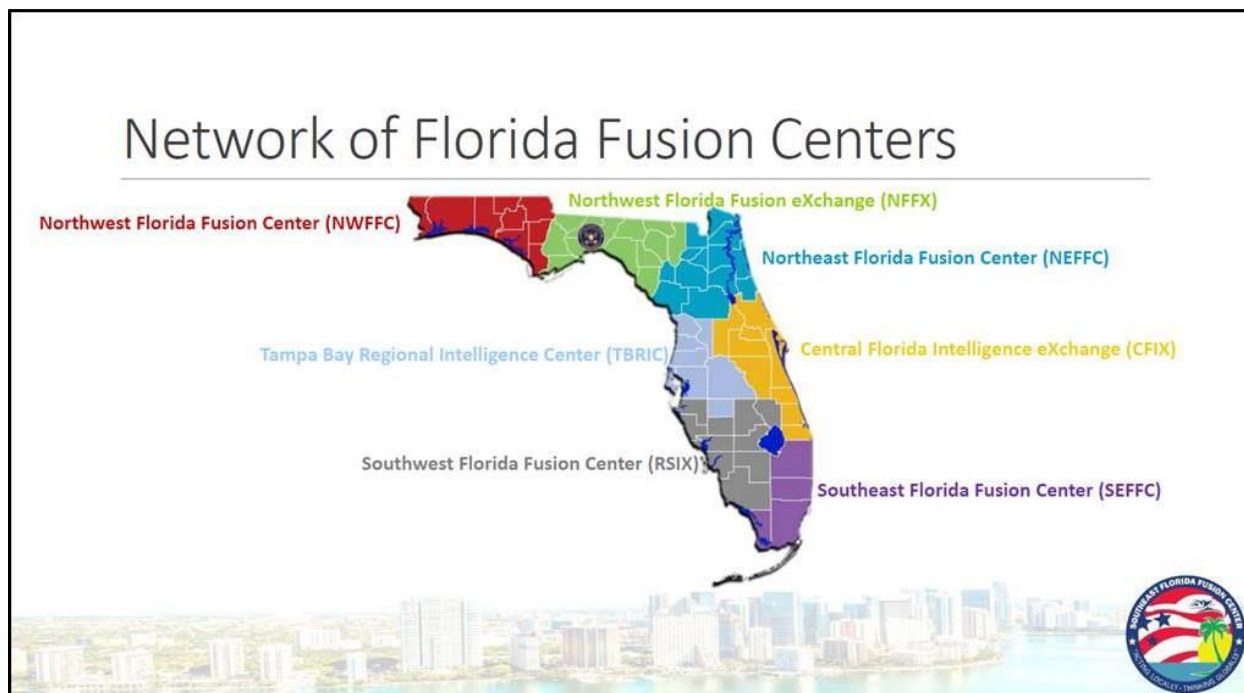
The Southeast Florida Fusion Center (SEFFC) which falls under the SERDSTF is a collaborative effort of state, local, tribal territorial and federal agencies working in partnership to share resources, expertise, and/or information to better identify, detect, prevent, and respond to threats, crimes and terrorist activity using

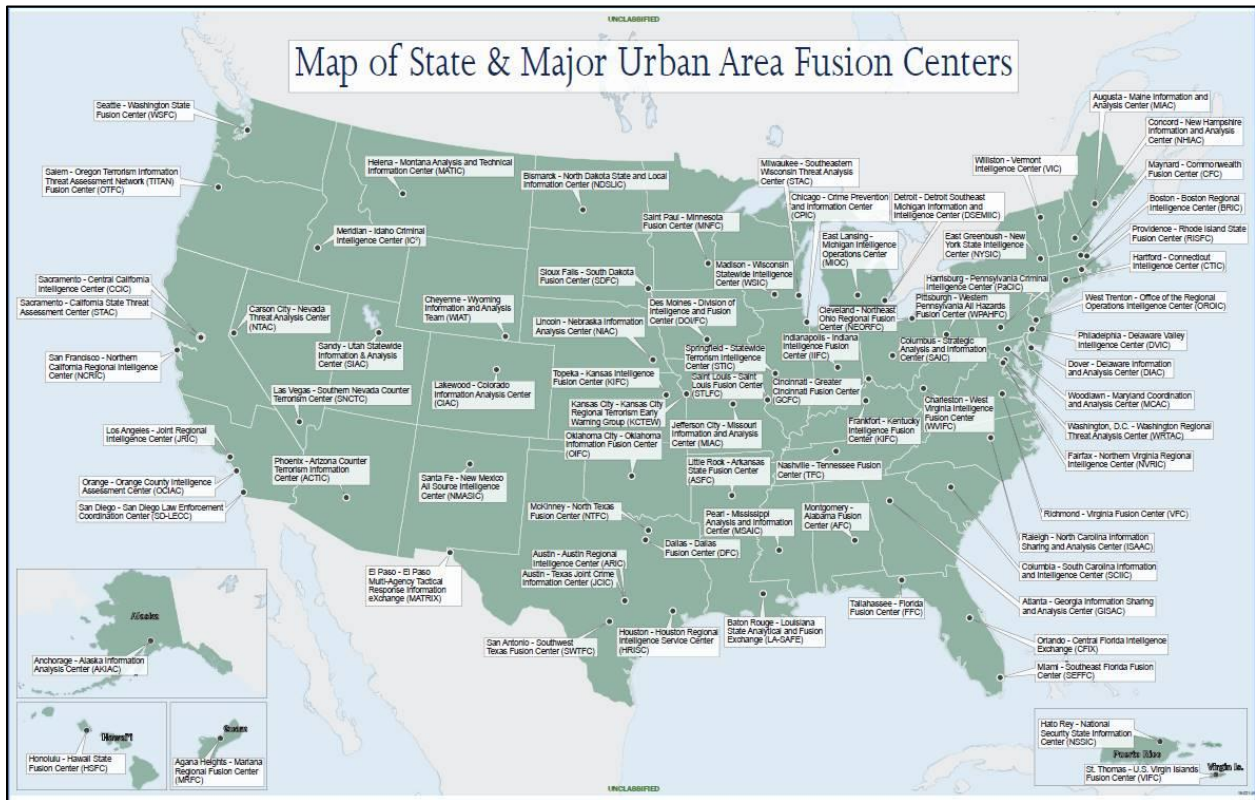
an all crimes/ all hazards approach. The SEFFC serves Broward, Miami-Dade, Monroe, and Palm Beach Counties, and is responsible for the development and implementation of effective information sharing policies and collaborative programs, to ensure the effective dissemination of criminal intelligence information across a multi-jurisdictional and multi-discipline environment. The SEFFC strives to build trusted relationships with our federal, state, local, tribal, and private sector partners to enhance our ability to secure the homeland, while protecting the privacy of our citizens.

The SFRPC engages 10 contracted Regional Intelligence Analysts to:

- produce Regional Quarterly Trend Reports,
- coordinate Regional Terrorism Liaison Officer Program classes for law enforcement and private partners,
- assist the Florida Fusion Center Network in production of statewide bulletins and assessments for information sharing,
- coordinate regional table top exercises, tactical operations and analytical trainings,
- participate in quarterly meetings, National Fusion Center Conferences, Southern Shield training events,
- and provide threat assessments for large events.

The SEFFC is a member of the National Network of Fusion Centers which allows for national level of collaboration and information sharing. Many partners continue to work remotely, however the Fusion Center has adapted. The SEFFC have utilized online platforms such as Microsoft Teams and Zoom to ensure continuity in our essential functions.





For additional information please contact Jennie Sullivan, MPA, Communications and Social Media Coordinator / RDSTF Fusion Center Program Manager ([jsullivan@sfrpc.com](mailto:jsullivan@sfrpc.com)).



## MEMORANDUM

AGENDA ITEM #VII.A

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DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: ATTENDANCE FORM

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Information only.



South Florida Regional Planning Council  
1 Oakwood Boulevard, Suite 250, Hollywood, Florida 33020  
954.924.3653 Phone, 954.924-3654 FAX  
[www.sfregionalcouncil.org](http://www.sfregionalcouncil.org)

2020 - 2021 ATTENDANCE RECORD

<b>COUNCILMEMBERS</b>	11/23/20 Physical / Virtual	1/27/21 Physical / Virtual	2/22/21 Physical / Virtual	3/19/21 * Virtual	4/26/21 Physical / Virtual	5/7/21 ** Physical / Virtual	5/24/21 Physical / Virtual
<b>BAILEY, Mario, <i>Chair</i></b> Governor's Appointee	P	P	P	VP	P	VP	P
<b>COLDIRON, Michelle</b> Mayor, Monroe County	VP	VP	VP	*	VP	*	VP
<b>CORRADINO, Joseph</b> Mayor, Village of Pinecrest	VP	*	*	*	*	*	A
<b>FURR, Beam, <i>Treasurer</i></b> Broward County Commission	P	P	P	*	P	VP	P
<b>GARCIA, René</b> Miami-Dade Co. Commission	–	–	VP	VP	VP	VP	VP
<b>GELLER, Steve, <i>First Vice Chair</i></b> Mayor, Broward County	VP	VP	P	VP	P	VP	P
<b>GILBERT, III, Oliver</b> Miami-Dade Co. Commission	–	–	A	VP	D	*	*
<b>GOLDBERG, Cary</b> Governor's Appointee	VP	*	VP	VP	VP	*	*
<b>KAUFMAN, Samuel, <i>2<sup>nd</sup> Vice-Chair</i></b> Commissioner, Key West	VP	VP	VP	VP	VP	VP	VP
<b>LEONARD, Jordan, <i>Secretary</i></b> Councilmember, Bay Harbor Islands	VP	P	VP	VP	VP	VP	*
<b>MARTÍNEZ, Eddie</b> Monroe County Commission	–	–	P	*	VP	*	*
<b>MONESTIME, Jean</b> Miami-Dade Co. Commission	VP	VP	*	*	A	*	A
<b>ROSS, Greg, <i>Immediate Past Chair</i></b> Mayor, Cooper City	P	P	P	VP	P	VP	P
<b>UDINE, Michael</b> Broward County Commission	VP	VP	VP	VP	VP	VP	VP
<b>ZIADE, Ana M.</b> Mayor, North Lauderdale	P	P	VP	VP	P	P	VP



2020 - 2021 ATTENDANCE RECORD

<b>EX-OFFICIO MEMBERS</b>	11/23/20 Physical / Virtual	1/27/21 Physical / Virtual	2/22/21 Physical / Virtual	3/19/21 * Virtual	4/26/21 Physical / Virtual	5/7/21 ** Physical / Virtual	5/24/21 Physical / Virtual
<b>ANDREOTTA, JASON</b> Florida Dept. of Environmental Protection	*	*	*	*	D	D	*
<b>MAYERS, Lorraine</b> South Florida Water Management District	-	-	-	-	-	*	*
<b>HUYNH, DAT</b> Florida Dept. of Transportation	VP	VP	VP	VP	VP	D	D
Department of Economic Development	-	-		-	-	-	-

P = Present

VP = Virtually Present

A = Absent

D = Designee Present

\* = Excused Absence

- = Not Yet Appointed

CC = Via Conference Call

*There were no meetings in December of 2020*

*\* Joint Meeting March 19, 2021*

*\*\* Exec. Committee/Workshop*





# MEMORANDUM

AGENDA ITEM #VII.B

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DATE: JUNE 28, 2021  
TO: COUNCIL MEMBERS  
FROM: STAFF  
SUBJECT: CORRESPONDENCE AND ARTICLES

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Recommendation

Information only



South Florida Regional Council  
1 Oakwood Boulevard, Suite 250, Hollywood, Florida 33020  
954.924.3653 Phone, 954.924-3654 FAX  
[www.sfregionalcouncil.org](http://www.sfregionalcouncil.org)

*Just awarded by the National Association of Regional Councils (NARC)*

*The Tom Bradley Leadership Award* recognizes the leadership and excellence of an elected or appointed official in advocating for regional concepts, approaches, and programs at any level of government.

*<https://narc.org/about/awards/>*

## **2021 TOM BRADLEY LEADERSHIP AWARD**

### **The Honorable Oliver G. Gilbert III**

*Chairman, Miami-Dade Transportation Planning Organization (TPO)  
Governing Board, Miami-Dade County, Florida*

Oliver G. Gilbert III, Chairman of the Miami-Dade TPO Governing Board for Miami-Dade County, Florida, also serves as the Vice Chairman for the Miami-Dade County Board of County Commissioners (BCC) and as the Chairman for the Southeast Florida Transportation Council (SEFTC). Chairman Gilbert has led the Miami-Dade TPO Governing Board by providing guidance and overseeing the passage of fifty TPO Governing Board transportation planning related resolutions during 2020. As the Miami-Dade TPO Chairman, he has led major milestone resolutions that are advancing critical transportation initiatives in the Miami Urbanized region. Through his leadership, Chairman Gilbert continues to forge partnerships throughout the Miami region to advance multimodal transportation for residents and visitors.

## [ENVIRONMENT](#)

# Florida Cabinet clears way for Miami-Dade's highway through Everglades wetlands

BY [ADRIANA BRASILEIRO](#) AND [MARY ELLEN KLAS](#)

JUNE 15, 2021 03:23 PM,

UPDATED JUNE 15, 2021 08:26 PM

A southbound view of Southwest 157th Avenue running next to the Bird Basin Park, the planned route of the State Road 836 extension, whose proposed name is the Kendall Parkway. An administrative judge ruled against the project on March 30, 2020.

Gov. Ron DeSantis and the Florida Cabinet on Tuesday rejected a legal ruling that loomed as a massive roadblock to Miami-Dade County's controversial plan to [run a major highway through wetlands](#) fringing the Everglades.

The decision doesn't technically green light the planned Kendall Parkway, which would add about 14 miles to State Road 836 in a project that the county touts as a solution to ease traffic jams in western suburbs. But the move does clear the way for the county to seek environmental permits from state agencies.

DeSantis stressed that it was "premature" to assume that the project will be approved.

"It's not like this is going to happen. I mean they've got to go through all those environmental reviews," the governor said. "... If some of the things that are happening that are positive do happen, I don't think it's going to get permitted by the South Florida Water Management District. I just wasn't convinced that this all needed to happen on the front end."

Environmentalists said the decision ran counter to state efforts to restore the Everglades and Biscayne Bay, both dependent on a [plentiful supply of fresh, clean water](#). They also say the highway would destroy wetlands that help replenish the county's underground drinking water supply and open the door to more suburban sprawl.

"It's really disappointing that the governor and the Cabinet have voted like that, contradicting all their Everglades leadership," said Paola Ferreira, executive director at Tropical Audubon, one of the groups that challenged the county's plans. She said advocates intend to appeal the Cabinet's decision.

Meeting as the state Board of Administration, the governor and two members of the Cabinet rejected an administrative court ruling that the planned parkway violated the county's comprehensive plan to protect Miami-Dade's water supply, agricultural lands and wetlands.

Only Agriculture Commissioner Nikki Fried — who has already announced she intends to run for governor herself — voted to uphold the administrative judge's ruling, arguing that the environmental impacts outweighed the small estimated improvements in congestion. Chief Financial Officer Jimmy Patronis and Attorney General Ashley Moody voted with the governor.

## A GREEN GOVERNOR

DeSantis built a significant part of his campaign on [appealing to environmental activists](#) and supporting multimillion-dollar Everglades restoration projects, including a plan to use the wetlands earmarked for the proposed expressway to store water that will be used to restore Biscayne Bay. By rejecting the judge's ruling and clearing the way for the new road to pave over wetlands, he appears to be opposing his own [commitment to Everglades restoration](#), environmentalists say.

The new road would run through the Bird Drive Basin, a wetland area that is included in the latest project aimed at sending more water to the southern Everglades and Florida Bay, as well as to Biscayne Bay, which is battling pollution and algae blooms.

In a [ruling in March last year](#), Suzanne Van Wyck, the administrative law judge who heard the case, recommended that the governor and other members of the state Cabinet reject the county's application to change development rules allowing for the construction of the 14-mile toll road championed by former Mayor Carlos Gimenez. The expressway was touted as a solution for west Kendall traffic as it would connect [western suburbs with State Road 836](#), a route best known as the Dolphin Expressway.

Van Wyck cited uncertain impacts on Everglades preservation and only "meager" improvements of traffic congestion; the road extension would cut down commute times by just six minutes, according to estimates by the county's own traffic expert. She also said the project violated the county's comprehensive plan to protect water supplies and wetlands.

Bird Drive Basin was once part of the Shark River Slough, the main artery for water flow in the Everglades. It's used to recharge the region's shallow Biscayne aquifer, the only drinking water source for communities in Miami-Dade and the Miccosukee Tribe. It's also home to native animals, wading birds and rare plants.

Advocates also said the proposed road would displace between 300 and 400 acres of farmland in the area, impinging on the county's already shrinking agricultural land supply.

"Any way you slice it, there's no way you can justify running a highway through these wetlands where your water management district and DEP are still struggling to undo the damage of prior highways," said Richard Grosso, an attorney representing Tropical Audubon Society and West Kendall resident Michelle Garcia in the [legal action](#). "The idea that we would actually run a new highway through the very Everglades wetlands we're trying to restore here in 2021 is really kind of an amazing concept" just to save drivers a few minutes on their daily commute.

## 'NOT IN THE EVERGLADES'

Dennis Kerbel, the county attorney who represented Miami-Dade in the legal challenge, said the benefits to commuters in west Kendall outweigh environmental concerns, and that the roadway would be built away from an area now considered the Everglades.

"They keep saying this is in the Everglades, it's not in the Everglades. This is miles away from Everglades National Park," Kerbel said. "It does run through wetlands that have been identified as part of the comprehensive Everglades restoration plan ... and this commission doesn't have to decide exactly this, but the comments that we received from the Water Management District are that the CERP project for the area is going to be done via a conveyance canal," said the attorney, referring to the Comprehensive Everglades Restoration Plan and adding that the road could be built "in a manner that is consistent with a canal and therefore would preserve the existing project."

Miami Republican Congressman Gimenez made the extension a priority during his final term as Miami-Dade mayor. In a letter to the governor's chief of staff in November, Gimenez said the project would "reduce driver commutes by two to five hours per week and also provide an alternate hurricane evacuation route." He also said the project would "create 10,000 new job opportunities" and "enhance the surrounding natural environment."

Alex Ferro, his chief of staff, said the project is especially important to the 600,000 residents of Kendall who he said "are paralyzed in traffic that consumes hours of their days."

He said that the proposal to use the Bird Drive Basin as a recharge area was abandoned 15 years ago by the Water Management District because of its impact on flooding.

Anna Upton of the Everglades Foundation warned that building on the wetland would create irreversible damage.

"You can't get these lands back," she said. "They are strategically located near Everglades National Park and intended for Everglades restoration project that will benefit the Everglades and Miami-Dade County's water supply."

#### **VOICE MAIL FROM COMMISSIONER**

The decision came after both Patronis and Moody entered into the record a voice mail from Miami-Dade County Commissioner Joe Martinez, urging them to reject the judge's order and support the extension. Both Cabinet members said the communications, from someone involved in a decision that is pending before them, violated the rule against "ex parte" communications that bans parties from speaking to them directly about issues in a pending matter.

According to the transcript of the call, Martinez told Moody: "Rodney Barreto gave me your number and I was just reaching out to you. I know you have a Cabinet meeting to discuss a bill — and actually it's my ordinance that I presented on the 836 extension. I look forward [sic] and hopefully you have a favorable impression of it."

Martinez then urged Moody to call him if she had any questions and added, "I have never sat down with you but I hear a lot of good things about you from many people."

Moody told the Cabinet that she did not respond to Martinez "but in an abundance of caution and compliance with the law we have disclosed it and provided it as part of the record." A transcript of the call Patronis received showed he received a similar voice message.



#### **[ADRIANA BRASILEIRO](#)**

**[\(305\) 376-2576](tel:3053762576)**

Adriana Brasileiro covers environmental news at the Miami Herald. Previously she covered climate change, business, political and general news as a correspondent for the world's top news organizations: Thomson Reuters, Dow Jones - The Wall Street Journal and Bloomberg, based in São Paulo, Rio de Janeiro, Paris and Santiago.